



# QUARTERLY STATEMENT

As of June 30, 2012  
of the Condition and Affairs of the

## Ameriprise Insurance Company

NAIC Group Code..... 4, 4 (Current Period) (Prior Period)	NAIC Company Code..... 12504	Employer's ID Number..... 65-1261374
Organized under the Laws of Wisconsin	State of Domicile or Port of Entry Wisconsin	Country of Domicile US
Incorporated/Organized..... October 14, 2005	Commenced Business..... January 26, 2006	
Statutory Home Office	3500 Packerland Drive..... De Pere ..... WI ..... 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i>	
Main Administrative Office	3500 Packerland Drive..... De Pere ..... WI ..... 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i>	920-330-5100 <i>(Area Code) (Telephone Number)</i>
Mail Address	3500 Packerland Drive..... De Pere ..... WI ..... 54115-9070 <i>(Street and Number or P. O. Box) (City or Town, State and Zip Code)</i>	
Primary Location of Books and Records	3500 Packerland Drive..... De Pere ..... WI ..... 54115-9070 <i>(Street and Number) (City or Town, State and Zip Code)</i>	920-330-5100 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.ameriprise.com	
Statutory Statement Contact	Heather I Boyer <i>(Name)</i> heather.i.boyer@ampf.com <i>(E-Mail Address)</i>	920-330-5403 <i>(Area Code) (Telephone Number) (Extension)</i> 920-330-5603 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Kenneth John Ciak	President	2. Thomas Richard Moore	Secretary
3. James Louis Hamalainen	Treasurer	4.	

### OTHER

Thomas John Boogaard	Vice President	Thomas Scott Botsford	Sr Vice President
Heather Irene Boyer #	Vice President	Richard Norman Bush	Sr Vice President
Debra Marie Conrad	Vice President	Scott Emil Creutzmann	Vice President
Jon Patrick Gingrich	Vice President	Jennifer Lynn Glodowski	Vice President
Lisa Kay Jossart	Vice President	Carol Marie Kammin	Vice President
Thomas William Murphy	Vice President	Rebecca Ann Nash	Sr Vice President
Rebecca Lea Roever	Sr Vice President	Gordon Howard Sissingh	Vice President
Todd Christopher Thiel #	Vice President	Dianne Lynn Wilson	Sr Vice President

### DIRECTORS OR TRUSTEES

Thomas Scott Botsford	Heather Irene Boyer #	Kenneth John Ciak	Michael Richard Greene
Charles Neal Maglaque	Lisa Ann Steffes	Jeffrey Alan Williams	Dianne Lynn Wilson

State of..... Wisconsin  
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Kenneth J Ciak _____ 1. (Printed Name) President _____ (Title)	_____ (Signature) Debra A Combs _____ 2. (Printed Name) Asst Secretary _____ (Title)	_____ (Signature) Heather I Boyer _____ 3. (Printed Name) Asst Treasurer _____ (Title)
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Subscribed and sworn to before me  
This 3rd day of August 2012

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	39,297,208		39,297,208	39,210,991
2. Stocks:				
2.1 Preferred stocks.....			.0	
2.2 Common stocks.....			.0	
3. Mortgage loans on real estate:				
3.1 First liens.....			.0	
3.2 Other than first liens.....			.0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			.0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			.0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			.0	
5. Cash (\$.....194,902), cash equivalents (\$.....1,299,989) and short-term investments (\$.....0).....	1,494,891		1,494,891	1,050,548
6. Contract loans (including \$.....0 premium notes).....			.0	
7. Derivatives.....			.0	
8. Other invested assets.....			.0	
9. Receivables for securities.....	3,081		3,081	
10. Securities lending reinvested collateral assets.....			.0	
11. Aggregate write-ins for invested assets.....	.0	.0	.0	.0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	40,795,180	.0	40,795,180	40,261,539
13. Title plants less \$.....0 charged off (for Title insurers only).....			.0	
14. Investment income due and accrued.....	535,712		535,712	564,490
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....			.0	
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			.0	
15.3 Accrued retrospective premiums.....			.0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			.0	
16.2 Funds held by or deposited with reinsured companies.....			.0	
16.3 Other amounts receivable under reinsurance contracts.....			.0	
17. Amounts receivable relating to uninsured plans.....			.0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	22,522		22,522	
18.2 Net deferred tax asset.....			.0	
19. Guaranty funds receivable or on deposit.....			.0	
20. Electronic data processing equipment and software.....			.0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			.0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			.0	
23. Receivables from parent, subsidiaries and affiliates.....	1,146,859		1,146,859	943,625
24. Health care (\$.....0) and other amounts receivable.....			.0	
25. Aggregate write-ins for other than invested assets.....	.0	.0	.0	.0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	42,500,273	.0	42,500,273	41,769,654
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			.0	
28. Total (Lines 26 and 27).....	42,500,273	.0	42,500,273	41,769,654

