



QUARTERLY STATEMENT

As of June 30, 2012
of the Condition and Affairs of the

Ameriprise Insurance Company

| | | |
|--|--|--|
| NAIC Group Code..... 4, 4 (Current Period) (Prior Period) | NAIC Company Code..... 12504 | Employer's ID Number..... 65-1261374 |
| Organized under the Laws of Wisconsin | State of Domicile or Port of Entry Wisconsin | Country of Domicile US |
| Incorporated/Organized..... October 14, 2005 | Commenced Business..... January 26, 2006 | |
| Statutory Home Office | 3500 Packerland Drive..... De Pere WI 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i> | |
| Main Administrative Office | 3500 Packerland Drive..... De Pere WI 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i> | 920-330-5100 <i>(Area Code) (Telephone Number)</i> |
| Mail Address | 3500 Packerland Drive..... De Pere WI 54115-9070 <i>(Street and Number or P. O. Box) (City or Town, State and Zip Code)</i> | |
| Primary Location of Books and Records | 3500 Packerland Drive..... De Pere WI 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i> | 920-330-5100 <i>(Area Code) (Telephone Number)</i> |
| Internet Web Site Address | www.ameriprise.com | |
| Statutory Statement Contact | Heather I Boyer <i>(Name)</i> heather.i.boyer@ampf.com <i>(E-Mail Address)</i> | 920-330-5403 <i>(Area Code) (Telephone Number) (Extension)</i> 920-330-5603 <i>(Fax Number)</i> |

OFFICERS

| Name | Title | Name | Title |
|---------------------------|-----------|-------------------------|-----------|
| 1. Kenneth John Ciak | President | 2. Thomas Richard Moore | Secretary |
| 3. James Louis Hamalainen | Treasurer | 4. | |

OTHER

| | | | |
|--------------------------|-------------------|-------------------------|-------------------|
| Thomas John Boogaard | Vice President | Thomas Scott Botsford | Sr Vice President |
| Heather Irene Boyer # | Vice President | Richard Norman Bush | Sr Vice President |
| Debra Marie Conrad | Vice President | Scott Emil Creutzmann | Vice President |
| Jon Patrick Gingrich | Vice President | Jennifer Lynn Glodowski | Vice President |
| Lisa Kay Jossart | Vice President | Carol Marie Kammin | Vice President |
| Thomas William Murphy | Vice President | Rebecca Ann Nash | Sr Vice President |
| Rebecca Lea Roeber | Sr Vice President | Gordon Howard Sissingh | Vice President |
| Todd Christopher Thiel # | Vice President | Dianne Lynn Wilson | Sr Vice President |

DIRECTORS OR TRUSTEES

| | | | |
|-----------------------|-----------------------|-----------------------|------------------------|
| Thomas Scott Botsford | Heather Irene Boyer # | Kenneth John Ciak | Michael Richard Greene |
| Charles Neal Maglaque | Lisa Ann Steffes | Jeffrey Alan Williams | Dianne Lynn Wilson |

State of..... Wisconsin
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|---|---|---|
| _____ (Signature) Kenneth J Ciak _____ 1. (Printed Name) President _____ (Title) | _____ (Signature) Debra A Combs _____ 2. (Printed Name) Asst Secretary _____ (Title) | _____ (Signature) Heather I Boyer _____ 3. (Printed Name) Asst Treasurer _____ (Title) |
|---|---|---|

Subscribed and sworn to before me
This 3rd day of August 2012

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

| | Current Statement Date | | | 4 |
|---|------------------------|-----------------------|---|--|
| | 1 | 2 | 3 | December 31 Prior Year Net Admitted Assets |
| | Assets | Nonadmitted Assets | Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds..... | 39,297,208 | | 39,297,208 | 39,210,991 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks..... | | | .0 | |
| 2.2 Common stocks..... | | | .0 | |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens..... | | | .0 | |
| 3.2 Other than first liens..... | | | .0 | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$.....0 encumbrances)..... | | | .0 | |
| 4.2 Properties held for the production of income (less \$.....0 encumbrances)..... | | | .0 | |
| 4.3 Properties held for sale (less \$.....0 encumbrances)..... | | | .0 | |
| 5. Cash (\$.....194,902), cash equivalents (\$.....1,299,989) and short-term investments (\$.....0)..... | 1,494,891 | | 1,494,891 | 1,050,548 |
| 6. Contract loans (including \$.....0 premium notes)..... | | | .0 | |
| 7. Derivatives..... | | | .0 | |
| 8. Other invested assets..... | | | .0 | |
| 9. Receivables for securities..... | 3,081 | | 3,081 | |
| 10. Securities lending reinvested collateral assets..... | | | .0 | |
| 11. Aggregate write-ins for invested assets..... | .0 | .0 | .0 | .0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11)..... | 40,795,180 | .0 | 40,795,180 | 40,261,539 |
| 13. Title plants less \$.....0 charged off (for Title insurers only)..... | | | .0 | |
| 14. Investment income due and accrued..... | 535,712 | | 535,712 | 564,490 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection..... | | | .0 | |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)..... | | | .0 | |
| 15.3 Accrued retrospective premiums..... | | | .0 | |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers..... | | | .0 | |
| 16.2 Funds held by or deposited with reinsured companies..... | | | .0 | |
| 16.3 Other amounts receivable under reinsurance contracts..... | | | .0 | |
| 17. Amounts receivable relating to uninsured plans..... | | | .0 | |
| 18.1 Current federal and foreign income tax recoverable and interest thereon..... | 22,522 | | 22,522 | |
| 18.2 Net deferred tax asset..... | | | .0 | |
| 19. Guaranty funds receivable or on deposit..... | | | .0 | |
| 20. Electronic data processing equipment and software..... | | | .0 | |
| 21. Furniture and equipment, including health care delivery assets (\$.....0)..... | | | .0 | |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates..... | | | .0 | |
| 23. Receivables from parent, subsidiaries and affiliates..... | 1,146,859 | | 1,146,859 | 943,625 |
| 24. Health care (\$.....0) and other amounts receivable..... | | | .0 | |
| 25. Aggregate write-ins for other than invested assets..... | .0 | .0 | .0 | .0 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)..... | 42,500,273 | .0 | 42,500,273 | 41,769,654 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts..... | | | .0 | |
| 28. Total (Lines 26 and 27)..... | 42,500,273 | .0 | 42,500,273 | 41,769,654 |

DETAILS OF WRITE-INS

| | | | | |
|--|----|----|----|----|
| 1101..... | | | .0 | |
| 1102..... | | | .0 | |
| 1103..... | | | .0 | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page..... | .0 | .0 | .0 | .0 |
| 1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)..... | .0 | .0 | .0 | .0 |
| 2501..... | | | .0 | |
| 2502..... | | | .0 | |
| 2503..... | | | .0 | |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | .0 | .0 | .0 | .0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)..... | .0 | .0 | .0 | .0 |

LIABILITIES, SURPLUS AND OTHER FUNDS

| | 1 Current Statement Date | 2 December 31 Prior Year |
|---|--------------------------------|--------------------------------|
| 1. Losses (current accident year \$.....0)..... | | |
| 2. Reinsurance payable on paid losses and loss adjustment expenses..... | | |
| 3. Loss adjustment expenses..... | | |
| 4. Commissions payable, contingent commissions and other similar charges..... | | |
| 5. Other expenses (excluding taxes, licenses and fees)..... | | |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes)..... | (336,320) | (337,212) |
| 7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses))..... | | .505,022 |
| 7.2 Net deferred tax liability..... | 19,354 | 56,860 |
| 8. Borrowed money \$.....0 and interest thereon \$.....0..... | | |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act..... | | |
| 10. Advance premium..... | | |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders..... | | |
| 11.2 Policyholders..... | | |
| 12. Ceded reinsurance premiums payable (net of ceding commissions)..... | | |
| 13. Funds held by company under reinsurance treaties..... | | |
| 14. Amounts withheld or retained by company for account of others..... | | |
| 15. Remittances and items not allocated..... | | |
| 16. Provision for reinsurance..... | | |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates..... | | |
| 18. Drafts outstanding..... | | |
| 19. Payable to parent, subsidiaries and affiliates..... | 1,023,034 | 316,982 |
| 20. Derivatives..... | | |
| 21. Payable for securities..... | | |
| 22. Payable for securities lending..... | | |
| 23. Liability for amounts held under uninsured plans..... | | |
| 24. Capital notes \$.....0 and interest thereon \$.....0..... | | |
| 25. Aggregate write-ins for liabilities..... | .0 | .0 |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)..... | 706,068 | 541,652 |
| 27. Protected cell liabilities..... | | |
| 28. Total liabilities (Lines 26 and 27)..... | 706,068 | 541,652 |
| 29. Aggregate write-ins for special surplus funds..... | .0 | .0 |
| 30. Common capital stock..... | 8,000,000 | 8,000,000 |
| 31. Preferred capital stock..... | | |
| 32. Aggregate write-ins for other than special surplus funds..... | .0 | .0 |
| 33. Surplus notes..... | | |
| 34. Gross paid in and contributed surplus..... | 37,726,474 | 37,726,474 |
| 35. Unassigned funds (surplus)..... | (3,932,269) | (4,498,472) |
| 36. Less treasury stock, at cost: | | |
| 36.10.000 shares common (value included in Line 30 \$.....0)..... | | |
| 36.20.000 shares preferred (value included in Line 31 \$.....0)..... | | |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36)..... | 41,794,205 | 41,228,002 |
| 38. Totals..... | 42,500,273 | 41,769,654 |

