

**QUARTERLY STATEMENT**  
**OF THE**  
**IDS PROPERTY CASUALTY**  
**INSURANCE COMPANY**

Of

**De Pere**  
**in the state of WI**

**to the Insurance Department**  
**of the State of**

For the Period Ended  
June 30, 2019

**2019**



# QUARTERLY STATEMENT

As of June 30, 2019

of the Condition and Affairs of the

## IDS PROPERTY CASUALTY INSURANCE COMPANY

NAIC Group Code..... 4, 4 (Current Period) (Prior Period)	NAIC Company Code..... 29068	Employer's ID Number..... 39-1173498
Organized under the Laws of WI	State of Domicile or Port of Entry WI	Country of Domicile US
Incorporated/Organized..... December 6, 1972	Commenced Business..... January 24, 1973	
Statutory Home Office	3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	920-330-5100 <i>(Area Code) (Telephone Number)</i>
Mail Address	3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	920-330-5100 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.ameriprise.com	
Statutory Statement Contact	Kelly B Kinas <i>(Name)</i> Kelly.Kinas@ampf.com <i>(E-Mail Address)</i>	920-330-5619 <i>(Area Code) (Telephone Number) (Extension)</i> 920-330-5603 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Thomas Vincent Ealy	President	2. Thomas Richard Moore	Secretary
3. James Anthony Brefeld Jr	Treasurer		

### OTHER

Thomas Scott Botsford	Sr Vice President	Lisa Kay Jossart	Sr Vice President
Gerald Scott Dias	Vice President	John Joseph Whalin	Vice President
Richard Paul Yocius	Vice President		

### DIRECTORS OR TRUSTEES

Thomas Scott Botsford	Thomas Vincent Ealy	Joseph Edward Sweeney	Richard Paul Yocius
James Anthony Brefeld Jr	Brian Joseph McGrane		

State of..... Wisconsin  
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Thomas V Ealy 1. (Printed Name) President (Title)	_____ (Signature) Kelly B Kinas 2. (Printed Name) Asst Secretary (Title)	_____ (Signature) Gerald S Dias 3. (Printed Name) VP - Lead Financial Officer (Title)
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Subscribed and sworn to before me  
This 25th day of July 2019

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

Expires 07/27/2022

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,465,171,293		1,465,171,293	1,558,901,019
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	54,561,145	4,738,888	49,822,257	49,334,697
3. Mortgage loans on real estate:				
3.1 First liens.....	30,563,081		30,563,081	36,116,877
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....10,727,501 encumbrances).....	1,033,262		1,033,262	989,308
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....(49,117,162)), cash equivalents (\$.....156,966,145) and short-term investments (\$.....0).....	107,848,982		107,848,982	5,373,821
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	1,659,177,763	4,738,888	1,654,438,875	1,650,715,722
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	14,466,657		14,466,657	15,125,074
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	3,639,913		3,639,913	499,744
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	87,841,159		87,841,159	78,670,858
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	10,311,540		10,311,540	18,725,777
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....	187,500		187,500	346,104
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	4,210,145		4,210,145	5,104,124
18.2 Net deferred tax asset.....	20,887,476		20,887,476	21,002,392
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	5,854,211	3,727,152	2,127,059	1,674,309
21. Furniture and equipment, including health care delivery assets (\$.....0).....	2,471,090	2,458,038	13,052	17,928
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	3,824,990		3,824,990	6,244,097
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	1,588,304	1,583,772	4,532	4,532
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	1,814,460,748	12,507,850	1,801,952,898	1,798,130,662
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	1,814,460,748	12,507,850	1,801,952,898	1,798,130,662

## DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Prepaid expenses & Prepaid Tax.....	1,583,772	1,583,772	0	
2502. Misc Receivable.....	4,532		4,532	4,532
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,588,304	1,583,772	4,532	4,532

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....194,458,000).....	481,239,957	494,583,566
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....	105,451,344	109,408,928
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....	31,698,440	32,351,521
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	4,562,273	4,618,052
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....		2,276,443
7.2 Net deferred tax liability.....		
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....17,220,788 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	340,757,513	337,858,587
10. Advance premium.....	8,923,950	7,081,080
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	1,760,530	8,193,917
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....	296,223	293,366
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	7,557,919	9,841,959
20. Derivatives.....		
21. Payable for securities.....		
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	1,120,050	2,341,870
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	983,368,199	1,008,849,289
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	983,368,199	1,008,849,289
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	5,000,000	5,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	630,839,908	630,839,908
35. Unassigned funds (surplus).....	182,744,791	153,441,465
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	818,584,699	789,281,373
38. Totals (Page 2, Line 28, Col. 3).....	1,801,952,898	1,798,130,662

## DETAILS OF WRITE-INS

2501. Unclaimed Property and Other Misc Liabilities.....	1,120,050	2,341,870
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,120,050	2,341,870
2901. Incremental DTA.....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....554,314,368).....	545,757,016	549,914,934	1,102,286,697
1.2 Assumed..... (written \$.....15,804,421).....	16,134,156	17,292,487	34,175,318
1.3 Ceded..... (written \$.....20,458,800).....	15,446,957	55,958,863	94,076,102
1.4 Net..... (written \$.....549,659,989).....	546,444,215	511,248,558	1,042,385,913
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....289,363,597):			
2.1 Direct.....	380,023,065	426,468,360	849,406,018
2.2 Assumed.....	11,426,659	12,328,497	25,687,389
2.3 Ceded.....	(2,594,193)	34,567,944	82,031,826
2.4 Net.....	394,043,917	404,228,913	793,061,581
3. Loss adjustment expenses incurred.....	63,116,751	57,387,754	126,870,474
4. Other underwriting expenses incurred.....	90,663,816	85,940,987	181,556,240
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	547,824,484	547,557,654	1,101,488,295
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(1,380,269)	(36,309,096)	(59,102,382)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	27,653,455	27,415,162	58,507,682
10. Net realized capital gains (losses) less capital gains tax of \$.....16,812.....	63,246	(329,237)	(256,423)
11. Net investment gain (loss) (Lines 9 + 10).....	27,716,701	27,085,925	58,251,259
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....60,621 amount charged off \$.....1,199,187).....	(1,138,566)	(1,180,808)	(2,228,306)
13. Finance and service charges not included in premiums.....	2,220,783	2,221,832	4,429,868
14. Aggregate write-ins for miscellaneous income.....	187,590	187,545	385,522
15. Total other income (Lines 12 through 14).....	1,269,807	1,228,569	2,587,084
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	27,606,239	(7,994,602)	1,735,961
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	27,606,239	(7,994,602)	1,735,961
19. Federal and foreign income taxes incurred.....	1,367,279	(5,658,697)	(7,145,158)
20. Net income (Line 18 minus Line 19) (to Line 22).....	26,238,960	(2,335,905)	8,881,119
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	789,281,373	780,637,714	780,637,714
22. Net income (from Line 20).....	26,238,960	(2,335,905)	8,881,119
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0.....	1,581,094	1,864,780	2,614,366
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	(105,007)	(310,703)	(693,777)
27. Change in nonadmitted assets.....	1,588,279	(2,332,345)	(29,788)
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			0
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	(2,128,261)	(2,128,261)
38. Change in surplus as regards policyholders (Lines 22 through 37).....	29,303,326	(5,242,434)	8,643,659
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	818,584,699	775,395,280	789,281,373
<b>DETAILS OF WRITE-INS</b>			
0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous Income.....	187,590	187,545	385,522
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	187,590	187,545	385,522
3701. Prior Year Premium Adj.....		(2,128,261)	(2,128,261)
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	(2,128,261)	(2,128,261)

**CASH FLOW**

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	532,442,154	499,601,254	1,055,255,978
2. Net investment income.....	31,901,933	31,019,546	66,159,478
3. Miscellaneous income.....	1,269,807	1,228,569	2,587,084
4. Total (Lines 1 through 3).....	565,613,894	531,849,370	1,124,002,540
5. Benefit and loss related payments.....	398,814,685	376,839,018	800,406,521
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	155,924,984	141,369,033	304,023,362
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses).....	2,766,555	(10,154,332)	(13,234,305)
10. Total (Lines 5 through 9).....	557,506,224	508,053,718	1,091,195,578
11. Net cash from operations (Line 4 minus Line 10).....	8,107,670	23,795,651	32,806,962
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	90,621,215	88,995,263	159,098,641
12.2 Stocks.....			
12.3 Mortgage loans.....	5,553,796	689,480	1,492,030
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....		4	4
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	96,175,011	89,684,747	160,590,675
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	(0)	102,698,625	151,576,394
13.2 Stocks.....			
13.3 Mortgage loans.....			7,720,000
13.4 Real estate.....	398,256	373,152	791,355
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	398,256	103,071,777	160,087,749
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	95,776,755	(13,387,030)	502,926
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			0
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(1,409,264)	(6,167,397)	(9,177,714)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(1,409,264)	(6,167,397)	(9,177,714)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	102,475,161	4,241,224	24,132,175
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	5,373,822	(18,758,353)	(18,758,353)
19.2 End of period (Line 18 plus Line 19.1).....	107,848,983	(14,517,128)	5,373,822

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

The accompanying financial statements of IDS Property Casualty Insurance Company (Company) have been prepared on the basis of accounting practices prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin.

