

QUARTERLY STATEMENT
OF THE
Ameriprise Insurance Company
Of
De Pere
in the state of WI

to the Insurance Department
of the State of

For the Period Ended
March 31, 2016

2016



QUARTERLY STATEMENT

As of March 31, 2016
of the Condition and Affairs of the

Ameriprise Insurance Company

NAIC Group Code..... 4, 4 (Current Period) (Prior Period)	NAIC Company Code..... 12504	Employer's ID Number..... 65-1261374
Organized under the Laws of Wisconsin Incorporated/Organized..... October 14, 2005	State of Domicile or Port of Entry Wisconsin Commenced Business..... January 26, 2006	Country of Domicile US
Statutory Home Office 3500 Packerland Drive..... De Pere WI US 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>		
Main Administrative Office 3500 Packerland Drive..... De Pere WI US <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>		920-330-5100 <i>(Area Code) (Telephone Number)</i>
Mail Address 3500 Packerland Drive..... De Pere WI US <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>		
Primary Location of Books and Records 3500 Packerland Drive..... De Pere WI US <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>		920-330-5100 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address www.ameriprise.com		
Statutory Statement Contact Michelle W Papendick <i>(Name)</i> michelle.papendick@ampf.com <i>(E-Mail Address)</i>		920-330-5402 <i>(Area Code) (Telephone Number) (Extension)</i> 920-330-5603 <i>(Fax Number)</i>

OFFICERS

Name	Title	Name	Title
1. Kenneth John Ciak	President	2. Thomas Richard Moore	Secretary
3. James Louis Hamalainen	Treasurer	4.	

OTHER

Thomas John Boogaard	Vice President	Thomas Scott Botsford	Sr Vice President
Lisa Kay Jossart	Sr Vice President	Christopher Francis Malone	Sr Vice President
Jason Robert Manns	Vice President	Rebecca Lea Roever	Sr Vice President
Gordon Howard Sissingh	Vice President		

DIRECTORS OR TRUSTEES

Thomas Scott Botsford	Kenneth John Ciak	James Louis Hamalainen	Christopher Francis Malone
Rebecca Lea Roever	Bree Ayn Sheahan #	Joseph Edward Sweeney	

State of..... WI
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Thomas S Botsford 1. (Printed Name) Vice President _____ (Title)	_____ (Signature) Michelle W Papendick 2. (Printed Name) Asst Secretary _____ (Title)	_____ (Signature) Christopher F Malone 3. (Printed Name) Asst Treasurer _____ (Title)
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Subscribed and sworn to before me
This 28th day of April 2016

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	43,687,805		43,687,805	43,971,518
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....			0	
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....120,178), cash equivalents (\$.....2,200,000) and short-term investments (\$.....0).....	2,320,178		2,320,178	1,928,482
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	46,007,983	0	46,007,983	45,900,000
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	598,529		598,529	527,871
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....			0	
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	38,779		38,779	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	1,678,942		1,678,942	1,552,190
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	48,324,233	0	48,324,233	47,980,062
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	48,324,233	0	48,324,233	47,980,062

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501.			0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....		
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....		
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....		
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....		
7.1 Current federal and foreign income taxes (including \$....184 on realized capital gains (losses)).....		33,279
7.2 Net deferred tax liability.....	5,405	6,304
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....		
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....		
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....		
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	1,876,062	1,718,728
20. Derivatives.....		
21. Payable for securities.....		
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	1,881,467	1,758,311
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	1,881,467	1,758,311
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	8,000,000	8,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	37,726,474	37,726,474
35. Unassigned funds (surplus).....	716,292	495,277
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 30 \$.....0).....		
36.20.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	46,442,766	46,221,751
38. Totals (Page 2, Line 28, Col. 3).....	48,324,233	47,980,062

DETAILS OF WRITE-INS

2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$.....9,327,455).....	8,943,437	9,322,430	
1.2 Assumed..... (written \$.....0).....			
1.3 Ceded..... (written \$.....9,327,455).....	8,943,437	9,322,430	
1.4 Net..... (written \$.....0).....	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....	8,655,235	6,235,478	
2.2 Assumed.....			
2.3 Ceded.....	8,655,235	6,235,478	
2.4 Net.....	0	0	0
3. Loss adjustment expenses incurred.....			0
4. Other underwriting expenses incurred.....	281,961	819,257	702,811
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	281,961	819,257	702,811
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(281,961)	(819,257)	(702,811)
INVESTMENT INCOME			
9. Net investment income earned.....	429,493	444,878	1,573,100
10. Net realized capital gains (losses) less capital gains tax of \$.....184.....	341	5,101	23,121
11. Net investment gain (loss) (Lines 9 + 10).....	429,834	449,979	1,596,221
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0		
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	0	0	0
15. Total other income (Lines 12 through 14).....	0	0	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	147,873	(369,278)	893,410
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	147,873	(369,278)	893,410
19. Federal and foreign income taxes incurred.....	(72,242)	(254,973)	(188,617)
20. Net income (Line 18 minus Line 19) (to Line 22).....	220,115	(114,305)	1,082,027
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	46,221,752	45,136,613	45,136,613
22. Net income (from Line 20).....	220,115	(114,305)	1,082,027
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0.....			
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	899	3,698	3,112
27. Change in nonadmitted assets.....			
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	221,014	(110,607)	1,085,139
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	46,442,766	45,026,006	46,221,752