DETAILS OF WRITE-INS

| | | |
|--|----|----|
| 2501. | | |
| 2502. | | |
| 2503. | | |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | .0 | .0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)..... | .0 | .0 |
| 2901. | | |
| 2902. | | |
| 2903. | | |
| 2998. Summary of remaining write-ins for Line 29 from overflow page..... | .0 | .0 |
| 2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)..... | .0 | .0 |
| 3201. | | |
| 3202. | | |
| 3203. | | |
| 3298. Summary of remaining write-ins for Line 32 from overflow page..... | .0 | .0 |
| 3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above)..... | .0 | .0 |

STATEMENT OF INCOME

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct..... (written \$.....12,583,758)..... | 11,359,059 | 7,082,764 | |
| 1.2 Assumed..... (written \$.....0)..... | | | |
| 1.3 Ceded..... (written \$.....12,583,758)..... | 11,359,059 | 7,082,764 | |
| 1.4 Net..... (written \$.....0)..... | 0 | 0 | 0 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year \$.....0): | | | |
| 2.1 Direct..... | 9,294,074 | 5,854,080 | |
| 2.2 Assumed..... | | | |
| 2.3 Ceded..... | 9,294,074 | 5,854,080 | |
| 2.4 Net..... | 0 | 0 | 0 |
| 3. Loss adjustment expenses incurred..... | | | |
| 4. Other underwriting expenses incurred..... | 351,242 | 1,041,286 | 704,324 |
| 5. Aggregate write-ins for underwriting deductions..... | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5)..... | 351,242 | 1,041,286 | 704,324 |
| 7. Net income of protected cells..... | | | |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)..... | (351,242) | (1,041,286) | (704,324) |
| INVESTMENT INCOME | | | |
| 9. Net investment income earned..... | 849,054 | 881,761 | 1,693,290 |
| 10. Net realized capital gains (losses) less capital gains tax of \$.....(1,441)..... | (2,677) | (420) | (501) |
| 11. Net investment gain (loss) (Lines 9 + 10)..... | 846,377 | 881,341 | 1,692,789 |
| OTHER INCOME | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0)..... | 0 | | |
| 13. Finance and service charges not included in premiums..... | | | |
| 14. Aggregate write-ins for miscellaneous income..... | 0 | 0 | 0 |
| 15. Total other income (Lines 12 through 14)..... | 0 | 0 | 0 |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)..... | 495,135 | (159,945) | 988,465 |
| 17. Dividends to policyholders..... | | | |
| 18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)..... | 495,135 | (159,945) | 988,465 |
| 19. Federal and foreign income taxes incurred..... | (33,562) | (243,908) | (76,434) |
| 20. Net income (Line 18 minus Line 19) (to Line 22)..... | 528,697 | 83,963 | 1,064,899 |
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 21. Surplus as regards policyholders, December 31 prior year..... | 41,228,002 | 43,573,840 | 43,573,840 |
| 22. Net income (from Line 20)..... | 528,697 | 83,963 | 1,064,899 |
| 23. Net transfers (to) from Protected Cell accounts..... | | | |
| 24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0..... | | | |
| 25. Change in net unrealized foreign exchange capital gain (loss)..... | | | |
| 26. Change in net deferred income tax..... | 37,506 | 68,821 | 89,263 |
| 27. Change in nonadmitted assets..... | | | |
| 28. Change in provision for reinsurance..... | | | |
| 29. Change in surplus notes..... | | | |
| 30. Surplus (contributed to) withdrawn from protected cells..... | | | |
| 31. Cumulative effect of changes in accounting principles..... | | | |
| 32. Capital changes: | | | |
| 32.1 Paid in..... | | | |
| 32.2 Transferred from surplus (Stock Dividend)..... | | | |
| 32.3 Transferred to surplus..... | | | |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in..... | | | |
| 33.2 Transferred to capital (Stock Dividend)..... | | | |
| 33.3 Transferred from capital..... | | | |
| 34. Net remittances from or (to) Home Office..... | | | |
| 35. Dividends to stockholders..... | | | (3,500,000) |
| 36. Change in treasury stock..... | | | |
| 37. Aggregate write-ins for gains and losses in surplus..... | 0 | 0 | 0 |
| 38. Change in surplus as regards policyholders (Lines 22 through 37)..... | 566,203 | 152,784 | (2,345,838) |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)..... | 41,794,205 | 43,726,624 | 41,228,002 |
| DETAILS OF WRITE-INS | | | |
| 0501. | | | |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page..... | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)..... | 0 | 0 | 0 |
| 1401. | | | |
| 1402. | | | |
| 1403. | | | |
| 1498. Summary of remaining write-ins for Line 14 from overflow page..... | 0 | 0 | 0 |
| 1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)..... | 0 | 0 | 0 |
| 3701. | | | |
| 3702. | | | |
| 3703. | | | |
| 3798. Summary of remaining write-ins for Line 37 from overflow page..... | 0 | 0 | 0 |
| 3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above)..... | 0 | 0 | 0 |

CASH FLOW

| | 1 Current Year to Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| CASH FROM OPERATIONS | | | |
| 1. Premiums collected net of reinsurance..... | | | |
| 2. Net investment income..... | .951,338 | .961,015 | 1,855,055 |
| 3. Miscellaneous income..... | | | |
| 4. Total (Lines 1 through 3)..... | .951,338 | .961,015 | 1,855,055 |
| 5. Benefit and loss related payments..... | | | |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts..... | | | |
| 7. Commissions, expenses paid and aggregate write-ins for deductions..... | .350,350 | 1,041,286 | 1,041,536 |
| 8. Dividends paid to policyholders..... | | | |
| 9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses)..... | .492,541 | (346,943) | (719,713) |
| 10. Total (Lines 5 through 9)..... | .842,891 | .694,343 | .321,823 |
| 11. Net cash from operations (Line 4 minus Line 10)..... | .108,447 | .266,672 | 1,533,232 |
| CASH FROM INVESTMENTS | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds..... | .2,890,000 | .315,000 | .630,000 |
| 12.2 Stocks..... | | | |
| 12.3 Mortgage loans..... | | | |
| 12.4 Real estate..... | | | |
| 12.5 Other invested assets..... | | | |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments..... | | | |
| 12.7 Miscellaneous proceeds..... | | .3,081 | .3,081 |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7)..... | .2,890,000 | .318,081 | .633,081 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds..... | .3,053,840 | | .200,703 |
| 13.2 Stocks..... | | | |
| 13.3 Mortgage loans..... | | | |
| 13.4 Real estate..... | | | |
| 13.5 Other invested assets..... | | | |
| 13.6 Miscellaneous applications..... | .3,081 | | |
| 13.7 Total investments acquired (Lines 13.1 to 13.6)..... | .3,056,921 | .0 | .200,703 |
| 14. Net increase (decrease) in contract loans and premium notes..... | | | |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)..... | (166,921) | .318,081 | .432,378 |
| CASH FROM FINANCING AND MISCELLANEOUS SOURCES | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes..... | | | |
| 16.2 Capital and paid in surplus, less treasury stock..... | | | |
| 16.3 Borrowed funds..... | | | |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities..... | | | |
| 16.5 Dividends to stockholders..... | | | .3,500,000 |
| 16.6 Other cash provided (applied)..... | .502,817 | (2,115) | (1,136,144) |
| 17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)..... | .502,817 | (2,115) | (4,636,144) |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)..... | .444,343 | .582,638 | (2,670,534) |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year..... | 1,050,548 | 3,721,082 | 3,721,082 |
| 19.2 End of period (Line 18 plus Line 19.1)..... | 1,494,891 | 4,303,720 | 1,050,548 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | |
|---------------|--|--|--|
| 20.0001 | | | |
|---------------|--|--|--|

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of Ameriprise Insurance Company (Company) have been completed in accordance with the NAIC Accounting Practices and Procedures manual except to the extent that state laws differ.

The Company prepares its statutory financial statements in conformity with accounting practices prescribed or permitted by the State of Wisconsin Insurance Department. The State of Wisconsin requires insurance companies domiciled in the state of Wisconsin to prepare their statutory financial statements in accordance with the NAIC Accounting Practices and Procedures Manual, subject to any deviations prescribed or permitted by the Wisconsin Insurance Department of which none have been identified.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. Loan-Backed Securities

- (1) Prepayment assumptions for loan-backed and structured securities are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value all types of these securities except in a situation where rate changes result in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.
- (2) The Company has no loan-backed or structured securities for which an other-than-temporary impairment ("OTTI") was recognized due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost basis.
- (3) The Company has no loan-backed or structured securities for which an OTTI has been recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.
- (4) There are no loan-backed securities for which the carrying value exceeds fair value as of June 30, 2012.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

Note 11 - Debt

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

C. Not applicable.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value

A. Fair Value Measurements at March 31, 2012

The Company does not have any assets or liabilities reported at fair value as of March 31, 2012.