The Office of the Commissioner of Insurance of the State of Wisconsin requires insurance companies domiciled in the State of Wisconsin to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners' NAIC *Accounting Practices and Procedures Manual* subject to any deviations prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin.

No Permitted statutory accounting practices were used in the preparation of these financial statements.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Wisconsin is shown below:

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2018
<b>NET INCOME</b>					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 26,238,960	\$ 8,881,119
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 26,238,960	\$ 8,881,119
<b>SURPLUS</b>					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 818,584,699	\$ 789,281,373
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 818,584,699	\$ 789,281,373

**C. Accounting Policy**

- (2) Investment grade bonds, other than loan-backed and structured securities, are stated at amortized value using the scientific amortization method. Non-Investment grade bonds with NAIC designations of 3 through 6; are stated at the lower of amortized value or fair value.
- (6) Loan-backed and structured securities are carried at amortized cost using the scientific amortization method and include anticipated prepayments. Changes in estimated cash flow and in coupon interest cash flows from original purchase assumptions are accounted for using the retrospective method.

**D. Going Concern**

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

**Note 2 – Accounting Changes and Correction of Errors**

During the 2018 tax provision to return true-up, errors were identified in the calculation of prior year's provision as a result of not capturing all appropriate accounts. As a result of correcting prior year's error in 2018, net income was overstated by approximately \$710 thousand.

**Note 3 – Business Combinations and Goodwill**

No significant changes

**Note 4 – Discontinued Operations**

No significant changes

**Note 5 – Investments****D. Loan-Backed and Structured Securities ("LBaSS")**

- (1) Prepayment assumptions for LBaSS are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value LBaSS except in situations where rate changes result in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.
- (2) During the six months ended June 30, 2019, the Company had no other-than- temporary impairments ("OTTI") recognized on LBaSS due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost.
- (3) The Company has no LBaSS for which an OTTI was recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.

**NOTES TO FINANCIAL STATEMENTS**

- (4) The following table provides information about investments in LBaSS for which the carrying value (amortized cost) exceeds fair value and the length of time that individual securities have continuously had amortized cost in excess of fair value, as of June 30, 2019:

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ (85,210)
	2. 12 Months or Longer	\$ (602,150)
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 34,182,180
	2. 12 Months or Longer	\$ 88,339,018

- (5) For all LBaSS for which carrying value exceeds fair value, the Company has the intent and ability to retain the investment in the security for a period of time sufficient to recover the carrying value.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

The Company has not entered into any repurchasing agreements or securities lending transactions.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has not entered into any repurchase agreements transactions accounted for as secured borrowing.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has not entered into any reverse repurchase agreements transactions accounted for as secured borrowing.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company has not entered into any repurchase agreements transactions accounted for as a sale.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company has not entered into any reverse repurchase agreements transactions accounted for as a sale.

M. Working Capital Finance Investments - Not applicable

N. Offsetting and Netting of Assets and Liabilities - Not applicable

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

No significant changes

**Note 8 – Derivative Instruments**

H. The Company neither holds nor has issued any derivative financial instruments.

**Note 9 – Income Taxes**

No significant changes

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

**Note 11 – Debt**

B. FHLB (Federal Home Loan Bank) Agreements - The Company has no funding agreements with the Federal Home Loan Bank.

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan - The Company does not sponsor any defined benefit plans.

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

No significant changes

**Note 15 – Leases**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

B. Transfer and Servicing of Financial Assets - Not applicable

C. Wash Sales - Not applicable



**NOTES TO FINANCIAL STATEMENTS****Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant changes

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant changes

**Note 20 – Fair Value Measurements**

## A. Fair Value Measurements

## (1) Fair Value Measurements at June 30, 2019

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
<b>Assets at Fair Value</b>					
Bonds - U.S. Special Revenue	\$	\$ 2,066,041	\$	\$	\$ 2,066,041
<b>Total</b>	\$	\$ 2,066,041	\$	\$	\$ 2,066,041
<b>Liabilities at Fair Value</b>					
	\$	\$	\$	\$	\$
<b>Total</b>	\$	\$	\$	\$	\$

Bonds

When available, fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third party pricing services, non-binding broker quotes or other model-based valuation techniques. Level 2 securities include municipal bonds. The fair value of these Level 2 securities is based on a market approach with prices obtained from third party pricing services. Observable inputs used to value these securities can include, but are not limited to, reported trades, benchmark yields, issuer spreads and non-binding broker quotes.

(2) The Company has no assets or liabilities measured at fair value in the Level 3 category as of June 30, 2019.

(3) The Company recognizes transfers between levels of the value hierarchy as of the beginning of the quarter in which each transfer occurred.

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement - The Company categorizes its fair value measurements according to a three-level hierarchy. The hierarchy prioritizes the inputs used by the Company's valuation techniques. A level is assigned to each fair value measurement based on the lowest level input that is significant to the fair value measurement in its entirety. The three levels of the fair value hierarchy are defined as follows:

Level 1	Unadjusted quoted prices for identical assets or liabilities in active markets that are accessible at the measurement date.
Level 2	Prices or valuations based on observable inputs other than quoted prices in active markets for identical assets and liabilities.
Level 3	Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable.

The Company uses valuation techniques consistent with the market and income approaches to measure the fair value of its assets and liabilities. The Company's market approach uses prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. The Company's income approach uses valuation techniques to convert future projected cash flows to a single discounted present value amount. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs.

(5) Fair Value Disclosures - The Company does not have any derivative assets or liabilities as of June 30, 2019.

## B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Not applicable

## C. Fair Value Level

The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of June 30, 2019:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$1,517,921,465	\$1,463,105,252	\$ 4,866,438	\$1,502,905,027	\$ 10,150,000	\$	\$
Cash equivalents	\$ 156,966,145	\$ 156,966,145	\$	\$ 156,966,145	\$	\$	\$
Mortgage Loans	\$ 30,712,896	\$ 30,563,081	\$	\$	\$ 30,712,896	\$	\$

Bonds

When available, fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third party pricing services, non-binding broker quotes or other model-based valuation techniques. Level 1 securities include U.S. Treasury securities. Level 2 securities include municipal and corporate bonds, U.S. government agency residential mortgage backed securities, non-agency commercial mortgage backed securities and asset backed securities. The fair value of these Level 2 securities is based on a market approach with prices obtained from third party pricing services. Observable inputs used to value these securities can include, but are not limited to, reported trades, benchmark yields, issuer spreads and non-binding broker quotes. Level 3 securities include a non-agency residential mortgage backed security. The fair value of this Level 3 security is based on a single non-binding broker quote. The underlying inputs used for some of the non-binding broker quotes are not readily available to the Company.

Cash equivalents

Cash equivalents include highly liquid investments with original maturities of three months or less. Cash equivalents are classified as Level 2 and measured at amortized cost, which is a reasonable estimate of fair value because of the short time between the purchase of the instrument and its expected realization.

Mortgage loans on real estate

The fair value of commercial mortgage loans, except those with significant credit deterioration is determined by discounting contractual cash flows using discount rates that reflect current pricing for loans with similar remaining maturities, liquidity and characteristics including loan-to-value ratio, occupancy rate, refinance risk, debt-service coverage, location, and property condition. For commercial mortgage loans with significant credit deterioration, fair value is determined using the same adjustments as above with an additional adjustment for the Company's estimate of the amount recoverable on the loan. Given the significant unobservable inputs to this valuation, these measurements are classified as Level 3.

D. Not Practicable to Estimate Fair Value - Not applicable

E. NAV Practical Expedient Investments - Not applicable

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**NOTES TO FINANCIAL STATEMENTS**

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**Note 21 – Other Items**

No significant changes

**Note 22 – Events Subsequent**

Subsequent events have been considered for these statutory financial statements through the filing date of August 8, 2019.

