

AMERIPRISE FINANCIAL, INC. STATEMENT OF PRINCIPLES
GOVERNING CORPORATE POLITICAL SPENDING*
As Amended and Restated Effective as of March 1, 2019

1. The Company shall not make any contributions or expenditures for the purpose of influencing the election or defeat of a clearly identified candidate for federal, state, or local political office
2. The Company shall not make any contribution or expenditure in anticipation of, in recognition of, in return for, or in connection with any official act or decision
3. The Company shall not compensate or reimburse any officer, employee, director or other person for his or her personal political contributions or expenditures
4. Except as legally permitted for events or activities sponsored by or related to the Company's political action committee, the use of Company facilities, supplies, resources, equipment or employee time for political purposes is prohibited
5. The Company shall not make any contribution to: a Section 501(c)(6) trade association; a Section 501(c)(4) tax-exempt organization; or a Section 527 political organization, all as described in the Internal Revenue Code, for the express purpose of influencing candidate elections
6. The Company's payment of trade association dues will be accompanied by a letter stating that the Company is making the payment on the condition that no portion of it will be used in connection with making a contribution or expenditure to benefit a federal, state, or local candidate, candidate campaign, political party, political committee or other political organization and that the trade association must contact a designated Company officer immediately if the association cannot abide by that condition
7. The Nominating and Governance Committee of the Company's Board of Directors shall oversee corporate political spending in the manner specified in its Committee charter, as amended from time to time
8. The Company shall regularly disclose information on its public website with such content and in such a format as the Company's officers reasonably deem to be appropriate confirming the Company's compliance with these principles. Such information shall include any Company contributions in support of a ballot initiative or referendum

**The Statement of Principles in no way restricts the personal political contributions of directors, officers, employees or other persons and applies only to contributions or expenditures made by Ameriprise Financial, Inc. using corporate treasury funds. The Statement of Principles does not apply to contributions made by the Ameriprise Financial, Inc. Political Action Committee, or AmeriprisePAC. AmeriprisePAC does not use corporate funds to make political contributions. AmeriprisePAC makes contributions solely with funds voluntarily contributed to it by our officers and employees or other eligible persons.*