DETAILS OF WRITE-INS

0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	0
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....			
2. Net investment income.....	418,073	481,930	1,749,296
3. Miscellaneous income.....			
4. Total (Lines 1 through 3).....	418,073	481,930	1,749,296
5. Benefit and loss related payments.....			
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	281,961	819,257	703,042
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....		20,855	(173,219)
10. Total (Lines 5 through 9).....	281,961	840,112	529,823
11. Net cash from operations (Line 4 minus Line 10).....	136,112	(358,182)	1,219,473
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	225,000	3,466,600	6,854,500
12.2 Stocks.....			
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....		356,688	
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	225,000	3,823,288	6,854,500
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....		1,160,496	8,731,868
13.2 Stocks.....			
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	0	1,160,496	8,731,868
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	225,000	2,662,792	(1,877,368)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	30,583	364	(6,763)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	30,583	364	(6,763)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	391,695	2,304,975	(664,658)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	1,928,480	2,593,138	2,593,138
19.2 End of period (Line 18 plus Line 19.1).....	2,320,175	4,898,112	1,928,480

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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NOTES TO FINANCIAL STATEMENTS

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN**A. Accounting Practices**

The accompanying financial statements of Ameriprise Insurance Company (Company) have been prepared on the basis of accounting practices prescribed by the Office of the Commissioner of Insurance of the State of Wisconsin.

The Office of the Commissioner of Insurance of the State of Wisconsin requires insurance companies domiciled in the State of Wisconsin to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners' NAIC Accounting Practice and Procedures Manual subject to any deviations prescribed or permitted by the Office of the Commissioner of the Insurance of the State of Wisconsin.

No permitted statutory accounting practices were used in the preparation of these financial statements.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Wisconsin is shown below.

	State of Domicile	Current Period	Prior Year
NET INCOME			
(1) Ameriprise Insurance Company state basis (Page 4, Line 20, Columns 1 & 3)	WI	\$ 220,115	\$ 1,082,027
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 – 2 – 3 = 4)	WI	\$ 220,115	\$ 1,082,027
SURPLUS			
(5) Ameriprise Insurance Company state basis (Page 3, line 37, Columns 1 & 2)	WI	\$ 46,442,766	\$ 46,221,751
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 – 6 – 7 = 8)	WI	\$ 46,442,766	\$ 46,221,751

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements of the Company in accordance with the NAIC Annual Statement instructions and Accounting Practices and Procedures manual requires the use of management's estimates and assumptions that affect the amounts reported in these financial statements and notes. Actual results could differ from those estimates.

C. Accounting Policy

No significant change.

NOTE 2 – ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

No significant change.

NOTE 3 – BUSINESS COMBINATIONS AND GOODWILL

No significant change.

NOTE 4 – DISCONTINUED OPERATIONS

No significant change.

NOTE 5 – INVESTMENTS

- A. Mortgage loans - not applicable
- B. Debt Restructuring - not applicable
- C. Reverse Mortgages - not applicable
- D. Loan-Backed Securities

(1) Prepayment assumptions for loan-backed and structured securities are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value all types of these securities except in a situation where rate changes result

NOTES TO FINANCIAL STATEMENTS

in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.

- (2) The Company has no loan-backed or structured securities for which an other-than-temporary impairment ("OTTI") was recognized due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost basis.
- (3) The Company has no loan-backed or structured securities for which an OTTI was recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.
- (4) The following table provides information about loan-backed and structured securities for which the carrying value (amortized cost) exceeds fair value and the length of time that individual securities have continuously had amortized cost in excess of fair value, as of March 31, 2016:

a.	The aggregate amount of unrealized losses:	1.	Less than 12 Months	\$	0
		2.	12 Months or Longer	\$	0
b.	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	\$	0
		2.	12 Months or Longer	\$	0

- (5) For all loan-backed and structured securities for which the carrying value exceeds fair value, the Company has the intent and ability to retain the investment in the security for a period of time sufficient to recover the carrying value.

- E. Repurchase Agreements and/or Securities Lending Transactions - not applicable
- F. Investment in Real Estate - not applicable
- G. Low Income Housing Tax Credits - not applicable
- H. Restricted Assets - no significant change
- I. Working Capital Finance Investments - not applicable

NOTE 6 – JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

No significant changes.

NOTE 7 – INVESTMENT INCOME

No significant changes.

NOTE 8 – DERIVATIVE INSTRUMENTS

No significant changes.

NOTE 9 – INCOME TAXES

No significant changes.

NOTE 10 – INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES

No significant changes.

NOTE 11 – DEBT

No significant change.

NOTE 12 – RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

No significant change.

NOTE 13 – CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

No significant change.

NOTE 14 – LIABILITIES, CONTINGENCIES AND ASSESSMENTS

No significant change.

NOTE 15 – LEASES

No significant changes.

NOTES TO FINANCIAL STATEMENTS

NOTE 16 – INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

No significant changes.

NOTE 17 – SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

No significant change.

NOTE 18 – GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE PORTION OF PARTIALLY INSURED PLANS

Not applicable.

NOTE 19 – DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS

Not applicable.