On April 2, 2019, Ameriprise Financial announced it signed a definitive agreement with American Family Insurance Mutual Holding Company to sell Ameriprise Auto and Home Insurance, which is comprised of IDS Property Casualty Insurance Company and its subsidiaries, Ameriprise Insurance Company and Ameriprise Auto & Home Insurance Agency, Inc. The sale is expected to close in the 4th quarter of 2019.

**Note 23 – Reinsurance**

As of January 1, 2019, the Company ended its reinsurance quota share agreement with a block of existing home policies. Additionally, the new reinsurance program will change certain limits and retentions associated with the existing catastrophic coverages.

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

A-E. The Company is not involved in any retrospectively rated contracts or contracts subject to redetermination.

F. Risk Sharing Provisions of the Affordable Care Act - Not applicable

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

For accident years 2018 and prior, the Company had favorable development of \$0.5M.

B. Information about Significant Changes in Methodologies and Assumptions - No significant changes

**Note 26 – Intercompany Pooling Arrangements**

No significant changes

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

No significant changes

**Note 29 – Participating Policies**

No significant changes

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – High Deductibles**

No significant changes

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant changes

**Note 33 – Asbestos/Environmental Reserves**

No significant changes

**Note 34 – Subscriber Savings Accounts**

No significant changes

**Note 35 – Multiple Peril Crop Insurance**

No significant changes

**Note 36 – Financial Guaranty Insurance**

B. The Company has no financial guaranty insurance premiums, earnings or obligations.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 820027

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/20/2015

- 6.4 By what department or departments?  
State of Wisconsin Office of the Commissioner of Insurance

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [X] No [ ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
Ameriprise Financial, Inc.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator[.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Ameriprise Enterprise Investment Services, Inc	Minneapolis, MN				YES
Lionstone Partners, LLC	Houston, TX				YES
Ameriprise Certificate Company	Minneapolis, MN				YES
Ameriprise Financial Services, Inc.	Minneapolis, MN				YES
Ameriprise Financial, Inc.	Minneapolis, MN				YES
Columbia Wanger Asset Management, LLC.	Chicago, IL				YES
RiverSource Distributors, Inc.	Minneapolis, MN				YES
Columbia Management Investment Advisers, LLC.	Boston, MA				YES
Columbia Management Investment Services, Co.	Boston, MA				YES
J. & W. Seligman & Co. Incorporated	New York, NY				YES
Columbia Management Investment Distributors, Inc.	New York, NY				YES
Riversource Life Insurance Company	Minneapolis, MN				YES
Ameriprise Bank, FSB	Minneapolis, MN		YES		

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 1,211,912

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

- 14.21 Bonds
- 14.22 Preferred Stock
- 14.23 Common Stock
- 14.24 Short-Term Investments
- 14.25 Mortgage Loans on Real Estate
- 14.26 All Other
- 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)
- 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$	0	\$ 0
	0	0
	53,017,329	54,561,145
	0	0
	0	0
	0	0
\$	53,017,329	\$ 54,561,145
\$	0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan	New York, NY

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Columbia Management Investment Advisors, LLC	A

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [ ] No [X]

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
1082557	Columbia Management Investment Advisors, LLC	6YVO3H2OUHJXER5SGR23		

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes [X] No [ ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes [ ] No [X]

**GENERAL INTERROGATORIES (continued)****PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.

- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

- 3.2 If yes, give full and complete information thereto:

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent 0.000%

5.2 A&H cost containment percent 0.000%

5.3 A&H expense percent excluding cost containment expenses 0.000%

- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]

- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

Statement for June 30, 2019 of the **IDS PROPERTY CASUALTY INSURANCE COMPANY**  
**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
<b>U.S. Insurers</b>						
10677.....	31-0542366.....	CINCINNATI INSURANCE CO.....	OH.....	Authorized.....	.....2.....	.....01/01/2019
<b>All Other Insurers</b>						
.....	AA-3194139.....	AXIS SPECIALTY LIMITED.....	BMU.....	Unauthorized....	.....2.....	.....01/01/2019
.....	AA-1120186.....	LLOYDS SYNDICATE #1947.....	GBR.....	Authorized.....	.....3.....	.....01/01/2019

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL.....L.....	L	1,988,124	2,262,248	1,564,275	2,970,538	1,212,611	1,776,520
2. Alaska.....AK.....L.....	L						
3. Arizona.....AZ.....L.....	L	18,372,723	18,399,061	13,184,731	12,687,111	10,379,264	12,825,752
4. Arkansas.....AR.....L.....	L	1,072,330	2,373,654	884,938	1,712,393	752,637	1,162,690
5. California.....CA.....L.....	L	236,428,595	204,153,775	161,313,266	143,877,547	211,477,272	205,447,763
6. Colorado.....CO.....L.....	L	15,903,412	14,661,794	11,228,344	11,261,420	10,920,365	24,823,040
7. Connecticut.....CT.....L.....	L	6,127,225	6,894,765	4,823,782	7,566,539	5,942,987	10,782,672
8. Delaware.....DE.....L.....	L	1,465,629	1,499,909	1,277,668	1,001,052	4,013,023	2,122,674
9. District of Columbia.....DC.....L.....	L	387,174	391,545	273,335	343,581	491,789	163,125
10. Florida.....FL.....L.....	L	13,222,772	12,866,399	12,431,655	11,046,751	17,966,434	17,544,432
11. Georgia.....GA.....L.....	L	6,486,029	7,144,701	7,228,956	11,191,641	8,718,404	9,178,904
12. Hawaii.....HI.....L.....	L	1,461,180	1,563,756	1,016,523	1,155,837	1,083,847	1,154,579
13. Idaho.....ID.....L.....	L	1,086,913	920,922	367,244	450,105	336,705	711,490
14. Illinois.....IL.....L.....	L	8,788,220	8,781,629	5,636,473	6,348,065	8,572,656	9,213,514
15. Indiana.....IN.....L.....	L	5,023,143	5,217,998	2,449,012	4,357,684	3,390,959	4,594,916
16. Iowa.....IA.....L.....	L	1,116,395	1,189,783	771,176	1,074,593	896,356	1,472,550
17. Kansas.....KS.....L.....	L	1,014,430	1,040,104	540,279	702,795	1,204,152	977,796
18. Kentucky.....KY.....L.....	L	1,708,830	1,886,385	1,107,514	1,285,209	1,377,563	4,082,953
19. Louisiana.....LA.....L.....	L						
20. Maine.....ME.....L.....	L						
21. Maryland.....MD.....L.....	L	8,774,173	8,397,186	5,734,313	7,697,031	9,031,409	6,903,185
22. Massachusetts.....MA.....L.....	L	7,172,914	7,459,668	4,503,214	7,091,455	5,166,879	7,383,272
23. Michigan.....MI.....L.....	L	25,392,475	29,467,892	18,623,934	23,058,170	57,832,707	60,628,640
24. Minnesota.....MN.....L.....	L	12,477,652	12,464,396	8,023,688	12,135,990	7,694,847	10,567,977
25. Mississippi.....MS.....L.....	L	400,611	460,544	696,877	703,877	859,540	959,033
26. Missouri.....MO.....L.....	L	4,563,343	4,750,217	2,610,057	3,853,284	3,027,272	5,122,284
27. Montana.....MT.....L.....	L	2,310,767	2,081,558	1,227,015	2,011,570	2,594,986	1,428,941
28. Nebraska.....NE.....L.....	L	1,073,413	1,067,195	903,532	1,146,294	746,810	355,029
29. Nevada.....NV.....L.....	L	8,694,398	8,018,680	6,214,507	6,290,136	8,415,015	6,837,706
30. New Hampshire.....NH.....L.....	L	468,907	556,690	314,304	773,890	524,912	583,998
31. New Jersey.....NJ.....L.....	L	13,844,587	17,061,964	13,402,969	16,508,694	24,262,451	26,941,530
32. New Mexico.....NM.....L.....	L	1,689,521	1,453,756	1,154,201	1,291,864	1,205,438	3,012,506
33. New York.....NY.....L.....	L	7,169,289	8,033,772	5,189,910	5,000,035	11,296,006	12,548,796
34. North Carolina.....NC.....L.....	L	7,224,587	7,350,251	6,808,068	6,174,191	6,254,746	6,296,061
35. North Dakota.....ND.....L.....	L						
36. Ohio.....OH.....L.....	L	6,811,842	7,298,610	4,283,733	5,143,772	4,777,670	6,542,785
37. Oklahoma.....OK.....L.....	L	1,889,882	1,878,956	1,451,109	1,329,642	1,112,481	1,242,468
38. Oregon.....OR.....L.....	L	15,291,759	14,916,946	9,689,006	8,316,266	11,095,614	13,534,356
39. Pennsylvania.....PA.....L.....	L	3,405,886	3,449,382	2,726,604	2,190,631	3,935,112	3,755,305
40. Rhode Island.....RI.....L.....	L						
41. South Carolina.....SC.....L.....	L	3,982,949	3,713,487	2,404,995	2,500,457	2,713,822	2,515,617
42. South Dakota.....SD.....L.....	L	435,403	572,060	243,481	288,701	88,076	142,735
43. Tennessee.....TN.....L.....	L	2,122,590	2,231,006	1,100,344	1,875,090	1,408,625	1,833,859
44. Texas.....TX.....L.....	L	33,089,796	35,871,288	30,791,541	27,048,164	20,654,498	28,919,307
45. Utah.....UT.....L.....	L	4,044,509	3,656,752	2,482,204	2,518,490	3,995,065	3,352,259
46. Vermont.....VT.....L.....	L	1,809,446	1,835,606	1,306,776	1,027,155	2,060,936	1,127,223
47. Virginia.....VA.....L.....	L	7,290,972	7,605,049	6,721,214	7,222,439	5,052,045	8,433,800
48. Washington.....WA.....L.....	L	43,732,551	40,165,181	32,542,475	26,949,627	40,565,042	39,945,905
49. West Virginia.....WV.....L.....	L						
50. Wisconsin.....WI.....L.....	L	7,497,026	7,634,871	4,298,469	5,034,378	6,113,194	8,849,432
51. Wyoming.....WY.....L.....	L						
52. American Samoa.....AS.....N.....	N						
53. Guam.....GU.....N.....	N						
54. Puerto Rico.....PR.....N.....	N						
55. US Virgin Islands.....VI.....N.....	N						
56. Northern Mariana Islands.....MP.....N.....	N						
57. Canada.....CAN.....N.....	N						
58. Aggregate Other Alien.....OT.....XXX.....	XXX	0	0	0	0	0	0
59. Totals.....XXX.....	XXX	554,314,372	530,701,391	401,547,711	404,214,154	531,222,222	577,799,379