### DETAILS OF WRITE-INS

1101.....			.0	
1102.....			.0	
1103.....			.0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	.0	.0	.0	.0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	.0	.0	.0	.0
2501.....			.0	
2502.....			.0	
2503.....			.0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	.0	.0	.0	.0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	.0	.0	.0	.0

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....		
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....		
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....		
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	(336,320)	(337,212)
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....		.505,022
7.2 Net deferred tax liability.....	19,354	56,860
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act.....		
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....		
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....		
15. Remittances and items not allocated.....		
16. Provision for reinsurance.....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	1,023,034	316,982
20. Derivatives.....		
21. Payable for securities.....		
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	.0	.0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	706,068	541,652
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	706,068	541,652
29. Aggregate write-ins for special surplus funds.....	.0	.0
30. Common capital stock.....	8,000,000	8,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	.0	.0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	37,726,474	37,726,474
35. Unassigned funds (surplus).....	(3,932,269)	(4,498,472)
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	41,794,205	41,228,002
38. Totals.....	42,500,273	41,769,654

### DETAILS OF WRITE-INS

2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	.0	.0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	.0	.0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	.0	.0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	.0	.0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	.0	.0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	.0	.0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....12,583,758).....	11,359,059	7,082,764	
1.2 Assumed..... (written \$.....0).....			
1.3 Ceded..... (written \$.....12,583,758).....	11,359,059	7,082,764	
1.4 Net..... (written \$.....0).....	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....	9,294,074	5,854,080	
2.2 Assumed.....			
2.3 Ceded.....	9,294,074	5,854,080	
2.4 Net.....	0	0	0
3. Loss adjustment expenses incurred.....			
4. Other underwriting expenses incurred.....	351,242	1,041,286	704,324
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	351,242	1,041,286	704,324
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(351,242)	(1,041,286)	(704,324)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	849,054	881,761	1,693,290
10. Net realized capital gains (losses) less capital gains tax of \$.....(1,441).....	(2,677)	(420)	(501)
11. Net investment gain (loss) (Lines 9 + 10).....	846,377	881,341	1,692,789
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0		
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	0	0	0
15. Total other income (Lines 12 through 14).....	0	0	0
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	495,135	(159,945)	988,465
17. Dividends to policyholders.....			
18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	495,135	(159,945)	988,465
19. Federal and foreign income taxes incurred.....	(33,562)	(243,908)	(76,434)
20. Net income (Line 18 minus Line 19) (to Line 22).....	528,697	83,963	1,064,899
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	41,228,002	43,573,840	43,573,840
22. Net income (from Line 20).....	528,697	83,963	1,064,899
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0.....			
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	37,506	68,821	89,263
27. Change in nonadmitted assets.....			
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			(3,500,000)
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	566,203	152,784	(2,345,838)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	41,794,205	43,726,624	41,228,002
<b>DETAILS OF WRITE-INS</b>			
0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. ....			
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	0
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....			
2. Net investment income.....	.951,338	.961,015	1,855,055
3. Miscellaneous income.....			
4. Total (Lines 1 through 3).....	.951,338	.961,015	1,855,055
5. Benefit and loss related payments.....			
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	.350,350	1,041,286	1,041,536
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses).....	.492,541	(346,943)	(719,713)
10. Total (Lines 5 through 9).....	.842,891	.694,343	.321,823
11. Net cash from operations (Line 4 minus Line 10).....	.108,447	.266,672	1,533,232
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	.2,890,000	.315,000	.630,000
12.2 Stocks.....			
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....		.3,081	.3,081
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	.2,890,000	.318,081	.633,081
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	.3,053,840		.200,703
13.2 Stocks.....			
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....	.3,081		
13.7 Total investments acquired (Lines 13.1 to 13.6).....	.3,056,921	.0	.200,703
14. Net increase (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(166,921)	.318,081	.432,378
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			.3,500,000
16.6 Other cash provided (applied).....	.502,817	(2,115)	(1,136,144)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	.502,817	(2,115)	(4,636,144)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	.444,343	.582,638	(2,670,534)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	1,050,548	3,721,082	3,721,082
19.2 End of period (Line 18 plus Line 19.1).....	1,494,891	4,303,720	1,050,548