B. Not applicable.

C. The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of June 30, 2012:

| | Carrying Value | Level 1 | June 30, 2012 | | Total |
|-------------------------|----------------|--------------|--------------------|---------|---------------|
| | | | Fair Value Level 2 | Level 3 | |
| Financial Assets | | | | | |
| Bonds | \$ 39,297,208 | \$ 4,153,272 | \$ 36,351,045 | \$ | \$ 40,504,317 |
| Cash equivalents | 1,299,989 | — | 1,299,989 | — | 1,299,989 |

Bonds

Fair value is based on the price published by the SVO, if available. If an SVO price is not available, the fair value of bonds is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from nationally recognized pricing services, broker quotes or other model-based valuation techniques.

D. Not applicable.

Note 21 - Other Items

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

The Company has no incurred losses and loss adjustment expenses. The Company cedes 100% of the business to the Parent pursuant to a reinsurance agreement.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - High Deductibles

No significant change.

Note 32 - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

Note 33 - Asbestos/Environmental Reserves

No significant change.

Note 34 - Subscriber Savings Accounts

No significant change.

Note 35 - Multiple Peril Crop Insurance

No significant change.

Note 36 - Financial Guaranty Insurance

B. Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change:

3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []
If yes, complete the Schedule Y-Part 1 - Organizational chart.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1 Name of Entity | 2 NAIC Company Code | 3 State of Domicile |
|---------------------|---------------------------|---------------------------|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2008.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2008.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 4/9/2010.....

6.4 By what department or departments?
State of Wisconsin Office of the Commissioner of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [X] No []

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
Ameriprise Financial, Inc.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

| 1 Affiliate Name | 2 Location (City, State) | 3 FRB | 4 OCC | 5 FDIC | 6 SEC |
|---|-----------------------------|----------|----------|-----------|----------|
| Ameriprise Enterprise Investment Services, Inc. | Minneapolis, MN | | | | YES |
| Ameriprise Bank, FSB | Minneapolis, MN | YES | | | |
| Ameriprise Certificate Company | Minneapolis, MN | | | | YES |
| Ameriprise Financial Services, Inc. | Minneapolis, MN | | | | YES |
| Ameriprise Financial Inc. | Minneapolis, MN | YES | | | |
| Columbia Wanger Asset Management, LLC | Chicago, IL | | | | YES |
| RiverSource Distributors, Inc. | Minneapolis, MN | | | | YES |
| Columbia Management Investment Advisers, LLC | Boston, MA | | | | YES |
| Columbia Management Investment Services, Co. | Boston, MA | | | | YES |
| J. & W. Seligman & Co. Incorporated | New York, NY | | | | YES |
| Columbia Management Investment Distributors, Inc. | New York, NY | | | | YES |

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended?

Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$.....1,146,859

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....0

13. Amount of real estate and mortgages held in short-term investments:

\$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2 If yes, please complete the following:

| | 1 Prior Year-End Book/Adjusted Carrying Value | 2 Current Quarter Book/Adjusted Carrying Value |
|--|---|--|
| 14.21 Bonds..... | \$0 | \$0 |
| 14.22 Preferred Stock..... | \$0 | \$0 |
| 14.23 Common Stock..... | \$0 | \$0 |
| 14.24 Short-Term Investments..... | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate..... | \$0 | \$0 |
| 14.26 All Other..... | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)..... | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above..... | \$0 | \$0 |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [] No []

16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes [X] No []

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|----------------------------|------------------------|
| The Northern Trust Company | Chicago, IL |

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter?

Yes [] No [X]

16.4 If yes, give full and complete information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|--------------------|---------------------|-------------|
| | | | |

PART 1 - INVESTMENT

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

| 1 | 2 | 3 |
|---------------------------------|--|---------|
| Central Registration Depository | Name(s) | Address |
| 50189 | Columbia Management Investment Advisors, LLC | |

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

Yes No

17.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2

PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

| 1 Line of Business | 2 Maximum Interest | 3 Disc. Rate | Total Discount | | | | Discount Taken During Period | | | | |
|-----------------------|-----------------------|-----------------|--------------------|-----------------|-----------|------------|------------------------------|-----------------|------------|-------------|----|
| | | | 4 Unpaid Losses | 5 Unpaid LAE | 6 IBNR | 7 Total | 8 Unpaid Losses | 9 Unpaid LAE | 10 IBNR | 11 Total | |
| | | | | | | .0 | | | | | .0 |
| Total..... | XXX.. | XXX..... | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

5. Operating Percentages:

5.1 A&H loss percent 0.0 %

5.2 A&H cost containment percent 0.0 %

5.3 A&H expense percent excluding cost containment expenses 0.0 %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. 0

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. 0

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

| 1 NAIC Company Code | 2 Federal ID Number | 3 Name of Reinsurer | 4 Domiciliary Jurisdiction | 5 Is Insurer Authorized? (YES or NO) |
|------------------------------|------------------------------|----------------------------|--------------------------------------|---|
|------------------------------|------------------------------|----------------------------|--------------------------------------|---|

NONE

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

| States, Etc. | 1 Active Status | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|-------------------------------------|--------------------|---------------------------|-------------------------|--|-------------------------|---------------------------|-------------------------|
| | | 2 Current Year to Date | 3 Prior Year to Date | 4 Current Year to Date | 5 Prior Year to Date | 6 Current Year to Date | 7 Prior Year to Date |
| 1. Alabama.....AL | L | | | | | | |
| 2. Alaska.....AK | L | | | | | | |
| 3. Arizona.....AZ | L | | | | | | |
| 4. Arkansas.....AR | L | | | | | | |
| 5. California.....CA | N | | | | | | |
| 6. Colorado.....CO | L | | | | | | |
| 7. Connecticut.....CT | N | | | | | | |
| 8. Delaware.....DE | L | | | | | | |
| 9. District of Columbia.....DC | L | | | | | | |
| 10. Florida.....FL | N | | | | | | |
| 11. Georgia.....GA | L | 2,174,992 | 1,845,126 | 1,247,909 | 1,031,003 | 1,322,116 | 1,203,634 |
| 12. Hawaii.....HI | N | | | | | | |
| 13. Idaho.....ID | N | | | | | | |
| 14. Illinois.....IL | L | | | | | | |
| 15. Indiana.....IN | L | | | | | | |
| 16. Iowa.....IA | L | | | | | | |
| 17. Kansas.....KS | L | | | | | | |
| 18. Kentucky.....KY | L | | | | | | |
| 19. Louisiana.....LA | N | | | | | | |
| 20. Maine.....ME | L | | | | | | |
| 21. Maryland.....MD | L | | | | | | |
| 22. Massachusetts.....MA | L | | | | | | |
| 23. Michigan.....MI | L | | | | | | |
| 24. Minnesota.....MN | N | | | | | | |
| 25. Mississippi.....MS | L | | | | | | |
| 26. Missouri.....MO | L | | | | | | |
| 27. Montana.....MT | L | | | | | | |
| 28. Nebraska.....NE | L | | | | | | |
| 29. Nevada.....NV | L | | | | | | |
| 30. New Hampshire.....NH | N | | | | | | |
| 31. New Jersey.....NJ | N | | | | | | |
| 32. New Mexico.....NM | L | | | | | | |
| 33. New York.....NY | L | 10,408,766 | 6,366,945 | 6,782,199 | 3,524,123 | 6,883,485 | 3,755,897 |
| 34. North Carolina.....NC | N | | | | | | |
| 35. North Dakota.....ND | L | | | | | | |
| 36. Ohio.....OH | L | | | | | | |
| 37. Oklahoma.....OK | L | | | | | | |
| 38. Oregon.....OR | L | | | | | | |
| 39. Pennsylvania.....PA | L | | | | | | |
| 40. Rhode Island.....RI | L | | | | | | |
| 41. South Carolina.....SC | L | | | | | | |
| 42. South Dakota.....SD | L | | | | | | |
| 43. Tennessee.....TN | N | | | | | | |
| 44. Texas.....TX | L | | | | | | |
| 45. Utah.....UT | L | | | | | | |
| 46. Vermont.....VT | L | | | | | | |
| 47. Virginia.....VA | L | | | | | | |
| 48. Washington.....WA | N | | | | | | |
| 49. West Virginia.....WV | L | | | | | | |
| 50. Wisconsin.....WI | L | | | | | | |
| 51. Wyoming.....WY | L | | | | | | |
| 52. American Samoa.....AS | N | | | | | | |
| 53. Guam.....GU | N | | | | | | |
| 54. Puerto Rico.....PR | N | | | | | | |
| 55. US Virgin Islands.....VI | N | | | | | | |
| 56. Northern Mariana Islands.....MP | N | | | | | | |
| 57. Canada.....CN | N | | | | | | |
| 58. Aggregate Other Alien.....OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals..... | (a) 39 | 12,583,758 | 8,212,070 | 8,030,107 | 4,555,125 | 8,205,601 | 4,959,531 |