**DETAILS OF WRITE-INS**

58001.....XXX.....	XXX						
58002.....XXX.....	XXX						
58003.....XXX.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.....XXX.....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....XXX.....	XXX	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	51	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	6



**INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc.....	13-3180631		
Ameriprise Advisor Capital, LLC.....	27-0544454		
Ameriprise Advisor Financing, LLC.....			
Ameriprise Bank, FSB.....	06-1791061		
Ameriprise Capital Trust I.....	45-6157641		
Ameriprise Capital Trust II.....	45-6157643		
Ameriprise Capital Trust III.....	45-6157644		
Ameriprise Capital Trust IV.....	45-6157645		
Ameriprise Captive Insurance Company.....	20-5761939	VT	12852
Ameriprise Certificate Company.....	41-6009975		
Investors Syndicate Development Corporation.....	41-0951695		
Ameriprise Holdings, Inc.....	26-3878824		
201 Eleventh Street South, LLC.....	45-5464028		
Ameriprise India LLP.....			
Ameriprise India Partner, LLC.....	83-3396069		
Ameriprise Trust Company.....	41-6219335		
AMPF Holding Corporation.....	38-2722519		
American Enterprise Investment Services Inc.....	41-1667086		
Ameriprise Advisory Management, LLC.....			
Ameriprise Financial Services, Inc.....	41-0973005		
AMPF Property Corporation.....	38-3050688		
AMPF Realty Corporation.....	38-3050690		
Investment Professionals, Inc.....	74-2629876		
IDS Property Casualty Insurance Company.....	39-1173498	WI	29068
Ameriprise Auto & Home Insurance Agency, Inc.....	82-0541142		
Ameriprise Insurance Company.....	65-1261374	WI	12504
RiverSource Distributors, Inc.....	42-1690915		
RiverSource Life Insurance Company.....	41-0823832	MN	65005
RiverSource Life Insurance Co. of New York.....	41-0987741	NY	80594
RiverSource NY REO, LLC.....	27-0380139		
RiverSource REO 1, LLC.....	26-4164569		
RiverSource Tax Advantaged Investments, Inc.....	13-6178563		
AEXP Affordable Housing Portfolio, LLC.....	41-1977631		
CREA Corporate Tax Credit Fund XXVIII, LLC.....			
Columbia Management Investment Advisers, LLC.....	41-1533211		
Advisory Capital Strategies Group, Inc.....	41-1624224		
Columbia Institutional High Yield Fixed Income Private Fund, LLC.....	45-5077345		
Columbia U.S. Contrarian Core Equity Private Fund, LLC.....			
Emerging Global Advisors, LLC.....			
Centurion CDO IV Limited.....			
Centurion CDO VI, Ltd.....	98-0424253		
Centurion CDO 7 Limited.....	98-0416968		
Centurion CDO 8, Ltd.....	98-0435521		
Centurion CDO IX Limited.....	98-0446511		
Centurion CDO 10 Limited.....	98-0465083		
Cent CLO 11 Limited.....	98-0463886		
Cent CLO 12 Limited.....	98-0507952		
Cent CLO 14 Limited.....	98-0509628		
Cent CLO 15 Limited.....	98-0528007		
Cent CLO 18 Limited.....	98-1105047		
Cent CLO 19 Limited.....	98-1132125		
Cent CLO 21 Limited.....	98-1174078		
Cent CLO 23 Limited.....	98-1210182		
Cent CLO 24 Limited.....	98-1252643		
Cent CLO 27 Limited.....			
Cent CLO 28 Limited.....			
Columbia Adaptive Retirement 2020.....	82-2427165		
Columbia Adaptive Retirement 2025.....	82-4135960		
Columbia Adaptive Retirement 2030.....	82-2442360		
Columbia Adaptive Retirement 2035.....	82-4150305		
Columbia Adaptive Retirement 2040.....	82-2464783		
Columbia Adaptive Retirement 2045.....	82-4172289		
Columbia Adaptive Retirement 2050.....	82-2481153		
Columbia Adaptive Retirement 2055.....	82-4188116		
Columbia Adaptive Retirement 2060.....	82-2498236		
Columbia Wanger Asset Management, LLC.....	04-3519872		
GA Legacy, LLC.....	26-2639148		
J. & W. Seligman & Co. Incorporated.....	13-3043476		
Columbia Management Investment Distributors, Inc.....	13-3043478		
Seligman Partners, LLC.....	13-4200160		
Seligman Tech Spectrum Fund, LLC.....	13-4200160		
Lionstone Partners, LLC.....	76-0694729		
Cash Flow Asset Management GP, LLC.....	81-4006192		
Cash Flow Asset Management, LP.....	20-0065394		
Lionstone Advisory Services, LLC.....	27-1065302		
Lionstone CFRE II Real Estate Advisory, LLC.....	47-1271089		
Lionstone Development Services, LLC.....	46-4179110		
LPL 1111 Broadway GP, LLC.....	81-4664116		
LPL 1111 Broadway, LP.....	36-4855005		
RiverSource CDO Seed Investments, LLC.....	87-0812264		
Columbia Management Investment Services Corp.....	41-1861053		

**INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc. (continued).....	13-3180631		
- Ameriprise International Holdings GmbH.....			
- Ameriprise Asset Management Holdings GmbH.....			
- Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.....			
- Ameriprise Asset Management Holdings Hong Kong Limited.....			
- Threadneedle Portfolio Services Hong Kong Ltd.....			
- Threadneedle Asset Management Malaysia Sdn. Bhd.....			
- Threadneedle Investments Singapore (Pte.) Ltd.....			
- Threadneedle Investments Taiwan Ltd.....			
- Ameriprise Holdings Singapore (Pte.) Ltd.....			
- Threadneedle Asset Management Holdings Sarl.....			
- CTM Holdings Limited.....			
- Columbia Threadneedle Investments (ME) Ltd.....			
- TAM Investment Ltd.....			
- Threadneedle International Investments GmbH.....			
- Threadneedle Management Luxembourg S.A.....			
- Threadneedle US Disciplined Core Equities.....			
- Threadneedle Holdings Ltd.....			
- TAM UK Holdings Ltd.....			
- Threadneedle Asset Management Holdings Ltd.....			
- Columbia Threadneedle Foundation.....			
- TC Financing Limited.....			
- Threadneedle Asset Management Ltd.....	98-0691981		
- Threadneedle Investment Services Ltd.....	98-0691982		
- Threadneedle Asset Management (Nominees) Ltd.....			
- Sackville TIPP Property (GP) Ltd.....			
- Threadneedle Asset Management Finance Ltd.....			
- TMS Investment Ltd.....			
- Threadneedle International Ltd.....			
- Threadneedle Investments Ltd.....			
- Threadneedle Investments (Channel Islands) Ltd.....			
- Threadneedle Management Services Ltd.....			
- Threadneedle Securities Ltd.....			
- Threadneedle Navigator ISA Manager Ltd.....			
- Threadneedle Pensions Ltd.....			
- Threadneedle Portfolio Services Ltd.....			
- Threadneedle Portfolio Services AG.....			
- Threadneedle Property Investments Ltd.....			
- Sackville LCW (GP) Ltd.....			
- Sackville (CTESIF) GP S.á r.l.....			
- Sackville Property (GP) Ltd.....			
- Sackville SPF IV (GP) No. 1 Ltd.....			
- Sackville SPF IV (GP) No. 2 Ltd.....			
- Sackville SPF IV (GP) No. 3 Ltd.....			
- Sackville SPF IV Property (GP) Ltd.....			
- Sackville Tandem Property (GP) Ltd.....			
- Sackville TPEN Property (GP) Ltd.....			
- Sackville TPEN Property Nominee Ltd.....			
- Sackville TSP Property (GP) Ltd.....			
- Sackville UK Property Select II (GP) Ltd.....			
- Sackville UK Property Select II (GP) No. 1 Ltd.....			
- Sackville UK Property Select II (GP) No. 2 Ltd.....			
- Sackville UK Property Select II (GP) No. 3 Ltd.....			
- Threadneedle Unit Trust Manager Ltd.....			
- Threadneedle EMEA Holdings 1, LLC.....	47-3044011		

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
	Ameriprise Financial, Inc.		13-3180631	2433312	820027	NYSE	Ameriprise Financial, Inc.	DE	UDP					N	
	Ameriprise Financial, Inc.		27-0544454	4283810			Ameriprise Advisor Capital, LLC	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Advisor Financing, LLC	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		06-1791061	3470239			Ameriprise Bank, FSB	USA	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157641	4285346			Ameriprise Capital Trust I	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157643	4289755			Ameriprise Capital Trust II	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157644	4289764			Ameriprise Capital Trust III	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157645	4289773			Ameriprise Capital Trust IV	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	12852	20-5761939	4289791			Ameriprise Captive Insurance Company	VT	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-6009975	4054449			Ameriprise Certificate Company	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-0951695	4285298			Investors Syndicate Development Corporation	NV	NIA	Ameriprise Certificate Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		26-3878824	4283856			Ameriprise Holdings, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-5464028				201 Eleventh Street South, LLC	MN	NIA	Ameriprise Holdings, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4289830			Ameriprise India LLP	IND	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	1
	Ameriprise Financial, Inc.		83-3396069				Ameriprise India Partner, LLC	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-6219335	994257			Ameriprise Trust Company	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		38-2722519	4289933			AMPF Holding Corporation	MI	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-1667086	3255445			American Enterprise Investment Services Inc.	MN	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Advisory Management, LLC	DE	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-0973005	4289942			Ameriprise Financial Services, Inc.	DE	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		38-3050688	4289951			AMPF Property Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		38-3050690	4289960			AMPF Realty Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		74-2629876				Investment Professionals, Inc.	TX	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	29068	39-1173498	4289885			IDS Property Casualty Insurance Company	WI	RE	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		82-0541142	4289894			Ameriprise Auto & Home Insurance Agency, Inc.	WI	NIA	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	Y	
0004	Ameriprise Financial, Inc.	12504	65-1261374	4289906			Ameriprise Insurance Company	WI	DS	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		42-1690915	4291815			RiverSource Distributors, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	65005	41-0823832	2696715			RiverSource Life Insurance Company	MN	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	80594	41-0987741	2718046			RiverSource Life Insurance Co. of New York	NY	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		27-0380139	4289858			RiverSource NY REO, LLC	NY	NIA	RiverSource Life Insurance Co. of New York	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		26-4164569	4289867			RiverSource REO 1, LLC	MN	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		13-6178563	4289876			RiverSource Tax Advantaged Investments, Inc.	DE	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	Y	
	Ameriprise Financial, Inc.		41-1977631	3945102			AEXP Affordable Housing Portfolio, LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	66.000	Ameriprise Financial, Inc.	N	

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## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....						CREA Corporate Tax Credit Fund XXVIII, LLC..	DE.....	NIA.....	RiverSource Tax Advantaged Investments, Inc.	Ownership.....	.....99.900	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		41-1533211..	.....4246169			Columbia Management Investment Advisers, LLC	MN.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		41-1624224..	.....4289979			Advisory Capital Strategies Group, Inc.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		45-5077345..				Columbia Institutional High Yield Fixed Income Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....						Columbia U.S. Contrarian Core Equity Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....						Emerging Global Advisors, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....						Centurion CDO IV Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0424253..				Centurion CDO VI, Ltd.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0416968..				Centurion CDO 7 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0435521..				Centurion CDO 8, Ltd.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0446511..				Centurion CDO IX Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0465083..				Centurion CDO 10 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0463886..				Cent CLO 11 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0507952..				Cent CLO 12 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0509628..				Cent CLO 14 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-0528007..				Cent CLO 15 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-1105047..				Cent CLO 18 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-1132125..				Cent CLO 19 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-1174078..				Cent CLO 21 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	

Q12.1

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....		98-1210182..				Cent CLO 23 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		98-1252643..				Cent CLO 24 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....						Cent CLO 27 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....						Cent CLO 28 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-2427165..				Columbia Adaptive Retirement 2020.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-4135960..				Columbia Adaptive Retirement 2025.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-2442360..				Columbia Adaptive Retirement 2030.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....85.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-4150305..				Columbia Adaptive Retirement 2035.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....95.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-2464783..				Columbia Adaptive Retirement 2040.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....93.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-4172289..				Columbia Adaptive Retirement 2045.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-2481153..				Columbia Adaptive Retirement 2050.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-4188116..				Columbia Adaptive Retirement 2055.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		82-2498236..				Columbia Adaptive Retirement 2060.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....99.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		04-3519872..	.....4289997			Columbia Wanger Asset Management, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		26-2639148..	.....4346854			GA Legacy, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		13-3043476..	.....2654434			J. & W. Seligman & Co. Incorporated.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		13-3043478..	.....4292821			Columbia Management Investment Distributors, Inc.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		13-4200160..	.....4293145			Seligman Partners, LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		13-4200160..				Seligman Tech Spectrum Fund, LLC.....	DE.....	NIA.....	Seligman Partners LLC.....	Influence.....		Ameriprise Financial, Inc.....	.....N.....	
	Ameriprise Financial, Inc.....		76-0694729..				Lionstone Partners, LLC.....	TX.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	.....100.000	Ameriprise Financial, Inc.....	.....N.....	

Q12.2

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.....	Ameriprise Financial, Inc.....	.....	81-4006192..	.....	.....	.....	Cash Flow Asset Management GP, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	20-0065394..	.....	.....	.....	Cash Flow Asset Management, L.P.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	99.000	Ameriprise Financial, Inc.....	.....N.....	2.....
.....	Ameriprise Financial, Inc.....	.....	27-1065302..	.....	.....	.....	Lionstone Advisory Services, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	47-1271089..	.....	.....	.....	Lionstone CFRE II Real Estate Advisory, LLC.....	DE.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	46-4179110..	.....	.....	.....	Lionstone Development Services, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	81-4664116..	.....	.....	.....	LPL 1111 Broadway GP, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	36-4855005..	.....	.....	.....	LPL 1111 Broadway, L.P.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	99.900	Ameriprise Financial, Inc.....	.....N.....	3.....
.....	Ameriprise Financial, Inc.....	.....	87-0812264..	4290126	.....	.....	RiverSource CDO Seed Investments, LLC.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	41-1861053..	4291776	.....	.....	Columbia Management Investment Services Corp.	MN.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Ameriprise International Holdings GmbH.....	CHE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Ameriprise Asset Management Holdings GmbH	CHE.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	SGP.....	NIA.....	Ameriprise Asset Management Holdings GmbH	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Ameriprise Asset Management Holdings Hong Kong Limited	HKG.....	NIA.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4293903	.....	.....	Threadneedle Portfolio Services Hong Kong Ltd	HKG.....	NIA.....	Ameriprise Asset Management Holdings Hong Kong Limited	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Threadneedle Asset Management Malaysia Sdn. Bhd	MYS.....	NIA.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4293873	.....	.....	Threadneedle Investments Singapore (Pte.) Ltd	SGP.....	NIA.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Threadneedle Investments Taiwan Ltd.....	TWN.....	NIA.....	Ameriprise Asset Management Holdings GmbH	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Ameriprise Holdings Singapore (Pte.) Ltd. ....	SGP.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4293538	.....	.....	Threadneedle Asset Management Holdings Sarl	LUX.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	4.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	CTM Holdings Limited.....	MLT.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Columbia Threadneedle Investments (ME) Ltd..	ARE.....	NIA.....	CTM Holdings Limited.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4293574	.....	.....	TAM Investment Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4293855	.....	.....	Threadneedle International Investments GmbH.	CHE.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....