NOTE 20 – FAIR VALUE MEASUREMENTS

A. Fair Value Measurements at March 31, 2016

- (1) The Company does not have any assets or liabilities reported at fair value as of March 31, 2016.
- (2) The Company does not have any level 3 of the Fair Value Measurements.
- (3) The Company recognizes transfers between levels of the fair value hierarchy as of the beginning of the quarter in which each transfer occurred.
- (4) The Company categorizes its fair value measurements according to a three-level hierarchy. The hierarchy prioritizes the inputs used by the Company's valuation techniques. A level is assigned to each fair value measurement based on the lowest level input that is significant to the fair value measurement in its entirety. The three levels of the fair value hierarchy are defined as follows:
 - Level 1 Unadjusted quoted prices for identical assets or liabilities in active markets that are accessible at the measurement date.
 - Level 2 Prices or valuations based on observable inputs other than quoted prices in active markets for identical assets and liabilities.
 - Level 3 Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable.

The Company uses valuation techniques consistent with the market and income approaches to measure the fair value of its assets and liabilities. The Company's market approach uses prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. The Company's income approach uses valuation techniques to convert future projected cash flows to a single discounted present value amount. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs.

- (5) The Company does not have any derivative assets or liabilities as of March 31, 2016.

B. Not Applicable.

C. The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of March 31, 2016.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 46,119,707	\$ 43,687,805	\$ 901,752	\$ 45,217,955		
Cash equivalents	\$ 2,200,000	\$ 2,200,000		\$ 2,200,000		

Bonds

When available, fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third party pricing services, non-binding broker quotes or other model-based valuation techniques. Level 1 securities include U.S. Treasuries. Level 2 securities include municipal bonds. The fair value of these Level 2 securities is based on a market approach with prices obtained from third party pricing services. Observable inputs used to value these securities can include, but are not limited to, reported trades, benchmark yields, issuer spreads and non-binding broker quotes.

NOTES TO FINANCIAL STATEMENTS

Cash Equivalents

Cash equivalents include highly liquid investments with original maturities of three months or less. Cash equivalents are classified as Level 2 and measured at amortized cost, which is a reasonable estimate of fair value because of the short time between the purchase of the instrument and its expected realization.

D. Not Applicable.

NOTE 21 – OTHER ITEMS

No significant change.

NOTE 22 – EVENTS SUBSEQUENT

No significant change.

NOTE 23 – REINSURANCE

No significant change.

NOTE 24 – RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

No significant change.

NOTE 25 – CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

The Company has no incurred losses and loss adjustment expenses.
The Company cedes 100% of the business to the Parent pursuant to a reinsurance agreement.

NOTE 26 – INTERCOMPANY POOLING ARRANGEMENTS

No significant change.

NOTE 27 – STRUCTURED SETTLEMENTS

No significant change.

NOTE 28 – HEALTH CARE RECEIVABLES

No significant change.

NOTE 29 – PARTICIPATING POLICIES

No significant change.

NOTE 30 – PREMIUM DEFICIENCY RESERVES

No significant change.

NOTE 31 – HIGH DEDUCTIBLES

No significant change.

NOTE 32 – DISCOUNTING OF LIABILITIES FOR UNPAID LOSSES OR UNPAID LOSS ADJUSTMENT EXPENSES

No significant change.

NOTE 33 – ASBESTOS/ENVIRONMENTAL RESERVES

No significant change.

NOTE 34 – SUBSCRIBER SAVINGS ACCOUNTS

No significant change.

NOTE 35 – MULTIPLE PERIL CROP INSURANCE

No significant change.

NOTES TO FINANCIAL STATEMENTS

NOTE 36 – FINANCIAL GUARANTY INSURANCE

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [] No [X] N/A []
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/20/2015
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Ameriprise Enterprise Investment Services, Inc.	Minneapolis, MN				YES
Ameriprise Certificate Company	Minneapolis, MN				YES
Ameriprise Financial Services, Inc.	Minneapolis, MN				YES
Ameriprise Financial Inc.	Minneapolis, MN				YES
Columbia Wanger Asset Management, LLC.	Chicago, IL				YES
RiverSource Distributors, Inc.	Minneapolis, MN				YES
Columbia Management Investment Advisers, LLC.	Boston, MA				YES
Columbia Management Investment Services, Co.	Boston, MA				YES
J. & W. Seligman & Co. Incorporated	New York, NY				YES
Columbia Management Investment Distributors, Inc.	New York, NY				YES
WAM Acquisition GP, Inc.	Chicago, IL				YES
Ameriprise National Trust Bank	Minneapolis, MN		YES		

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 1,678,942

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

- 14.21 Bonds
- 14.22 Preferred Stock
- 14.23 Common Stock
- 14.24 Short-Term Investments
- 14.25 Mortgage Loans on Real Estate
- 14.26 All Other
- 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)
- 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$	0	0
	0	0
	0	0
	0	0
	0	0
	0	0
\$	0	0
\$	0	0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?
Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan	New York, NY

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
50189	Columbia Management Investment Advisors, LLC	Boston, MA

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No []

18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL INTERROGATORIES (continued)

PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves.") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5.1 Operating Percentages:

5.1 A&H loss percent	0.000%
5.2 A&H cost containment percent	0.000%
5.3 A&H expense percent excluding cost containment expenses	0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
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NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	L						
2. Alaska.....AK	L						
3. Arizona.....AZ	L						
4. Arkansas.....AR	L						
5. California.....CA	N						
6. Colorado.....CO	L						
7. Connecticut.....CT	N						
8. Delaware.....DE	L						
9. District of Columbia.....DC	L						
10. Florida.....FL	N						
11. Georgia.....GA	L	2,348,068	2,272,516	1,573,155	1,560,195	4,144,624	5,476,967
12. Hawaii.....HI	N						
13. Idaho.....ID	N						
14. Illinois.....IL	L						
15. Indiana.....IN	L						
16. Iowa.....IA	L						
17. Kansas.....KS	L						
18. Kentucky.....KY	L						
19. Louisiana.....LA	N						
20. Maine.....ME	L						
21. Maryland.....MD	L						
22. Massachusetts.....MA	L						
23. Michigan.....MI	L						
24. Minnesota.....MN	N						
25. Mississippi.....MS	L						
26. Missouri.....MO	L						
27. Montana.....MT	L						
28. Nebraska.....NE	L						
29. Nevada.....NV	L						
30. New Hampshire.....NH	N						
31. New Jersey.....NJ	N						
32. New Mexico.....NM	L						
33. New York.....NY	L	6,979,387	7,387,816	5,832,947	6,534,925	26,392,700	22,288,451
34. North Carolina.....NC	N						
35. North Dakota.....ND	L						
36. Ohio.....OH	L						
37. Oklahoma.....OK	L						
38. Oregon.....OR	L						
39. Pennsylvania.....PA	L						
40. Rhode Island.....RI	L						
41. South Carolina.....SC	L						
42. South Dakota.....SD	L						
43. Tennessee.....TN	N						
44. Texas.....TX	L						
45. Utah.....UT	L						
46. Vermont.....VT	L						
47. Virginia.....VA	L						
48. Washington.....WA	N						
49. West Virginia.....WV	L						
50. Wisconsin.....WI	L						
51. Wyoming.....WY	L						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. US Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Totals.....	(a)...39	9,327,455	9,660,332	7,406,102	8,095,119	30,537,323	27,765,418

DETAILS OF WRITE-INS

58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page...	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
 (E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc.....	13-3180631		
- Ameriprise Advisor Capital, LLC.....	27-0544454		
- Ameriprise Capital Trust I.....	45-6157641		
- Ameriprise Capital Trust II.....	45-6157643		
- Ameriprise Capital Trust III.....	45-6157644		
- Ameriprise Capital Trust IV.....	45-6157645		
- Ameriprise Captive Insurance Company.....	20-5761939	VT	12852
- Ameriprise Certificate Company.....	41-6009975		
- Investors Syndicate Development Corporation.....	41-0951695		
- Ameriprise Holdings, Inc.....	26-3878824		
- 201 Eleventh Street South, LLC.....	45-5464028		
- Ameriprise India Insurance Brokers Services Private Limited (19%).....			
- Ameriprise India Private Limited.....			
- Ameriprise India Insurance Brokers Services Private Limited (7%).....			
- Ameriprise National Trust Bank.....	06-1791061		
- Ameriprise Trust Company.....	41-6219335		
- AMPF Holding Corporation.....	38-2722519		
- American Enterprise Investment Services Inc.....	41-1667086		
- Ameriprise Financial Services, Inc.....	41-0973005		
- TIC TPS Portland 35, LLC.....	47-1967350		
- AMPF Property Corporation.....	38-3050688		
- AMPF Realty Corporation.....	38-3050690		
- IDS Property Casualty Insurance Company.....	39-1173498	WI	29068
- Ameriprise Auto & Home Insurance Agency, Inc.....	82-0541142	WI	
- Ameriprise Insurance Company.....	65-1261374	WI	12504
- RiverSource Distributors, Inc.....	42-1690915		
- RiverSource Life Insurance Company.....	41-0823832	MN	65005
- RiverSource Life Insurance Co. of New York.....	41-0987741	NY	80594
- RiverSource NY REO, LLC.....	27-0380139		
- RiverSource REO 1, LLC.....	26-4164569		
- RiverSource Tax Advantaged Investments, Inc.....	13-6178563		
- AEXP Affordable Housing Portfolio, LLC.....	41-1977631		
- CREA Corporate Tax Credit Fund XXVIII, LLC.....			
- Columbia Adaptive Risk Allocation (Master) Fund.....	98-1156583		
- Columbia Management Investment Advisers, LLC.....	41-1533211		
- Advisory Capital Strategies Group, Inc.....	41-1624224		
- Columbia Emerging Markets Bond Private Fund LLC.....	46-4842432		
- Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund).....			
- Columbia Institutional High Yield Fixed Income Private Fund, LLC.....	45-5077345		
- Columbia U.S. Contrarian Core Equity Private Fund, LLC.....			
- Centurion CDO IV Limited.....			
- Centurion CDO VI, Ltd.....	98-0424253		
- Centurion CDO 7 Limited.....	98-0416968		
- Centurion CDO 8, Ltd.....	98-0435521		
- Centurion CDO IX Limited.....	98-0446511		
- Centurion CDO 10 Limited.....	98-0465083		
- Cent CLO 11 Limited.....	98-0463886		
- Cent CLO 12 Limited.....	98-0507952		
- Cent CLO 14 Limited.....	98-0509628		
- Cent CLO 15 Limited.....	98-0528007		
- Cent CLO 17 Limited.....	98-1087757		
- Cent CLO 18 Limited.....	98-1105047		
- Cent CLO 19 Limited.....	98-1132125		
- Cent CLO 20 Limited.....	98-1141714		
- Cent CLO 21 Limited.....	98-1174078		
- Cent CLO 22 Limited.....	98-1191437		
- Cent CLO 23 Limited.....	98-1210182		
- Cent CLO 24 Limited.....			
- Columbia Adaptive Risk Allocation Fund, LLC.....	46-4892631		
- Columbia Diversified Real Return Fund.....	46-4469208		
- Columbia Global Alpha Opportunities (Master) Fund.....	98-1111310		
- Columbia Short Duration High Yield Private (Master) Fund.....	98-1046237		
- Columbia U.S. Social Bond Fund.....	47-2947408		
- Columbia Wanger Asset Management, LLC.....	04-3519872		
- GA Legacy, LLC.....	26-2639148		
- J. & W. Seligman & Co. Incorporated.....	13-3043476		
- Columbia Management Investment Distributors, Inc.....	13-3043478		
- Seligman Partners, LLC.....	13-4200160		
- Seligman Tech Spectrum Fund, LLC.....	13-4200160		
- RiverSource CDO Seed Investments, LLC.....	87-0812264		
- WAM Acquisition GP, Inc.....	04-3519867		
- Columbia Management Investment Services Corp.....	41-1861053		

**INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc. (continued).....	13-3180631		
Ameriprise International Holdings GmbH.....			
Ameriprise Asset Management Holdings GmbH.....			
Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.....			
Threadneedle Asset Management Malaysia Sdn. Bhd.....			
Threadneedle Investments Singapore (Pte.) Ltd.....			
Threadneedle Investments Taiwan Ltd.....			
Ameriprise Holdings Singapore (Pte.) Ltd.....			
Threadneedle Asset Management Holdings Sarl.....			
CTM Holdings Limited.....			
Columbia Threadneedle Investments (ME) Ltd.....			
TAM Investment Ltd.....			
Threadneedle International Investments GmbH.....			
Threadneedle Management Luxembourg S.A.....			
Threadneedle Portfolio Services Hong Kong Ltd.....			
Threadneedle Holdings Ltd.....			
TAM UK Holdings Ltd.....			
Threadneedle Asset Management Holdings Ltd.....			
Columbia Threadneedle Foundation.....			
TC Financing Limited.....			
Threadneedle Asset Management Ltd.....	98-0691981		
Threadneedle Investment Services Ltd.....	98-0691982		
Threadneedle Asia Contrarian Equity.....			
Threadneedle Asian Focus.....			
Threadneedle Asset Management (Nominees) Ltd.....			
Sackville TIPP Property (GP) Ltd.....			
Threadneedle Asset Management Finance Ltd.....			
Rio Bravo Columbia Threadneedle European Multimarket Investment Fund.....			
TMS Investment Ltd.....			
Threadneedle International Ltd.....			
Threadneedle Investments Ltd.....			
Threadneedle Investments (Channel Islands) Ltd.....			
Threadneedle Management Services Ltd.....			
Threadneedle Securities Ltd.....			
Threadneedle Navigator ISA Manager Ltd.....			
Threadneedle Pensions Ltd.....			
Sackville (TPEN) (75%).....			
Threadneedle Portfolio Services Ltd.....			
Threadneedle Portfolio Services AG.....			
Threadneedle Property Investments Ltd.....			
Sackville LCW (GP) Ltd.....			
Sackville Property (GP) Ltd.....			
Sackville SPF IV (GP) No. 1 Ltd.....			
Sackville SPF IV (GP) No. 2 Ltd.....			
Sackville SPF IV (GP) No. 3 Ltd.....			
Sackville SPF IV Property (GP) Ltd.....			
Sackville Tandem Property (GP) Ltd.....			
Sackville TPEN Property (GP) Ltd.....			
Sackville TPEN Property Nominee Ltd.....			
Sackville (TPEN) (25%).....			
Threadneedle Pensions Property Partnership 1 (TN Prop Fund).....			
Threadneedle Pensions Property Partnership 2 (TN Prop Fund).....			
Sackville TSP Property (GP) Ltd.....			
Sackville UK Property Select II (GP) Ltd.....			
Sackville UK Property Select II (GP) No. 1 Ltd.....			
Sackville UK Property Select II (GP) No. 2 Ltd.....			
Sackville UK Property Select II (GP) No. 3 Ltd.....			
Threadneedle Unit Trust Manager Ltd.....			
Threadneedle EMEA Holdings 1, LLC.....	47-3044011		