DETAILS OF WRITE-INS

| | | | | | | | |
|--|-----|---|---|---|---|---|---|
| 5801..... | XXX | | | | | | |
| 5802..... | XXX | | | | | | |
| 5803..... | XXX | | | | | | |
| 5898. Summary of remaining write-ins for Line 58 from overflow page..... | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 5899. Totals (Lines 5801 thru 5803 + Line 5898) (Line 58 above)..... | XXX | 0 | 0 | 0 | 0 | 0 | 0 |

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF
INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

| | <u>FEIN</u> | <u>STATE</u> | <u>NAIC</u> |
|--|-------------|--------------|-------------|
| Ameriprise Financial, Inc..... | 13-3180631 | | |
| Ameriprise Advisor Capital, LLC..... | 27-0544454 | | |
| Ameriprise Bank, FSB..... | 06-1791061 | | |
| Ameriprise Capital Trust I..... | 45-6157641 | | |
| Ameriprise Capital Trust II..... | 45-6157643 | | |
| Ameriprise Capital Trust III..... | 45-6157644 | | |
| Ameriprise Capital Trust IV..... | 45-6157645 | | |
| Ameriprise Captive Insurance Company..... | 20-5761939 | VT | 12852 |
| Ameriprise Certificate Company..... | 41-6009975 | | |
| Investors Syndicate Development Corporation..... | 41-0951695 | | |
| Ameriprise Holdings, Inc..... | 26-3878824 | | |
| 201 Eleventh Street South, LLC..... | 45-5464028 | | |
| Ameriprise India Insurance Brokers Services Private Limited (19%)..... | | | |
| Ameriprise India Private Limited..... | | | |
| Ameriprise India Insurance Brokers Services Private Limited (7%)..... | | | |
| Ameriprise Trust Company..... | 41-6219335 | | |
| AMPF Holding Corporation..... | 38-2722519 | | |
| American Enterprise Investment Services Inc..... | 41-1667086 | | |
| Ameriprise Financial Services, Inc..... | 41-0973005 | | |
| AMPF Property Corporation..... | 38-3050688 | | |
| AMPF Realty Corporation..... | 38-3050690 | | |
| IDS Property Casualty Insurance Company..... | 39-1173498 | WI | 29068 |
| Ameriprise Auto & Home Insurance Agency, Inc..... | 82-0541142 | WI | |
| Ameriprise Insurance Company..... | 65-1261374 | WI | 12504 |
| RiverSource Distributors, Inc..... | 42-1690915 | | |
| RiverSource Life Insurance Company..... | 41-0823832 | MN | 65005 |
| RiverSource Life Insurance Co. of New York..... | 41-0987741 | NY | 80594 |
| RiverSource NY REO, LLC..... | 27-0380139 | | |
| RiverSource REO 1, LLC..... | 26-4164569 | | |
| RiverSource Tax Advantaged Investments, Inc..... | 13-6178563 | | |
| AEXP Affordable Housing Portfolio LLC..... | 41-1977631 | | |
| CREA Corporate Tax Credit Fund XXVIII, LLC..... | | | |
| Columbia Management Investment Advisers, LLC..... | 41-1533211 | | |
| Advisory Capital Strategies Group Inc..... | 41-1624224 | | |
| Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund)..... | | | |
| Columbia Institutional High Yield Fixed Income Private Fund LLC..... | 45-5077345 | | |
| Columbia U.S. Contrarian Core Equity Private Fund, LLC..... | | | |
| Columbia Commodity Strategy Fund (mutual funds)..... | 45-2433414 | | |
| Columbia Concentrated Large Cap Value Strategy Fund (ETF FUNDS)..... | 26-3994533 | | |
| Columbia Core Bond Strategy Fund (ETF FUNDS)..... | 27-1082858 | | |
| Columbia Intermediate Municipal Bond Strategy Fund (ETF FUNDS)..... | 27-1082673 | | |
| Columbia Large Cap Growth Equity Strategy Fund (ETF FUNDS)..... | 27-0473870 | | |
| Columbia Marsico Global Fund (mutual funds)..... | 04-3400204 | | |
| Columbia Short Duration High Yield Private (Master) Fund..... | 98-1046237 | | |
| Columbia VP - Select Large Cap Growth (mutual funds)..... | 26-2959622 | | |
| Columbia Wanger Asset Management, LLC..... | 04-3519872 | | |
| GA Legacy, LLC..... | 26-2639148 | | |
| J. & W. Seligman & Co. Incorporated..... | 13-3043476 | | |
| Columbia Management Investment Distributors, Inc..... | 13-3043478 | | |
| Columbia Research Partners LLC..... | 27-2466899 | | |
| Columbia Research Market Neutral, LP (Private Invest Fund)..... | 26-2795674 | | |
| Seligman Focus Partners LLC..... | 20-8274688 | | |
| Seligman Spectrum Focus Fund, LLC..... | 20-8274688 | | |
| Seligman Global Technology Partners LLC..... | | | |
| Seligman Health Partners LLC..... | 20-2357120 | | |
| Seligman Health Plus Partners LLC..... | 20-5704686 | | |
| Seligman Health Spectrum Plus, LLC..... | 20-5704686 | | |
| Seligman Partners LLC..... | 13-4200160 | | |
| Seligman Tech Spectrum Fund, LLC..... | 13-4200160 | | |
| RiverSource CDO Seed Investments, LLC..... | 87-0812264 | | |
| WAM Acquisition GP, Inc..... | 04-3519867 | | |
| Columbia Management Investment Services Corp..... | 41-1861053 | | |
| Threadneedle Asset Management Holdings Sarl..... | | | |
| TAM Investment Ltd..... | | | |
| Threadneedle Asset Management (Australia) Pty Ltd..... | | | |
| Threadneedle International Investments GmbH (35%)..... | | | |
| Threadneedle Investments Singapore (Pte.) Ltd..... | | | |
| Threadneedle Investments Taiwan, Ltd..... | | | |
| Threadneedle Management Luxembourg S.A..... | | | |
| Threadneedle Portfolio Services Hong Kong Ltd..... | | | |

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF
INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1 - ORGANIZATIONAL CHART

| | |
|---|------------|
| – Threadneedle Asset Management UK Ltd..... | |
| – TAM UK Holdings Ltd..... | |
| – Threadneedle Asset Management Holdings Ltd..... | |
| – Cofund Holdings Ltd..... | |
| – Global Opportunities Bond Fund (Threadneedle (Lux) SICAV)..... | |
| – Threadneedle Asset Management (Nominees) Ltd..... | |
| – Sackville TIPP Property (GP) Ltd..... | |
| – Threadneedle Asset Management Finance Ltd..... | |
| – European Property Fund sub-fund of TIPP SICAV..... | |
| – TMA Umbrella Fund SIF..... | |
| – TMS Investment Ltd..... | |
| – Threadneedle Holdings Limited..... | |
| – Threadneedle Asset Management Ltd..... | 98-0691981 |
| – Threadneedle Investment Services Ltd..... | 98-0691982 |
| – Threadneedle International Ltd..... | |
| – Threadneedle Investments Ltd..... | |
| – Threadneedle Investments (Channel Islands) Ltd..... | |
| – Threadneedle Management Services Ltd..... | |
| – Threadneedle Securities Ltd..... | |
| – Threadneedle Navigator ISA Manager Ltd..... | |
| – Threadneedle Pensions Ltd..... | |
| – Sackville (TPEN) (75%)..... | |
| – Threadneedle Portfolio Services Ltd..... | |
| – Threadneedle Portfolio Services AG..... | |
| – Threadneedle International Investments GmbH (65%)..... | |
| – Threadneedle Property Investments Ltd..... | |
| – Sackville LCW (GP) Ltd..... | |
| – Sackville Property (GP) Ltd..... | |
| – Sackville SPF IV (GP) No. 1 Ltd..... | |
| – Sackville SPF IV (GP) No. 2 Ltd..... | |
| – Sackville SPF IV (GP) No. 3 Ltd..... | |
| – Sackville SPF IV Property (GP) Ltd..... | |
| – Threadneedle Strategic Property Fund IV (TN Prop Fund)..... | |
| – Sackville Tandem Property (GP) Ltd..... | |
| – Sackville TPEN Property (GP) Ltd..... | |
| – Sackville TPEN Property Nominee Ltd..... | |
| – Sackville (TPEN) (25%)..... | |
| – Threadneedle Pensions Property Partnership 1 (TN Prop Fund)..... | |
| – Threadneedle Pensions Property Partnership 2 (TN Prop Fund)..... | |
| – Sackville TSP Property (GP) Ltd..... | |
| – Threadneedle Strategic Property (Eagle Star) Fund (TN Prop Fund)..... | |
| – Threadneedle Strategic Property (Nearhearth) Fund (TN Prop Fund)..... | |
| – Threadneedle Strategic Property (Parcelgate) Fund (TN Prop Fund)..... | |
| – Threadneedle Tandem Property Fund (TN Prop Fund)..... | |
| – Threadneedle UK Opportunities Property II Fund (TN Prop Fund)..... | |
| – Sackville UKPEC 1 Leeds (GP) Ltd..... | |
| – Sackville UKPEC2 Galahad (GP) Ltd..... | |
| – Threadneedle Unit Trust Manager Ltd..... | |
| – US Contrarian Core Equities Fund (Threadneedle (Lux) SICAV)..... | |