Q12.3

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....			4293882			Threadneedle Management Luxembourg S.A.....	LUX.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle US Disciplined Core Equities.....	LUX.....	NIA.....	Threadneedle Management Luxembourg S.A.	Ownership.....	99.330	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294339			Threadneedle Holdings Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294348			TAM UK Holdings Ltd.....	GBR.....	NIA.....	Threadneedle Holdings Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294357			Threadneedle Asset Management Holdings Ltd.....	GBR.....	NIA.....	TAM UK Holdings Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	4.....
	Ameriprise Financial, Inc.....						Columbia Threadneedle Foundation.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294535			TC Financing Limited .....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0691981..	4294469			Threadneedle Asset Management Ltd.....	GBR.....	NIA.....	TC Financing Limited .....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0691982..	4294571			Threadneedle Investment Services Ltd.....	GBR.....	NIA.....	TC Financing Limited .....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294478			Threadneedle Asset Management (Nominees) Ltd	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294526			Sackville TIPP Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management (Nominees) Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294441			Threadneedle Asset Management Finance Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294450			TMS Investment Ltd .....	JEY.....	NIA.....	Threadneedle Asset Management Finance Ltd	Ownership.....	44.000	Ameriprise Financial, Inc.....	N.....	4.....
	Ameriprise Financial, Inc.....			4294553			Threadneedle International Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294393			Threadneedle Investments Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294599			Threadneedle Investments (Channel Islands) Ltd	JEY.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Management Services Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294768			Threadneedle Securities Ltd.....	GBR.....	NIA.....	Threadneedle Management Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294786			Threadneedle Navigator ISA Manager Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294795			Threadneedle Pensions Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294982			Threadneedle Portfolio Services Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	

Q12.4

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Threadneedle Portfolio Services AG.....	CHE.....	NIA.....	Threadneedle Portfolio Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4294991	.....	.....	Threadneedle Property Investments Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295028	.....	.....	Sackville LCW (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	33.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville (CTESIF) GP S.á r.l.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295082	.....	.....	Sackville Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295130	.....	.....	Sackville SPF IV (GP) No. 1 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295167	.....	.....	Sackville SPF IV (GP) No. 2 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295206	.....	.....	Sackville SPF IV (GP) No. 3 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295112	.....	.....	Sackville SPF IV Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	4295251	.....	.....	Sackville Tandem Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville TPEN Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville TPEN Property Nominee Ltd	GBR.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville TSP Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville UK Property Select II (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville UK Property Select II (GP) No. 1 Ltd..	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville UK Property Select II (GP) No. 2 Ltd..	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Sackville UK Property Select II (GP) No. 3 Ltd..	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	.....	.....	.....	.....	Threadneedle Unit Trust Manager Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....
.....	Ameriprise Financial, Inc.....	.....	47-3044011..	.....	.....	.....	Threadneedle EMEA Holdings 1, LLC	MN.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	.....N.....	.....

Q12.5

**Aster Explanation**

1	Ameriprise Financial, Inc. owns a 100% profit sharing ratio with capital contribution of 124,078,760 INR (Indian currency=rupees) and 10 INR are owned each by Columbia Management Investment Advisers, LLC and Ameriprise India Partner, LLC.
2	This entity has two shareholders: Lionstone Partners, LLC (99%) and Cash Flow Asset Management GP, LLC (1%).
3	This entity has two shareholders: Lionstone Partners, LLC (99.9%) and LPL 1111 Broadway GP, LLC (0.1%).
4	Ownership percentage indicates voting shares only.



## IDS PROPERTY CASUALTY INSURANCE COMPANY PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.000	
2. Allied lines.....			0.000	
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....	137,607,117	93,422,364	67.891	93.280
5. Commercial multiple peril.....			0.000	
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....			0.000	
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....			0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....			0.000	
17.1 Other liability-occurrence.....	4,535,850	1,901,590	41.924	15.305
17.2 Other liability-claims made.....			0.000	
17.3 Excess workers' compensation.....			0.000	
18.1 Products liability-occurrence.....			0.000	
18.2 Products liability-claims made.....			0.000	
19.1, 19.2 Private passenger auto liability.....	235,747,906	172,454,227	73.152	72.032
19.3, 19.4 Commercial auto liability.....			0.000	
21. Auto physical damage.....	167,866,142	112,244,884	66.866	70.405
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....			0.000	
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....			0.000	
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	545,757,015	380,023,065	69.632	77.552
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

## PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....	76,288,262	137,725,828	138,236,362
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....	2,434,654	4,681,088	4,506,767
17.2 Other liability-claims made.....			
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....	118,400,358	242,792,051	225,335,536
19.3 19.4 Commercial auto liability.....			
21. Auto physical damage.....	81,670,034	169,115,400	162,622,725
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	278,793,308	554,314,367	530,701,390
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2019 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2016 + Prior.....	86,107	54,053	140,160	47,328	4,414	51,742	59,384	1,222	40,254	100,860	20,605	(8,163)	12,442
2. 2017.....	68,322	78,353	146,675	40,558	831	41,389	54,110	3,394	44,292	101,796	26,346	(29,836)	(3,490)
3. Subtotals 2017 + Prior.....	154,429	132,406	286,835	87,886	5,246	93,132	113,494	4,616	84,546	202,656	46,951	(37,998)	8,953
4. 2018.....	103,562	213,599	317,161	103,459	14,677	118,136	73,271	13,701	102,605	189,577	73,168	(82,616)	(9,448)
5. Subtotals 2018 + Prior.....	257,991	346,005	603,996	191,345	19,923	211,268	186,765	18,317	187,151	392,233	120,119	(120,614)	(495)
6. 2019.....	XXX	XXX	XXX	XXX	263,194	263,194	XXX	62,960	131,498	194,458	XXX	XXX	XXX
7. Totals.....	257,991	346,005	603,996	191,345	283,117	474,462	186,765	81,277	318,649	586,691	120,119	(120,614)	(495)
8. Prior Year-End's Surplus As Regards Policyholders	789,281										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....46.6 %	2. ....(34.9)%	3. ....(0.1)%
													Col. 13, Line 7 Line 8
													4. ....(0.1)%

Q14

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



**NONE**

# IDS PROPERTY CASUALTY INSURANCE COMPANY

## SCHEDULE A - VERIFICATION

### Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	989,306	899,886
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		1,902
3. Current year change in encumbrances.....	398,256	789,453
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	354,301	701,935
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6+7-8).....	1,033,262	989,306
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	1,033,262	989,306

## SCHEDULE B - VERIFICATION

### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	36,116,877	29,888,908
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		7,720,000
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	5,553,796	1,492,030
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	30,563,081	36,116,877
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	30,563,081	36,116,877
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	30,563,081	36,116,877

## SCHEDULE BA - VERIFICATION

### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

## SCHEDULE D - VERIFICATION

### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,611,918,348	1,623,794,411
2. Cost of bonds and stocks acquired.....	18,977,542	170,647,941
3. Accrual of discount.....	369,532	748,187
4. Unrealized valuation increase (decrease).....	1,591,003	2,582,489
5. Total gain (loss) on disposals.....	80,058	(324,589)
6. Deduct consideration for bonds and stocks disposed of.....	109,614,236	178,580,112
7. Deduct amortization of premium.....	3,605,289	7,359,904
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	15,481	409,924
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10).....	1,519,732,437	1,611,918,348
12. Deduct total nonadmitted amounts.....	4,738,888	3,682,632
13. Statement value at end of current period (Line 11 minus Line 12).....	1,514,993,549	1,608,235,716