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Members														
	Ameriprise Financial, Inc.		13-3180631	2433312	820027	NYSE	Ameriprise Financial, Inc.	DE	UIP					
	Ameriprise Financial, Inc.		27-0544454	4283810			Ameriprise Advisor Capital, LLC	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157641	4285346			Ameriprise Capital Trust I	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157643	4289755			Ameriprise Capital Trust II	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157644	4289764			Ameriprise Capital Trust III	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-6157645	4289773			Ameriprise Capital Trust IV	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
0004	Ameriprise Financial, Inc.	12852	20-5761939	4289791			Ameriprise Captive Insurance Company	VT	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-6009975	4054449	52428		Ameriprise Certificate Company	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-0951695	4285298			Investors Syndicate Development Corporation	NV	NIA	Ameriprise Certificate Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-3878824	4283856			Ameriprise Holdings, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		45-5464028				201 Eleventh Street South, LLC	MN	NIA	Ameriprise Holdings, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Ameriprise India Insurance Brokers Services Private Limited	IND	NIA	Ameriprise Financial, Inc.	Ownership	19.000	Ameriprise Financial, Inc.	1
	Ameriprise Financial, Inc.			4289830			Ameriprise India Private Limited	IND	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	2
	Ameriprise Financial, Inc.						Ameriprise India Insurance Brokers Services Private Limited	IND	NIA	Ameriprise India Private Limited	Ownership	7.000	Ameriprise Financial, Inc.	1
	Ameriprise Financial, Inc.		06-1791061	3470239			Ameriprise National Trust Bank	USA	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-6219335	994257			Ameriprise Trust Company	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		38-2722519	4289933			AMPF Holding Corporation	MI	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1667086	3255445			American Enterprise Investment Services Inc.	MN	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-0973005	4289942			Ameriprise Financial Services, Inc.	DE	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		47-1967350				TIC TPS Portland 35, LLC	DE	NIA	Ameriprise Financial Services, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		38-3050688	4289951			AMPF Property Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		38-3050690	4289960			AMPF Realty Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	
0004	Ameriprise Financial, Inc.	29068	39-1173498	4289885			IDS Property Casualty Insurance Company	WI	UDP	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		82-0541142	4289894			Ameriprise Auto & Home Insurance Agency, Inc.	WI	NIA	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
0004	Ameriprise Financial, Inc.	12504	65-1261374	4289906			Ameriprise Insurance Company	WI	RE	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		42-1690915	4291815			RiverSource Distributors, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
0004	Ameriprise Financial, Inc.	65005	41-0823832	2696715	727892		RiverSource Life Insurance Company	MN	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
0004	Ameriprise Financial, Inc.	80594	41-0987741	2718046			RiverSource Life Insurance Co. of New York	NY	IA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		27-0380139	4289858			RiverSource NY REO, LLC	NY	NIA	RiverSource Life Insurance Co. of New York	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-4164569	4289867			RiverSource REO 1, LLC	MN	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-6178563	4289876			RiverSource Tax Advantaged Investments, Inc.	DE	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1977631	3945102			AEXP Affordable Housing Portfolio, LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	66.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						CREA Corporate Tax Credit Fund XXVIII, LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	99.900	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		98-1156583				Columbia Adaptive Risk Allocation (Master) Fund	CYM	NIA	Ameriprise Financial, Inc.	Ownership	80.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1533211	4246169			Columbia Management Investment Advisers, LLC	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.....		41-1624224..	4289979.....			Advisory Capital Strategies Group, Inc.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		46-4842432..				Columbia Emerging Markets Bond Private Fund LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund)	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		45-5077345..				Columbia Institutional High Yield Fixed Income Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Columbia U.S. Contrarian Core Equity Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Centurion CDO IV Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0424253..				Centurion CDO VI, Ltd.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0416968..				Centurion CDO 7 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0435521..				Centurion CDO 8, Ltd.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0446511..				Centurion CDO IX Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0465083..				Centurion CDO 10 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0463886..				Cent CLO 11 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0507952..				Cent CLO 12 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0509628..				Cent CLO 14 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0528007..				Cent CLO 15 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1087757..				Cent CLO 17 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1105047..				Cent CLO 18 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1132125..				Cent CLO 19 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1141714..				Cent CLO 20 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1174078..				Cent CLO 21 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1191437..				Cent CLO 22 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1210182..				Cent CLO 23 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Cent CLO 24 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		46-4892631..				Columbia Adaptive Risk Allocation Fund, LLC	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.		46-4469208				Columbia Diversified Real Return Fund	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	98.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		98-1111310				Columbia Global Alpha Opportunities (Master) Fund	CYM	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		98-1046237				Columbia Short Duration High Yield Private (Master) Fund	CYM	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		47-2947408				Columbia U.S. Social Bond Fund	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	94.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		04-3519872	4289997			Columbia Wanger Asset Management, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		26-2639148	4346854			GA Legacy, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-3043476	2654434			J. & W. Seligman & Co. Incorporated	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-3043478	4292821			Columbia Management Investment Distributors, Inc.	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-4200160	4293145			Seligman Partners, LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		13-4200160				Seligman Tech Spectrum Fund, LLC	DE	NIA	Seligman Partners LLC	Influence		Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		87-0812264	4290126			RiverSource CDO Seed Investments, LLC	MN	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		04-3519867	3079230			WAM Acquisition GP, Inc.	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.		41-1861053	4291776			Columbia Management Investment Services Corp.	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Ameriprise International Holdings GmbH	CHE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Ameriprise Asset Management Holdings GmbH	CHE	NIA	Ameriprise International Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	SGP	NIA	Ameriprise Asset Management Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Asset Management Malaysia Sdn. Bhd	MYS	NIA	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293873			Threadneedle Investments Singapore (Pte.) Ltd	SGP	NIA	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Threadneedle Investments Taiwan Ltd	TWN	NIA	Ameriprise Asset Management Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Ameriprise Holdings Singapore (Pte.) Ltd	SGP	NIA	Ameriprise International Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293538			Threadneedle Asset Management Holdings Sarl	LUX	NIA	Ameriprise International Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	4
	Ameriprise Financial, Inc.						CTM Holdings Limited	MLT	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.						Columbia Threadneedle Investments (ME) Ltd.	ARE	NIA	CTM Holdings Limited	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293574			TAM Investment Ltd	GBR	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293855			Threadneedle International Investments GmbH	CHE	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	
	Ameriprise Financial, Inc.			4293882			Threadneedle Management Luxembourg S.A.	LUX	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.....			4293903.....			Threadneedle Portfolio Services Hong Kong Ltd	HKG....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294339.....			Threadneedle Holdings Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294348.....			TAM UK Holdings Ltd.....	GBR....	NIA.....	Threadneedle Holdings Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294357.....			Threadneedle Asset Management Holdings Ltd.	GBR....	NIA.....	TAM UK Holdings Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	4.....
	Ameriprise Financial, Inc.....						Columbia Threadneedle Foundation.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294535.....			TC Financing Limited.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0691981..	4294469.....			Threadneedle Asset Management Ltd.....	GBR....	NIA.....	TC Financing Limited.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0691982..	4294571.....			Threadneedle Investment Services Ltd.....	GBR....	NIA.....	TC Financing Limited.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Asia Contrarian Equity.....	LUX....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...80.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Asian Focus.....	LUX....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...87.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294478.....			Threadneedle Asset Management (Nominees) Ltd	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294526.....			Sackville TIPP Property (GP) Ltd.....	GBR....	NIA.....	Threadneedle Asset Management (Nominees) Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294441.....			Threadneedle Asset Management Finance Ltd	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Rio Bravo Columbia Threadneedle European Multimarket Investment Fund	BRA....	NIA.....	Threadneedle Asset Management Finance Ltd	Ownership.....	...66.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294450.....			TMS Investment Ltd.....	JEY....	NIA.....	Threadneedle Asset Management Finance Ltd	Ownership.....	...44.000	Ameriprise Financial, Inc.....	4.....
	Ameriprise Financial, Inc.....			4294553.....			Threadneedle International Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294393.....			Threadneedle Investments Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294599.....			Threadneedle Investments (Channel Islands) Ltd	JEY....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Management Services Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294768.....			Threadneedle Securities Ltd.....	GBR....	NIA.....	Threadneedle Management Services Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294786.....			Threadneedle Navigator ISA Manager Ltd...	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294795.....			Threadneedle Pensions Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294843.....			Sackville (TPEN).....	GBR....	NIA.....	Threadneedle Pensions Ltd.....	Ownership.....	...75.000	Ameriprise Financial, Inc.....	5.....
	Ameriprise Financial, Inc.....			4294982.....			Threadneedle Portfolio Services Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Portfolio Services AG.....	CHE....	NIA.....	Threadneedle Portfolio Services Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294991.....			Threadneedle Property Investments Ltd.....	GBR....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295028.....			Sackville LCW (GP) Ltd.....	GBR....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...33.000	Ameriprise Financial, Inc.....	