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|------------|-------------------|-------------------|--------------|-----|--|---|----------------------|----------------------------------|--|--|--|--|----|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | * |

Members

| | | | | | | | | | | | | | | |
|-----------|--------------------------------|------------|------------|--------------|-------------|-----------|--|---------|----------|--|----------------|---------|--------------------------------|--------|
| | Ameriprise Financial, Inc..... | | 13-3180631 | 2433312..... | 820027..... | NYSE..... | Ameriprise Financial, Inc..... | DE..... | UIP..... | | | | | |
| | Ameriprise Financial, Inc..... | | 27-0544454 | 4283810..... | | | Ameriprise Advisor Capital, LLC..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 06-1791061 | 3470239..... | | | Ameriprise Bank, FSB..... | US..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 45-6157641 | 4285346..... | | | Ameriprise Capital Trust I..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 45-6157643 | 4289755..... | | | Ameriprise Capital Trust II..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 45-6157644 | 4289764..... | | | Ameriprise Capital Trust III..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 45-6157645 | 4289773..... | | | Ameriprise Capital Trust IV..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| 0004..... | Ameriprise Financial, Inc..... | 12852..... | 20-5761939 | 4289791..... | | | Ameriprise Captive Insurance Company..... | VT..... | IA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-6009975 | 4054449..... | 52428..... | | Ameriprise Certificate Company..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-0951695 | 4285298..... | | | Investors Syndicate Development Corporation..... | NV..... | NIA..... | Ameriprise Certificate Company..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 26-3878824 | 4283856..... | | | Ameriprise Holdings, Inc..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 45-5464028 | | | | 201 Eleventh Street South, LLC..... | MN..... | NIA..... | Ameriprise Holdings, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Ameriprise India Insurance Brokers Services Private Limited..... | IN..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 19.000 | Ameriprise Financial, Inc..... | 1..... |
| | Ameriprise Financial, Inc..... | | | 4289830..... | | | Ameriprise India Private Limited..... | IN..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Ameriprise India Insurance Brokers Services Private Limited..... | IN..... | NIA..... | Ameriprise India Private Limited..... | Ownership..... | 7.000 | Ameriprise Financial, Inc..... | 1..... |
| | Ameriprise Financial, Inc..... | | 41-6219335 | 994257..... | | | Ameriprise Trust Company..... | MN..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 38-2722519 | 4289933..... | | | AMPF Holding Corporation..... | MI..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-1667086 | 3255445..... | | | American Enterprise Investment Services Inc..... | MN..... | NIA..... | AMPF Holding Corporation..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-0973005 | 4289942..... | | | Ameriprise Financial Services, Inc..... | DE..... | NIA..... | AMPF Holding Corporation..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 38-3050688 | 4289951..... | | | AMPF Property Corporation..... | MI..... | NIA..... | AMPF Holding Corporation..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 38-3050690 | 4289960..... | | | AMPF Realty Corporation..... | MI..... | NIA..... | AMPF Holding Corporation..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| 0004..... | Ameriprise Financial, Inc..... | 29068..... | 39-1173498 | 4289885..... | | | IDS Property Casualty Insurance Company..... | WI..... | UDP..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 82-0541142 | 4289894..... | | | Ameriprise Auto & Home Insurance Agency, Inc..... | WI..... | NIA..... | IDS Property Casualty Insurance Company..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| 0004..... | Ameriprise Financial, Inc..... | 12504..... | 65-1261374 | 4289906..... | | | Ameriprise Insurance Company..... | WI..... | | IDS Property Casualty Insurance Company..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 42-1690915 | 4291815..... | | | RiverSource Distributors, Inc..... | DE..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| 0004..... | Ameriprise Financial, Inc..... | 65005..... | 41-0823832 | 2696715..... | 727892..... | | RiverSource Life Insurance Company..... | MN..... | IA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| 0004..... | Ameriprise Financial, Inc..... | 80594..... | 41-0987741 | 2718046..... | | | RiverSource Life Insurance Co. of New York..... | NY..... | IA..... | RiverSource Life Insurance Company..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 27-0380139 | 4289858..... | | | RiverSource NY REO, LLC..... | NY..... | NIA..... | RiverSource Life Insurance Co. of New York..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 26-4164569 | 4289867..... | | | RiverSource REO 1, LLC..... | MN..... | NIA..... | RiverSource Life Insurance Company..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 13-6178563 | 4289876..... | | | RiverSource Tax Advantaged Investments, Inc..... | DE..... | NIA..... | RiverSource Life Insurance Company..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-1977631 | 3945102..... | | | AEXP Affordable Housing Portfolio LLC..... | DE..... | NIA..... | RiverSource Tax Advantaged Investments, Inc..... | Ownership..... | 66.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | CREA Corporate Tax Credit Fund XXVIII, LLC..... | DE..... | NIA..... | RiverSource Tax Advantaged Investments, Inc..... | Ownership..... | 99.900 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-1533211 | 4246169..... | | | Columbia Management Investment Advisers, LLC..... | MN..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|--------------------------------|-------------------|-------------------|--------------|-----|--|---|----------------------|----------------------------------|--|--|--|--|--------|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | * |
| | Ameriprise Financial, Inc..... | | 41-1624224 | 4289979..... | | | Advisory Capital Strategies Group, Inc..... | MN..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund) | DE..... | NIA..... | Advisory Capital Strategies Group, Inc..... | Other..... | | Ameriprise Financial, Inc..... | 2..... |
| | Ameriprise Financial, Inc..... | | 45-5077345 | | | | Columbia Institutional High Yield Fixed Income Private Fund LLC | DE..... | NIA..... | Advisory Capital Strategies Group, Inc..... | Other..... | | Ameriprise Financial, Inc..... | 2..... |
| | Ameriprise Financial, Inc..... | | | | | | Columbia U.S. Contrarian Core Equity Private Fund, LLC | DE..... | NIA..... | Advisory Capital Strategies Group, Inc..... | Other..... | | Ameriprise Financial, Inc..... | 2..... |
| | Ameriprise Financial, Inc..... | | 45-2433414 | | | | Columbia Commodity Strategy Fund (mutual funds) | MA..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 29.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 26-3994533 | | | NYSE Arca..... | Columbia Concentrated Large Cap Value Strategy Fund (ETF FUNDS) | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 50.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 27-1082858 | | | NYSE Arca..... | Columbia Core Bond Strategy Fund (ETF FUNDS) | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 51.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 27-1082673 | | | NYSE Arca..... | Columbia Intermediate Municipal Bond Strategy Fund (ETF FUNDS) | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 30.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 27-0473870 | | | NYSE Arca..... | Columbia Large Cap Growth Equity Strategy Fund (ETF FUNDS) | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 68.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 04-3400204 | | | | Columbia Marsico Global Fund (mutual funds)..... | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 42.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 98-1046237 | | | | Columbia Short Duration High Yield Private (Master) Fund | KY..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 26-2959622 | 3824793..... | | | Columbia VP - Select Large Cap Growth (mutual funds) | MA..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 50.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 04-3519872 | 4289997..... | | | Columbia Wanger Asset Management, LLC..... | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 26-2639148 | 4346854..... | | | GA Legacy, LLC..... | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 13-3043476 | 2654434..... | | | J. & W. Seligman & Co. Incorporated..... | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 13-3043478 | 4292821..... | | | Columbia Management Investment Distributors, Inc. | NY..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 27-2466899 | 4292858..... | | | Columbia Research Partners LLC..... | DE..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 26-2795674 | 3811654..... | | | Columbia Research Market Neutral, LP (Private Invest Fund) | DE..... | NIA..... | Columbia Research Partners LLC..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | 20-8274688 | 4293190..... | | | Seligman Focus Partners LLC..... | DE..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 20-8274688 | | | | Seligman Spectrum Focus Fund, LLC..... | DE..... | NIA..... | Seligman Focus Partners LLC..... | Other..... | | Ameriprise Financial, Inc..... | 4..... |