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	1,161,703,126		31,530,006	1,737,893	1,161,703,126	1,131,911,013		1,175,633,736
2. NAIC 2 (a).....	450,943,813	1,576,737,795	1,542,138,698	(2,500,633)	450,943,813	483,042,277		429,205,889
3. NAIC 3 (a).....	1,981,448			84,593	1,981,448	2,066,041		2,029,766
4. NAIC 4 (a).....						0		
5. NAIC 5 (a).....	5,117,407			700	5,117,407	5,118,107		5,116,718
6. NAIC 6 (a).....						0		
7. Total Bonds.....	1,619,745,794	1,576,737,795	1,573,668,704	(677,447)	1,619,745,794	1,622,137,438	0	1,611,986,109
<b>PREFERRED STOCK</b>								
8. NAIC 1.....						0		
9. NAIC 2.....						0		
10. NAIC 3.....						0		
11. NAIC 4.....						0		
12. NAIC 5.....						0		
13. NAIC 6.....						0		
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,619,745,794	1,576,737,795	1,573,668,704	(677,447)	1,619,745,794	1,622,137,438	0	1,611,986,109

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....156,966,145; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1  
NONE**

**Sch. DA - Verification  
NONE**

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

## SCHEDULE E - PART 2 - VERIFICATION

## Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	53,085,091	42,002,242
2. Cost of cash equivalents acquired.....	2,847,281,954	4,810,768,387
3. Accrual of discount.....	1,435,100	1,018,658
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		4
6. Deduct consideration received on disposals.....	2,744,836,000	4,800,704,200
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	156,966,145	53,085,091
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	156,966,145	53,085,091



### SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
Home Office Building.....	De Pere.....	WI...	08/27/1999....	VARIOUS.....				
0199999. Totals.....					.....0	.....0	.....0	.....0
0399999. Totals.....					.....0	.....0	.....0	.....0

QE01

### SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State					9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
<b>NONE</b>																		

### SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2 Location		4	5	6	7	8	9
Loan Number	City	State	Loan Type	Date Acquired	Date of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings

NONE

### SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	2 Location		4	5	6	7	8 Change in Book Value/Recorded Investment					14	15	16	17	18
	City	State					Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)					

QE02

Mortgages With Partial Repayments																
290081000	Los Angeles	CA		01/29/2015		2,248,278					.0			12,584		.0
290081010	Valencia	CA		03/09/2017		1,332,079					.0			18,653		.0
290081019	Atlanta	GA		08/17/2018		2,273,078					.0			27,539		.0
290081007	Chamblee	GA		07/13/2015		1,647,180					.0			28,545		.0
290081008	Louisville	KY		12/13/2016		1,640,685					.0			24,591		.0
290001017	Fenton	MI		07/17/2018		1,786,753					.0			10,175		.0
290001018	Hillsdale	MI		07/17/2018		813,965					.0			4,635		.0
290081014	St. Louis Park	MN		12/14/2017		2,003,735					.0			27,116		.0
290081012	Durham	NC		10/13/2017		2,871,623					.0			54,460		.0
290081011	Las Vegas	NV		05/03/2017		2,700,659					.0			17,336		.0
290081009	Brooklyn	NY		12/22/2016		1,306,520					.0			19,661		.0
290081016	Tigard	OR		07/02/2018		2,754,956					.0			34,557		.0
290081003	Austin	TX		04/01/2015		1,208,905					.0			21,588		.0
290081006	Eules	TX		06/16/2015		1,187,337					.0			40,445		.0
290081005	The Woodlands	TX		06/24/2015		1,827,212					.0			13,701		.0
290081001	Chesapeake	VA		02/02/2015		1,323,625					.0			23,745		.0
290081002	Virginia Beach	VA		03/02/2015		2,437,587					.0			23,179		.0
0299999	Total - Mortgages With Partial Repayments					31,364,180	.0	.0	.0	.0	.0	.0	.0	402,510	.0	.0
0599999	Total Mortgages					31,364,180	.0	.0	.0	.0	.0	.0	.0	402,510	.0	.0

### SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									

**NONE**

QE03

### SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						

**NONE**

### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/Market Indicator (a)
<b>Bonds - Industrial and Miscellaneous</b>									
25470D AW 9	DISCOVERY COMMUNICATIONS SR UNSECURED 2.75% 11/15/2019 .....		04/02/2019.....	Tax Free Exchange.....		18,977,542	18,926,000	199,512	2FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					18,977,542	18,926,000	199,512	XXX.....
8399997	Total - Bonds - Part 3.....					18,977,542	18,926,000	199,512	XXX.....
8399999	Total - Bonds.....					18,977,542	18,926,000	199,512	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					18,977,542	XXX	199,512	XXX.....

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For rei g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128MF	NA 2		06/01/2019	FGOLD 15YR GIANT POOL FG G16485 3 % 05/01/2033		521,996	521,996	518,265	518,341		3,656		3,656		521,996			0	6,645	05/01/2033	1
3128MM	TN 3		06/01/2019	FHLMC 2.5% MBS 15yr Pool G18556 6/1/2030		249,379	249,379	252,457	251,910		(2,532)		(2,532)		249,379			0	2,640	06/01/2030	1
3128MM	VG 5		06/01/2019	FHLMC 2.5% MBS 15yr POOL FG G18614 10/1/2031		155,253	155,253	160,881	160,235		(4,981)		(4,981)		155,253			0	1,641	10/01/2031	1
3128MM	VU 4		06/01/2019	FHLMC 2.5% MBS 15yr POOL FG G18626 1/1/2032		292,347	292,347	292,667	292,608		(260)		(260)		292,347			0	3,113	01/01/2032	1
3132KE	WH 4		06/01/2019	FHLMC 2.5% MBS 15yr Pool V60648 12/1/2029		696,755	696,755	719,073	714,800		(18,045)		(18,045)		696,755			0	7,151	12/01/2029	1
3132KF	AP 7		06/01/2019	FHLMC 3% MBS 15yr Pool V60914 8/1/2030		277,163	277,163	288,292	286,576		(9,413)		(9,413)		277,163			0	3,556	08/01/2030	1
3138EN	NV 7		06/01/2019	FNMA 2.5% MBS 15yr Pool AL5803 10/1/2028		236,481	236,481	241,099	240,042		(3,561)		(3,561)		236,481			0	2,482	10/01/2028	1
3138WD	7G 0		06/01/2019	FNMA 2.5% MBS 15yr Pool AS4494 2/1/2030		179,820	179,820	183,978	183,055		(3,235)		(3,235)		179,820			0	1,936	02/01/2030	1
3138WP	JC 9		06/01/2019	FNMA 2.5% MBS 15yr Pool AT2058 4/1/2028		252,938	252,938	256,495	255,597		(2,659)		(2,659)		252,938			0	2,641	04/01/2028	1
3138WP	JE 5		06/01/2019	FNMA 2.5% MBS 15yr Pool AT2060 4/1/2028		408,998	408,998	415,772	413,939		(4,941)		(4,941)		408,998			0	4,295	04/01/2028	1
3138XW	EM 6		06/01/2019	FNMA 2.5% MBS 15yr Pool AW6439 6/1/2029		486,322	486,322	491,756	490,553		(4,230)		(4,230)		486,322			0	5,469	06/01/2029	1
3138YD	ZJ 1		06/01/2019	FNMA 2.5% MBS 15yr Pool AY0744 3/1/2030		456,142	456,142	465,710	463,869		(7,727)		(7,727)		456,142			0	4,844	03/01/2030	1
3140H1	W2 2		06/01/2019	FNMA 15YR POOL FN BJ0664 3 % 03/01/2033		557,886	557,886	552,743	552,859		5,027		5,027		557,886			0	7,369	03/01/2033	1
3140Q8	MP 7		06/01/2019	FNMA 3.0% MBS 15YR POOL CA1265 2/1/2033		201,034	201,034	199,966	199,981		1,053		1,053		201,034			0	2,573	02/01/2033	1
3140Q9	FJ 7		06/01/2019	FNMA 3.50% MBS 15YR POOL CA1968 6/1/2033		648,732	648,732	656,132	655,933		(7,201)		(7,201)		648,732			0	9,403	06/01/2033	1
373506	AU 8		06/15/2019	GEORGIA ENVIR REV BOND 5.125% 3/15/2031	100.0000	130,000	130,000	130,000	130,000				0		130,000			0	2,733	03/15/2031	1FE
462590	JH 4		06/01/2019	IOWA STUDENT LN REV BOND 5.3% 12/1/2023	100.0000	1,000,000	1,000,000	1,000,000	1,000,000				0		1,000,000			0	26,500	12/01/2023	1FE
57586P	5G 7		06/01/2019	MASSACHUSETTS HSG FIN AGY REV BOND 4% 12/1/2033	100.0000	225,000	225,000	225,000	225,000				0		225,000			0	4,500	12/01/2033	1FE
60416Q	DK 7		04/01/2019	MINNESOTA HSG FIN AGY REV BOND 4.7% 1/1/2031	100.0000	5,000	5,000	5,000	5,000				0		5,000			0	176	01/01/2031	1FE
60416Q	GG 3		06/01/2019	MINNESOTA HSG FIN AGY REV BOND 2.95% 2/1/2046	100.0000	65,279	65,279	65,279	65,279				0		65,279			0	824	02/01/2046	1FE
646129	6P 6		04/01/2019	NEW JERSEY HGR ED AUTH REV BOND 4.65% 10/1/2029	100.0000	325,000	325,000	325,000	325,000				0		325,000			0	7,556	10/01/2029	1FE