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Public Traded (U.S. or International)	Name of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.....			4295082.....			Sackville Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295130.....			Sackville SPF IV (GP) No. 1 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295167.....			Sackville SPF IV (GP) No. 2 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295206.....			Sackville SPF IV (GP) No. 3 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295112.....			Sackville SPF IV Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295251.....			Sackville Tandem Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property Nominee Ltd.....	GBR.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294843.....			Sackville (TPEN)	GBR.....	NIA.....	Sackville TPEN Property Nominee Ltd.....	Ownership.....	...25.000	Ameriprise Financial, Inc.....	5.....
	Ameriprise Financial, Inc.....						Threadneedle Pensions Property Partnership 1 (TN Prop Fund)	GBR.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Threadneedle Pensions Property Partnership 2 (TN Prop Fund)	GBR.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Sackville TSP Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 1 Ltd	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 2 Ltd	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 3 Ltd	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Unit Trust Manager Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		47-3044011..				Threadneedle EMEA Holdings 1, LLC.....	MN.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	

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Asteris	Explanation
1	Owned 19% / 7% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively.
2	One share owned by Columbia Management Investment Advisers, LLC.
3	General Partner and Ownership (<1%)
4	Ownership percentage indicates voting shares only.
5	Owned 75% / 25% by Threadneedle Pensions Ltd and Sackville TPEN Property Nominee Ltd, respectively.

PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.0	
2. Allied lines.....			0.0	
3. Farmowners multiple peril.....			0.0	
4. Homeowners multiple peril.....			0.0	
5. Commercial multiple peril.....			0.0	
6. Mortgage guaranty.....			0.0	
8. Ocean marine.....			0.0	
9. Inland marine.....			0.0	
10. Financial guaranty.....			0.0	
11.1. Medical professional liability - occurrence.....			0.0	
11.2. Medical professional liability - claims-made.....			0.0	
12. Earthquake.....			0.0	
13. Group accident and health.....			0.0	
14. Credit accident and health.....			0.0	
15. Other accident and health.....			0.0	
16. Workers' compensation.....			0.0	
17.1 Other liability-occurrence.....			0.0	
17.2 Other liability-claims made.....			0.0	
17.3 Excess workers' compensation.....			0.0	
18.1 Products liability-occurrence.....			0.0	
18.2 Products liability-claims made.....			0.0	
19.1, 19.2 Private passenger auto liability.....	5,876,760	6,407,389	109.0	60.6
19.3, 19.4 Commercial auto liability.....			0.0	
21. Auto physical damage.....	3,066,677	2,247,846	73.3	79.3
22. Aircraft (all perils).....			0.0	
23. Fidelity.....			0.0	
24. Surety.....			0.0	
26. Burglary and theft.....			0.0	
27. Boiler and machinery.....			0.0	
28. Credit.....			0.0	
29. International.....			0.0	
30. Warranty.....			0.0	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.0	
35. Totals.....	8,943,437	8,655,235	96.8	66.9

DETAILS OF WRITE-INS

3401.			0.0	
3402.			0.0	
3403.			0.0	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.0	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.0	