Q12.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|--------------------------------|-------------------|-------------------|--------------|-----|--|---|----------------------|----------------------------------|--|--|--|--|--------|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | * |
| | Ameriprise Financial, Inc..... | | | 4293499..... | | | Seligman Global Technology Partners LLC..... | DE..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 20-2357120 | 4293163..... | | | Seligman Health Partners LLC..... | DE..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 20-5704686 | 4293181..... | | | Seligman Health Plus Partners LLC..... | DE..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 20-5704686 | | | | Seligman Health Spectrum Plus, LLC..... | DE..... | NIA..... | Seligman Health Plus Partners LLC..... | Other..... | | Ameriprise Financial, Inc..... | 4..... |
| | Ameriprise Financial, Inc..... | | 13-4200160 | 4293145..... | | | Seligman Partners LLC..... | DE..... | NIA..... | J. & W. Seligman & Co. Incorporated..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 13-4200160 | | | | Seligman Tech Spectrum Fund, LLC..... | DE..... | NIA..... | Seligman Partners LLC..... | Other..... | | Ameriprise Financial, Inc..... | 4..... |
| | Ameriprise Financial, Inc..... | | 87-0812264 | 4290126..... | | | RiverSource CDO Seed Investments, LLC..... | MN..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 04-3519867 | 3079230..... | | | WAM Acquisition GP, Inc..... | DE..... | NIA..... | Columbia Management Investment Advisers, LLC | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 41-1861053 | 4291776..... | | | Columbia Management Investment Services Corp. | MN..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4293538..... | | | Threadneedle Asset Management Holdings Sarl... | LU..... | NIA..... | Ameriprise Financial, Inc..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | 6..... |
| | Ameriprise Financial, Inc..... | | | 4293574..... | | | TAM Investment Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Asset Management (Australia) Pty Ltd | AU..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4293855..... | | | Threadneedle International Investments GmbH.... | CH..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 35.000 | Ameriprise Financial, Inc..... | 7..... |
| | Ameriprise Financial, Inc..... | | | 4293873..... | | | Threadneedle Investments Singapore (Pte.) Ltd.... | SG..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Investments Taiwan, Ltd..... | TW..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4293882..... | | | Threadneedle Management Luxembourg S.A..... | LU..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4293903..... | | | Threadneedle Portfolio Services Hong Kong Ltd.... | HK..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294339..... | | | Threadneedle Asset Management UK Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Sarl | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294348..... | | | TAM UK Holdings Ltd..... | GB..... | NIA..... | Threadneedle Asset Management UK Ltd..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | 6..... |
| | Ameriprise Financial, Inc..... | | | 4294357..... | | | Threadneedle Asset Management Holdings Ltd..... | GB..... | NIA..... | TAM UK Holdings Ltd..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294375..... | | | Cofund Holdings Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | 20.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Global Opportunities Bond Fund (Threadneedle (Lux) SICAV) | LU..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | 30.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294478..... | | | Threadneedle Asset Management (Nominees) Ltd. | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294526..... | | | Sackville TIPP Property (GP) Ltd..... | GB..... | NIA..... | Threadneedle Asset Management (Nominees) Ltd | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294441..... | | | Threadneedle Asset Management Finance Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | European Property Fund sub-fund of TIPP SICAV.. | LU..... | NIA..... | Threadneedle Asset Management Finance Ltd.. | Ownership..... | 50.100 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | TMA Umbrella Fund SIF..... | LU..... | NIA..... | Threadneedle Asset Management Finance Ltd.. | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294450..... | | | TMS Investment Ltd..... | JE..... | NIA..... | Threadneedle Asset Management Finance Ltd.. | Ownership..... | 44.000 | Ameriprise Financial, Inc..... | 6..... |
| | Ameriprise Financial, Inc..... | | | 4294535..... | | | Threadneedle Holdings Limited..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 98-0691981 | 4294469..... | | | Threadneedle Asset Management Ltd..... | GB..... | NIA..... | Threadneedle Holdings Limited..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | 98-0691982 | 4294571..... | | | Threadneedle Investment Services Ltd..... | GB..... | NIA..... | Threadneedle Holdings Limited..... | Ownership..... | 100.000 | Ameriprise Financial, Inc..... | |

Q12.2

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
|------------|--------------------------------|-------------------|-------------------|--------------|-----|--|--|----------------------|----------------------------------|--|--|--|--|--------|
| Group Code | Group Name | NAIC Company Code | Federal ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | * |
| | Ameriprise Financial, Inc..... | | | 4294553..... | | | Threadneedle International Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294393..... | | | Threadneedle Investments Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294599..... | | | Threadneedle Investments (Channel Islands) Ltd.. | JE..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Management Services Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294768..... | | | Threadneedle Securities Ltd..... | GB..... | NIA..... | Threadneedle Management Services Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294786..... | | | Threadneedle Navigator ISA Manager Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294795..... | | | Threadneedle Pensions Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294843..... | | | Sackville (TPEN)..... | GB..... | NIA..... | Threadneedle Pensions Ltd..... | Ownership..... | ...75.000 | Ameriprise Financial, Inc..... | 5..... |
| | Ameriprise Financial, Inc..... | | | 4294982..... | | | Threadneedle Portfolio Services Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Portfolio Services AG..... | CH..... | NIA..... | Threadneedle Portfolio Services Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4293855..... | | | Threadneedle International Investments GmbH..... | CH..... | NIA..... | Threadneedle Portfolio Services AG..... | Ownership..... | ...65.000 | Ameriprise Financial, Inc..... | 7..... |
| | Ameriprise Financial, Inc..... | | | 4294991..... | | | Threadneedle Property Investments Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4295028..... | | | Sackville LCW (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...33.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4295082..... | | | Sackville Property (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4295130..... | | | Sackville SPF IV (GP) No. 1 Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4295167..... | | | Sackville SPF IV (GP) No. 2 Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4295206..... | | | Sackville SPF IV (GP) No. 3 Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4295112..... | | | Sackville SPF IV Property (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Strategic Property Fund IV (TN Prop Fund) | GB..... | NIA..... | Sackville SPF IV Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | 4295251..... | | | Sackville Tandem Property (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Sackville TPEN Property (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Sackville TPEN Property Nominee Ltd..... | GB..... | NIA..... | Sackville TPEN Property (GP) Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | 4294843..... | | | Sackville (TPEN)..... | GB..... | NIA..... | Sackville TPEN Property Nominee Ltd..... | Ownership..... | ...25.000 | Ameriprise Financial, Inc..... | 5..... |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Pensions Property Partnership 1 (TN Prop Fund) | GB..... | NIA..... | Sackville TPEN Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Pensions Property Partnership 2 (TN Prop Fund) | GB..... | NIA..... | Sackville TPEN Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | | | | Sackville TSP Property (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Strategic Property (Eagle Star) Fund (TN Prop Fund) | GB..... | NIA..... | Sackville TSP Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Strategic Property (Nearhearth) Fund (TN Prop Fund) | GB..... | NIA..... | Sackville TSP Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Strategic Property (Parcelgate) Fund (TN Prop Fund) | GB..... | NIA..... | Sackville TSP Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Tandem Property Fund (TN Prop Fund) | GB..... | NIA..... | Sackville TSP Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |

Q12.3

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 Group Code | 2 Group Name | 3 NAIC Company Code | 4 Federal ID Number | 5 Federal RSSD | 6 CIK | 7 Name of Securities Exchange if Publicly Traded (U.S. or International) | 8 Names of Parent, Subsidiaries or Affiliates | 9 Domiciliary Location | 10 Relationship to Reporting Entity | 11 Directly Controlled by (Name of Entity/Person) | 12 Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other) | 13 If Control is Ownership Provide Percentage | 14 Ultimate Controlling Entity(ies)/Person(s) | 15 * |
|-----------------|--------------------------------|------------------------|------------------------|-------------------|----------|---|---|---------------------------|--|--|--|--|--|---------|
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle UK Opportunities Property II Fund (TN Prop Fund) | GB..... | NIA..... | Sackville TSP Property (GP) Ltd..... | Other..... | | Ameriprise Financial, Inc..... | 3..... |
| | Ameriprise Financial, Inc..... | | | | | | Sackville UKPEC 1 Leeds (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Sackville UKPEC2 Galahad (GP) Ltd..... | GB..... | NIA..... | Threadneedle Property Investments Ltd..... | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | Threadneedle Unit Trust Manager Ltd..... | GB..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |
| | Ameriprise Financial, Inc..... | | | | | | US Contrarian Core Equities Fund (Threadneedle (Lux) SICAV) | LU..... | NIA..... | Threadneedle Asset Management Holdings Ltd.. | Ownership..... | ...100.000 | Ameriprise Financial, Inc..... | |

Asterisk Explanation

| | |
|---|--|
| 1 | Owned 19% / 7% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively. |
| 2 | Managing Member and Ownership |
| 3 | General Partner and Ownership (<1%) |
| 4 | Board Membership, Managing Member and Ownership |
| 5 | Owned 75% / 25% by Threadneedle Pensions Ltd and Sackville TPEN Property Nominee Ltd, respectively. |
| 6 | Ownership percentage indicates voting shares only. |
| 7 | Owned 65% / 35% by Threadneedle Portfolio Services AG and Threadneedle Asset Management Holdings Sarl, respectively. |