QE05

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/Market Indicator (a)
762315 NN 5	RHODE ISLAND STUDENT LN REV BOND 4.625% 12/1/2027		06/20/2019	Call 100.0000		1,000,001	1,000,000	995,440	996,664	-	143	-	143	-	996,812		3,188	3,188	25,566	12/01/2027	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					8,371,526	8,371,525	8,441,005	8,427,241	0	(58,906)	0	(58,906)	0	8,368,337	0	3,188	3,188	133,613	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
19626N AA 1	12/17/2033		06/17/2019	Paydown		2,953,103	2,953,103	2,955,872	2,955,517		(2,414)		(2,414)		2,953,103			0	55,359	12/17/2033	1FE
25470D AV 1	DISCOVERY COMMUNICATIONS Sr Unsecured 2.75% 11/15/2019		04/02/2019	Tax Free Exchange		18,977,542	18,926,000	19,071,548	19,001,948		(24,406)		(24,406)		18,977,542			0	199,512	11/15/2019	2FE
25470D AW 9	DISCOVERY COMMUNICATIONS SR UNSECURED 2.75% 11/15/2019		06/05/2019	Call 100.0818		18,941,481	18,926,000	18,977,542		(16,385)		(16,385)		18,961,156		(35,156)	(35,156)	304,629	11/15/2019	2FE	
281381 AA 1	EDUSA 2014-3 A ABS 1.837% 2/25/2036		06/25/2019	Paydown		251,770	251,770	246,971	247,547		4,223		4,223		251,770			0	3,313	02/25/2036	1FE
3622LP AA 7	GPT 2018-GPP A CMBS 3.171% 06/15/2035		06/15/2019	Paydown		2,672,868	2,672,868	2,666,186	2,667,681		5,188		5,188		2,672,868			0	41,630	06/15/2035	1FE
43730W AA 4	HPA 2018-1 A ABS 2.98506% 07/17/2037		06/17/2019	Paydown		284,180	284,180	284,180	284,180				0		284,180			0	4,048	07/17/2037	1FE
68233J AX 2	ONCOR ELECTRIC DELIVERY SR SECURED 2.15% 6/1/2019		06/01/2019	Maturity		6,000,000	6,000,000	6,003,036	6,000,280		(280)		(280)		6,000,000			0	64,500	06/01/2019	1FE
69339B AA 0	PHEAA 2013-1A A ABS - STUDENT LOANS 2.986% 12/25/2036		06/25/2019	Paydown		168,279	168,279	164,414	165,232		3,048		3,048		168,279			0	2,125	11/25/2036	1FE
83149E AE 7	SLMA 2006-5 A5 ABS - STUDENT LOANS 2.881% 1/25/207		04/25/2019	Paydown		454,297	454,297	442,087	448,673		5,624		5,624		454,297			0	6,290	01/25/2027	1FE
55953E AJ 9	MAGNE 2014-11A A1R ABS - CLO 2.42361% 1/18/2027	D	06/05/2019	Call 100.0000		9,517,358	9,517,358	9,517,358	9,517,358				0		9,517,358			0	226,717	01/18/2027	1FE
55953E AJ 9	MAGNE 2014-11A A1R ABS - CLO 2.42361% 1/18/2027	D	04/18/2019	Paydown		482,642	482,642	482,642	482,642				0		482,642			0	9,103	01/18/2027	1FE
67590L AN 8	OCT19 2014-1A AR ABS - CLO 2.25844% 4/15/2026	D	04/15/2019	Paydown		377,171	377,171	377,171	377,171				0		377,171			0	7,074	04/15/2026	1FE
3899999	Total - Bonds - Industrial and Miscellaneous					61,080,691	61,013,668	61,189,007	42,148,229	0	(25,402)	0	(25,402)	0	61,100,366	0	(35,156)	(35,156)	924,300	XXX	XXX
8399997	Total - Bonds - Part 4					69,452,217	69,385,193	69,630,012	50,575,470	0	(84,308)	0	(84,308)	0	69,468,703	0	(31,968)	(31,968)	1,057,913	XXX	XXX
8399999	Total - Bonds					69,452,217	69,385,193	69,630,012	50,575,470	0	(84,308)	0	(84,308)	0	69,468,703	0	(31,968)	(31,968)	1,057,913	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					69,452,217	XXX	69,630,012	50,575,470	0	(84,308)	0	(84,308)	0	69,468,703	0	(31,968)	(31,968)	1,057,913	XXX	XXX

QE05.1

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

**Sch. DB - Pt. A - Sn. 1  
NONE**

**Sch. DB - Pt. B - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 2  
NONE**

**Sch. DL - Pt. 1  
NONE**

**Sch. DL - Pt. 2  
NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Wells Fargo Bank..... Minneapolis, MN 55474.....					.....21,324,362	.....14,463,599	.....9,555,904	XXX
Wells Fargo Bank..... Minneapolis, MN 55474.....					.....(60,584,470)	.....(61,885,525)	.....(58,710,093)	XXX
JP Morgan Bank..... New York, NY 10005.....					.....42,702	.....15,954	.....37,027	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....0	.....0	.....(39,217,406)	.....(47,405,973)	.....(49,117,162)	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....0	.....0	.....(39,217,406)	.....(47,405,973)	.....(49,117,162)	XXX
0599999. Total Cash.....	XXX	XXX	.....0	.....0	.....(39,217,406)	.....(47,405,973)	.....(49,117,162)	XXX



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Bonds - Industrial &amp; Miscellaneous (Unaffiliated) - Issuer Obligations</b>								
	CIGNA CORP CP.....		06/11/2019.....		07/11/2019.....	14,989,026		21,901
	CIGNA CORP CP.....		06/20/2019.....		07/18/2019.....	4,993,974		3,891
	CENTERPOINT ENERGY INC CP.....		06/19/2019.....		07/15/2019.....	9,989,958		8,591
	CENTERPOINT ENERGY INC CP.....		06/24/2019.....		07/16/2019.....	4,994,643		2,496
	CORNING INC CP.....		06/28/2019.....		08/05/2019.....	19,950,601		4,223
	DUKE ENERGY CORP CP.....		06/10/2019.....		07/01/2019.....	16,500,000		24,544
	E I DU PONT DE NEMOURS AND CO 4-2 CP.....		06/14/2019.....		07/08/2019.....	19,990,071		24,071
	ENTERPRISE PRODUCTS OPERATING CP.....		06/28/2019.....		07/01/2019.....	18,600,000		3,937
	EVERSOURCE ENERGY CP.....		06/25/2019.....		07/02/2019.....	1,999,861		833
	MONDELEZ INTERNATIONAL INC CP.....		06/06/2019.....		07/02/2019.....	14,998,931		26,665
	NISOURCE INC. CP.....		06/13/2019.....		07/08/2019.....	9,994,938		12,993
	PPL CAPITAL FUNDING INC CP.....		06/21/2019.....		07/26/2019.....	19,964,141		14,308
3299999.	Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations.....					156,966,144	0	148,453
3899999.	Total - Industrial & Miscellaneous (Unaffiliated).....					156,966,144	0	148,453
<b>Total Bonds</b>								
7799999.	Subtotals - Issuer Obligations.....					156,966,144	0	148,453
8399999.	Subtotals - Bonds.....					156,966,144	0	148,453
8899999.	Total - Cash Equivalents.....					156,966,144	0	148,453

QE13