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....			
17.2 Other liability-claims made.....			
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....	6,107,896	6,107,896	6,391,270
19.3 19.4 Commercial auto liability.....			
21. Auto physical damage.....	3,219,559	3,219,559	3,269,062
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	9,327,455	9,327,455	9,660,332

DETAILS OF WRITE-INS

3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2016 Loss and LAE Payments on Claims Reported as of Prior Year-End	2016 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2016 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2013 + Prior.....			.0			.0				.0	.0	.0	.0
2. 2014.....			.0			.0				.0	.0	.0	.0
3. Subtotals 2014 + Prior.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. 2015.....			.0			.0				.0	.0	.0	.0
5. Subtotals 2015 + Prior.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. 2016.....	XXX	XXX	XXX	XXX		.0	XXX			.0	XXX	XXX	XXX
7. Totals.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8. Prior Year-End's Surplus As Regards Policyholders	46,222										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1.0.0 %	2.0.0 %	3.0.0 %
													Col. 13, Line 7 Line 8
													4.0.0 %

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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	_____ NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	_____ NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	_____ NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	_____ NO

Explanation:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

Bar Code:



NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	.0	.0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	.0	.0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	.0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	.0	.0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	.0	.0

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	.0	.0

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	43,971,517	42,232,640
2. Cost of bonds and stocks acquired.....		8,731,867
3. Accrual of discount.....	1,403	8,290
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	525	35,569
6. Deduct consideration for bonds and stocks disposed of.....	225,000	6,854,500
7. Deduct amortization of premium.....	60,640	182,349
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	43,687,805	43,971,517
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	43,687,805	43,971,517

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	40,073,249		224,475	(52,654)	39,796,120			40,073,249
2. NAIC 2 (a).....	4,803,683	123,996,421	123,600,000	830	5,200,934			4,803,683
3. NAIC 3 (a).....	894,509			(3,757)	890,752			894,509
4. NAIC 4 (a).....					0			
5. NAIC 5 (a).....					0			
6. NAIC 6 (a).....					0			
7. Total Bonds.....	45,771,441	123,996,421	123,824,475	(55,581)	45,887,806	0	0	45,771,441
PREFERRED STOCK								
8. NAIC 1.....					0			
9. NAIC 2.....					0			
10. NAIC 3.....					0			
11. NAIC 4.....					0			
12. NAIC 5.....					0			
13. NAIC 6.....					0			
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	45,771,441	123,996,421	123,824,475	(55,581)	45,887,806	0	0	45,771,441

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1
NONE**

**Sch. DA - Verification
NONE**

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

SCHEDULE E- VERIFICATION

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,799,922	1,799,980
2. Cost of cash equivalents acquired.....	123,996,421	662,286,849
3. Accrual of discount.....	3,657	13,093
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	123,600,000	662,300,000
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	2,200,000	1,799,922
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	2,200,000	1,799,922

Sch. A - Pt. 2
NONE

Sch. A - Pt. 3
NONE

Sch. B - Pt. 2
NONE

Sch. B - Pt. 3
NONE

Sch. BA - Pt. 2
NONE

Sch. BA - Pt. 3
NONE

Sch. D - Pt. 3
NONE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest / Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other-Than- Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
Bonds - U.S. States, Territories and Possessions																					
977056 6H 8	WISCONSIN STATE OF SER 3 5.000% 11/01/.....		03/15/2016	Call 100.0000		170,000	170,000	175,472	170,000				0		170,000			0	3,164	11/01/2026	1FE
1799999. Total Bonds - U.S. States, Territories and Possessions						170,000	170,000	175,472	170,000	0	0	0	0	0	170,000	0	0	0	3,164	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
57563R KY 4	MASSACHUSETTS EDL FING AUTH 5.250% 07/.....		01/01/2016	Call 100.0000		55,000	55,000	54,413	54,475				0		54,475		525	525	1,444	07/01/2029	1FE
3199999. Total Bonds - U.S. Special Revenue and Special Assessment						55,000	55,000	54,413	54,475	0	0	0	0	0	54,475	0	525	525	1,444	XXX	XXX
8399997. Total Bonds - Part 4						225,000	225,000	229,885	224,475	0	0	0	0	0	224,475	0	525	525	4,608	XXX	XXX
8399999. Total Bonds						225,000	225,000	229,885	224,475	0	0	0	0	0	224,475	0	525	525	4,608	XXX	XXX
9999999. Total Bonds, Preferred and Common Stocks						225,000	XXX	229,885	224,475	0	0	0	0	0	224,475	0	525	525	4,608	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE05

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Wells Fargo Bank..... Minneapolis, MN 55474.....				(17,025)(10,454)38,854	XXX
JP Morgan..... New York, NY 10005.....				54,32576,28181,324	XXX
0199999. Total Open Depositories.....	XXX	XXX0037,30065,827120,178	XXX
0399999. Total Cash on Deposit.....	XXX	XXX0037,30065,827120,178	XXX
0599999. Total Cash.....	XXX	XXX0037,30065,827120,178	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							
PLAINS ALL AMERICAN PIPELINE L.....		03/31/2016.....		04/01/2016.....	2,200,000		.49
3299999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations.....					2,200,000	.0	.49
3899999. Total - Industrial and Miscellaneous (Unaffiliated).....					2,200,000	.0	.49
Total Bonds							
7799999. Subtotals - Issuer Obligations.....					2,200,000	.0	.49
8399999. Subtotals - Bonds.....					2,200,000	.0	.49
8699999. Total - Cash Equivalents.....					2,200,000	.0	.49

QE13