Q12.4

PART 1 - LOSS EXPERIENCE

| Lines of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|--|--------------------------------|--------------------------------|--------------------------------|--|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire..... | | | 0.0 | |
| 2. Allied lines..... | | | 0.0 | |
| 3. Farmowners multiple peril..... | | | 0.0 | |
| 4. Homeowners multiple peril..... | | | 0.0 | |
| 5. Commercial multiple peril..... | | | 0.0 | |
| 6. Mortgage guaranty..... | | | 0.0 | |
| 8. Ocean marine..... | | | 0.0 | |
| 9. Inland marine..... | | | 0.0 | |
| 10. Financial guaranty..... | | | 0.0 | |
| 11.1. Medical professional liability - occurrence..... | | | 0.0 | |
| 11.2. Medical professional liability - claims-made..... | | | 0.0 | |
| 12. Earthquake..... | | | 0.0 | |
| 13. Group accident and health..... | | | 0.0 | |
| 14. Credit accident and health..... | | | 0.0 | |
| 15. Other accident and health..... | | | 0.0 | |
| 16. Workers' compensation..... | | | 0.0 | |
| 17.1 Other liability-occurrence..... | | | 0.0 | |
| 17.2 Other liability-claims made..... | | | 0.0 | |
| 17.3 Excess workers' compensation..... | | | 0.0 | |
| 18.1 Products liability-occurrence..... | | | 0.0 | |
| 18.2 Products liability-claims made..... | | | 0.0 | |
| 19.1, 19.2 Private passenger auto liability..... | 7,665,458 | 5,924,799 | 77.3 | 85.6 |
| 19.3, 19.4 Commercial auto liability..... | | | 0.0 | |
| 21. Auto physical damage..... | 3,693,601 | 3,369,274 | 91.2 | 77.2 |
| 22. Aircraft (all perils)..... | | | 0.0 | |
| 23. Fidelity..... | | | 0.0 | |
| 24. Surety..... | | | 0.0 | |
| 26. Burglary and theft..... | | | 0.0 | |
| 27. Boiler and machinery..... | | | 0.0 | |
| 28. Credit..... | | | 0.0 | |
| 29. International..... | | | 0.0 | |
| 30. Warranty..... | | | 0.0 | |
| 31. Reinsurance-nonproportional assumed property..... | XXX | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability..... | XXX | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines..... | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business..... | 0 | 0 | 0.0 | |
| 35. Totals..... | 11,359,059 | 9,294,073 | 81.8 | 82.7 |

DETAILS OF WRITE-INS

| | | | | |
|---|---|---|-----|-----|
| 3401..... | | | 0.0 | |
| 3402..... | | | 0.0 | |
| 3403..... | | | 0.0 | |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page..... | 0 | 0 | 0.0 | XXX |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)..... | 0 | 0 | 0.0 | |

PART 2 - DIRECT PREMIUMS WRITTEN

| Lines of Business | 1 | 2 | 3 |
|--|--------------------|-------------------------|----------------------------|
| | Current Quarter | Current Year to Date | Prior Year Year to Date |
| 1. Fire..... | | | |
| 2. Allied lines..... | | | |
| 3. Farmowners multiple peril..... | | | |
| 4. Homeowners multiple peril..... | | | |
| 5. Commercial multiple peril..... | | | |
| 6. Mortgage guaranty..... | | | |
| 8. Ocean marine..... | | | |
| 9. Inland marine..... | | | |
| 10. Financial guaranty..... | | | |
| 11.1 Medical professional liability - occurrence..... | | | |
| 11.2 Medical professional liability - claims made..... | | | |
| 12. Earthquake..... | | | |
| 13. Group accident and health..... | | | |
| 14. Credit accident and health..... | | | |
| 15. Other accident and health..... | | | |
| 16. Workers' compensation..... | | | |
| 17.1 Other liability-occurrence..... | | | |
| 17.2 Other liability-claims made..... | | | |
| 17.3 Excess workers' compensation..... | | | |
| 18.1 Products liability-occurrence..... | | | |
| 18.2 Products liability-claims made..... | | | |
| 19.1 19.2 Private passenger auto liability..... | 4,365,560 | 8,569,262 | 5,412,857 |
| 19.3 19.4 Commercial auto liability..... | | | |
| 21. Auto physical damage..... | 2,005,881 | 4,014,496 | 2,799,214 |
| 22. Aircraft (all perils)..... | | | |
| 23. Fidelity..... | | | |
| 24. Surety..... | | | |
| 26. Burglary and theft..... | | | |
| 27. Boiler and machinery..... | | | |
| 28. Credit..... | | | |
| 29. International..... | | | |
| 30. Warranty..... | | | |
| 31. Reinsurance-nonproportional assumed property..... | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability..... | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines..... | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business..... | 0 | 0 | 0 |
| 35. Totals..... | 6,371,441 | 12,583,758 | 8,212,071 |

DETAILS OF WRITE-INS

| | | | |
|---|---|---|---|
| 3401..... | | | |
| 3402..... | | | |
| 3403..... | | | |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page..... | 0 | 0 | 0 |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34)..... | 0 | 0 | 0 |

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|---|---|---|--|--|--|--|---|--|--------------------------------------|--|---|---|--|
| Years in Which Losses Occurred | Prior Year-End Known Case Loss and LAE Reserves | Prior Year-End IBNR Loss and LAE Reserves | Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2) | 2012 Loss and LAE Payments on Claims Reported as of Prior Year-End | 2012 Loss and LAE Payments on Claims Unreported as of Prior Year-End | Total 2012 Loss and LAE Payments (Cols. 4 + 5) | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End | Q.S. Date IBNR Loss and LAE Reserves | Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9) | Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1) | Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2) | Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12) |
| 1. 2009 + Prior..... | | | .0 | | | .0 | | | | .0 | .0 | .0 | .0 |
| 2. 2010..... | | | .0 | | | .0 | | | | .0 | .0 | .0 | .0 |
| 3. Subtotals 2010 + Prior..... | 0 | 0 | .0 | 0 | 0 | .0 | 0 | 0 | 0 | .0 | 0 | 0 | 0 |
| 4. 2011..... | | | .0 | | | .0 | | | | .0 | .0 | .0 | .0 |
| 5. Subtotals 2011 + Prior..... | 0 | 0 | .0 | 0 | 0 | .0 | 0 | 0 | 0 | .0 | 0 | 0 | 0 |
| 6. 2012..... | .XXX | .XXX | .XXX | .XXX | | .0 | .XXX | | | .0 | .XXX | .XXX | .XXX |
| 7. Totals..... | 0 | 0 | .0 | 0 | 0 | .0 | 0 | 0 | 0 | .0 | 0 | 0 | 0 |
| 8. Prior Year-End's Surplus As Regards Policyholders..... | 41,228 | | | | | | | | | | Col. 11, Line 7 As % of Col. 1, Line 7 | Col. 12, Line 7 As % of Col. 2, Line 7 | Col. 13, Line 7 As % of Col. 3, Line 7 |
| | | | | | | | | | | | 1.0.0 % | 2.0.0 % | 3.0.0 % |
| | | | | | | | | | | | | | Col. 13, Line 7 Line 8 |
| | | | | | | | | | | | | | 4.0.0 % |

Q14

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | Response |
|---|-----------------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO _____ |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | NO _____ |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO _____ |
| 4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement? | NO _____ |

Explanation:

- 1.
- 2.
- 3.
- 4.

Bar Code:



NONE

Statement for June 30, 2012 of the **Ameriprise Insurance Company**
SCHEDULE A - VERIFICATION
Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | | |
| 2.2 Additional investment made after acquisition..... | | |
| 3. Current year change in encumbrances..... | | |
| 4. Total gain (loss) on disposals..... | | |
| 5. Deduct amounts received on disposals..... | | |
| 6. Total foreign exchange change in book/adjusted carrying value..... | | |
| 7. Deduct current year's other than temporary impairment recognized..... | | |
| 8. Deduct current year's depreciation..... | | |
| 9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)..... | 0 | 0 |
| 10. Deduct total nonadmitted amounts..... | | |
| 11. Statement value at end of current period (Line 9 minus Line 10)..... | 0 | 0 |

SCHEDULE B - VERIFICATION
Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year..... | 0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | | |
| 2.2 Additional investment made after acquisition..... | | |
| 3. Capitalized deferred interest and other..... | | |
| 4. Accrual of discount..... | | |
| 5. Unrealized valuation increase (decrease)..... | | |
| 6. Total gain (loss) on disposals..... | | |
| 7. Deduct amounts received on disposals..... | | |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees..... | | |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest..... | | |
| 10. Deduct current year's other than temporary impairment recognized..... | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)..... | 0 | 0 |
| 12. Total valuation allowance..... | | |
| 13. Subtotal (Line 11 plus Line 12)..... | 0 | 0 |
| 14. Deduct total nonadmitted amounts..... | | |
| 15. Statement value at end of current period (Line 13 minus Line 14)..... | 0 | 0 |

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition..... | | |
| 2.2 Additional investment made after acquisition..... | | |
| 3. Capitalized deferred interest and other..... | | |
| 4. Accrual of discount..... | | |
| 5. Unrealized valuation increase (decrease)..... | | |
| 6. Total gain (loss) on disposals..... | | |
| 7. Deduct amounts received on disposals..... | | |
| 8. Deduct amortization of premium and depreciation..... | | |
| 9. Total foreign exchange change in book/adjusted carrying value..... | | |
| 10. Deduct current year's other than temporary impairment recognized..... | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)..... | 0 | 0 |
| 12. Deduct total nonadmitted amounts..... | | |
| 13. Statement value at end of current period (Line 11 minus Line 12)..... | 0 | 0 |

SCHEDULE D - VERIFICATION
Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year..... | 39,210,988 | 39,800,111 |
| 2. Cost of bonds and stocks acquired..... | 3,053,840 | 200,703 |
| 3. Accrual of discount..... | 305 | 590 |
| 4. Unrealized valuation increase (decrease)..... | | |
| 5. Total gain (loss) on disposals..... | (4,118) | (771) |
| 6. Deduct consideration for bonds and stocks disposed of..... | 2,890,000 | 630,000 |
| 7. Deduct amortization of premium..... | 73,811 | 159,645 |
| 8. Total foreign exchange change in book/adjusted carrying value..... | | |
| 9. Deduct current year's other than temporary impairment recognized..... | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | 39,297,205 | 39,210,988 |
| 11. Deduct total nonadmitted amounts..... | | |
| 12. Statement value at end of current period (Line 10 minus Line 11)..... | 39,297,205 | 39,210,988 |

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|--|---|-------------------------------------|-------------------------------------|---|---|--|---|---|
| | Book/Adjusted Carrying Value Beginning of Current Quarter | Acquisitions During Current Quarter | Dispositions During Current Quarter | Non-Trading Activity During Current Quarter | Book/Adjusted Carrying Value End of First Quarter | Book/Adjusted Carrying Value End of Second Quarter | Book/Adjusted Carrying Value End of Third Quarter | Book/Adjusted Carrying Value December 31 Prior Year |
| BONDS | | | | | | | | |
| 1. Class 1 (a)..... | 26,711,328 | 61,719,217 | 60,586,174 | 1,979,204 | 26,711,328 | 29,823,575 | | 26,918,278 |
| 2. Class 2 (a)..... | 12,789,450 | | | (2,015,828) | 12,789,450 | 10,773,622 | | 12,292,713 |
| 3. Class 3 (a)..... | | | | | | | | |
| 4. Class 4 (a)..... | | | | | | | | |
| 5. Class 5 (a)..... | | | | | | | | |
| 6. Class 6 (a)..... | | | | | | | | |
| 7. Total Bonds..... | 39,500,778 | 61,719,217 | 60,586,174 | (36,624) | 39,500,778 | 40,597,197 | .0 | 39,210,991 |
| PREFERRED STOCK | | | | | | | | |
| 8. Class 1..... | | | | | | | | |
| 9. Class 2..... | | | | | | | | |
| 10. Class 3..... | | | | | | | | |
| 11. Class 4..... | | | | | | | | |
| 12. Class 5..... | | | | | | | | |
| 13. Class 6..... | | | | | | | | |
| 14. Total Preferred Stock..... | .0 | .0 | .0 | .0 | .0 | .0 | .0 | .0 |
| 15. Total Bonds and Preferred Stock..... | 39,500,778 | 61,719,217 | 60,586,174 | (36,624) | 39,500,778 | 40,597,197 | .0 | 39,210,991 |

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA-Pt 1
NONE**

**Sch. DA-Verification
NONE**

**Sch. DB-Pt A-Verification
NONE**

**Sch. DB-Pt B-Verification
NONE**

**Sch. DB-Pt C-Sn 1
NONE**

**Sch. DB-Pt C-Sn 2
NONE**

**Sch. DB-Verification
NONE**

SCHEDULE E- VERIFICATION

Cash Equivalents

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year..... | 0 | |
| 2. Cost of cash equivalents acquired..... | 61,719,217 | |
| 3. Accrual of discount..... | 772 | |
| 4. Unrealized valuation increase (decrease)..... | | |
| 5. Total gain (loss) on disposals..... | | |
| 6. Deduct consideration received on disposals..... | 60,420,000 | |
| 7. Deduct amortization of premium..... | | |
| 8. Total foreign exchange change in book/ adjusted carrying value..... | | |
| 9. Deduct current year's other than temporary impairment recognized..... | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | 1,299,989 | 0 |
| 11. Deduct total nonadmitted amounts..... | | |
| 12. Statement value at end of current period (Line 10 minus Line 11)..... | 1,299,989 | 0 |

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

Sch. D-Pt 3
NONE

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 F o r e i g n | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consideration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change in Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value At Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Contractual Maturity Date | 22 NAIC Desig- nation or Market Indicator (a) |
|------------------------------|------------------|--------------------------------------|-----------------------|------------------------|--------------------------------------|--------------------|----------------|------------------|--|--|---|--|---|--|--|---|--|---|--|---|--|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amortization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recognized | 14 Total Change in B./A.C.V. (11+12-13) | 15 Total Foreign Exchange Change in B./A.C.V. | | | | | | | |

Bonds - U.S. States, Territories and Possessions

| | | | | | | | | | | | | | | | | | | | | | |
|-------------|---|-------|------------|--------------------|-------|--------------|---------------|--------------|--------------|--------|------------|--------|------------|--------|--------------|--------|--------------|--------------|------------|-------------|-------------|
| 877056 6H 8 | WISCONSIN ST ER 3 5.000..... | | 05/01/2012 | CALL 100.0000..... | |165,000 |165,000 |170,311 |166,423 | |(249) | |(249) | |166,174 | |(1,174) |(1,174) |4,125 | 11/01/2013 | 1FE..... |
| 1799999. | Total - Bonds - U.S. States, Territories & Possessions..... | | | | |165,000 |165,000 |170,311 |166,423 |0 |(249) |0 |(249) |0 |166,174 |0 |(1,174) |(1,174) |4,125 |XXX... |XXX... |
| 8399997. | Total - Bonds - Part 4..... | | | | |165,000 |165,000 |170,311 |166,423 |0 |(249) |0 |(249) |0 |166,174 |0 |(1,174) |(1,174) |4,125 |XXX... |XXX... |
| 8399999. | Total - Bonds..... | | | | |165,000 |165,000 |170,311 |166,423 |0 |(249) |0 |(249) |0 |166,174 |0 |(1,174) |(1,174) |4,125 |XXX... |XXX... |
| 9999999. | Total - Bonds, Preferred and Common Stocks..... | | | | |165,000 |XXX..... |170,311 |166,423 |0 |(249) |0 |(249) |0 |166,174 |0 |(1,174) |(1,174) |4,125 |XXX... |XXX... |

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE05

**Sch. DB-Pt A-Sn 1
NONE**

**Sch. DB-Pt B-Sn 1
NONE**

**Sch. DB-Pt B-Sn 1B-Broker List
NONE**

**Sch. DB-Pt D
NONE**

**Sch. DL-Pt. 1
NONE**

**Sch. DL-Pt. 2
NONE**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 Depository | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Current Quarter | 5 Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter | | | 9 * |
|-----------------|-----------|-----------------------|---|---|--|-------------------|------------------|--------|
| | | | | | 6 First Month | 7 Second Month | 8 Third Month | |

Open Depositories

| | | | | | | | | |
|---------------------------------------|-------------|-------------|--------|--------|--------------|--------------|--------------|-------|
| Wells Fargo Bank..... | | | | |548,734 |549,527 |160,471 | XXX.. |
| Northern Trust Bank..... | |0.010 | | |81,395 |49,907 |34,431 | XXX.. |
| 0199999. Total Open Depositories..... | ...XXX..... | ...XXX..... |0 |0 |630,129 |599,434 |194,902 | XXX.. |
| 0399999. Total Cash on Deposit..... | ...XXX..... | ...XXX..... |0 |0 |630,129 |599,434 |194,902 | XXX.. |
| 0599999. Total Cash..... | ...XXX..... | ...XXX..... |0 |0 |630,129 |599,434 |194,902 | XXX.. |

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1 Description | 2 Code | 3 Date Acquired | 4 Rate of Interest | 5 Maturity Date | 6 Book/Adjusted Carrying Value | 7 Amount of Interest Due & Accrued | 8 Amount Received During Year |
|---|-----------|-----------------------|--------------------------|-----------------------|--------------------------------------|--|-------------------------------------|
| Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations | | | | | | | |
| DEVON ENERGY CORP 4-2..... | | 06/29/2012 | | 07/02/2012 | 1,299,989 | .0 | .22 |
| 3299999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations..... | | | | | 1,299,989 | .0 | .22 |
| 3899999. Total - Industrial and Miscellaneous (Unaffiliated)..... | | | | | 1,299,989 | .0 | .22 |
| Total Bonds | | | | | | | |
| 7799999. Subtotals - Issuer Obligations..... | | | | | 1,299,989 | .0 | .22 |
| 8399999. Subtotals - Bonds..... | | | | | 1,299,989 | .0 | .22 |
| 8699999. Total - Cash Equivalents..... | | | | | 1,299,989 | .0 | .22 |

QE12