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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## **NOTES TO FINANCIAL STATEMENTS**

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### **Note 1 - Summary of Significant Accounting Policies**

#### A. Accounting Practices

The accompanying financial statements of Ameriprise Insurance Company (Company) have been completed in accordance with the NAIC Accounting Practices and Procedures manual except to the extent that state laws differ.

The Company prepares its statutory financial statements in conformity with accounting practices prescribed or permitted by the State of Wisconsin Insurance Department. The State of Wisconsin requires insurance companies domiciled in the state of Wisconsin to prepare their statutory financial statements in accordance with the NAIC Accounting Practices and Procedures Manual, subject to any deviations prescribed or permitted by the Wisconsin Insurance Department of which none have been identified.

### **Note 2 - Accounting Changes and Corrections of Errors**

No significant change.

### **Note 3 - Business Combinations and Goodwill**

No significant change.

### **Note 4 - Discontinued Operations**

No significant change.

### **Note 5 - Investments**

#### D. Loan-Backed Securities

- (1) Prepayment assumptions for loan-backed and structured securities are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value all types of these securities except in a situation where rate changes result in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.
- (2) The Company has no loan-backed or structured securities for which an other-than-temporary impairment ("OTTI") was recognized due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost basis.
- (3) The Company has no loan-backed or structured securities for which an OTTI has been recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.
- (4) There are no loan-backed securities for which the carrying value exceeds fair value as of June 30, 2012.

### **Note 6 - Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

### **Note 7 - Investment Income**

No significant change.

### **Note 8 - Derivative Instruments**

No significant change.

### **Note 9 - Income Taxes**

No significant change.

### **Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant change.

### **Note 11 - Debt**

No significant change.

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**NOTES TO FINANCIAL STATEMENTS**


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**Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No significant change.

**Note 13 - Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No significant change.

**Note 14 - Contingencies**

No significant change.

**Note 15 - Leases**

No significant change.

**Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

**Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

C. Not applicable.

**Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant change.

**Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change.

**Note 20 - Fair Value**

A. Fair Value Measurements at March 31, 2012

The Company does not have any assets or liabilities reported at fair value as of March 31, 2012.

B. Not applicable.

C. The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of June 30, 2012:

	<u>Carrying Value</u>	<u>Level 1</u>	<u>June 30, 2012</u>		<u>Total</u>
			<u>Fair Value</u>	<u>Level 3</u>	
			<u>Level 2</u>		
<b>Financial Assets</b>					
Bonds	\$ 39,297,208	\$ 4,153,272	\$ 36,351,045	\$	\$ 40,504,317
Cash equivalents	1,299,989	—	1,299,989	—	1,299,989

**Bonds**

Fair value is based on the price published by the SVO, if available. If an SVO price is not available, the fair value of bonds is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from nationally recognized pricing services, broker quotes or other model-based valuation techniques.

D. Not applicable.

**Note 21 - Other Items**

No significant change.

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## NOTES TO FINANCIAL STATEMENTS

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**Note 22 - Events Subsequent**

No significant change.

**Note 23 - Reinsurance**

No significant change.

**Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**

No significant change.

**Note 25 - Change in Incurred Losses and Loss Adjustment Expenses**

The Company has no incurred losses and loss adjustment expenses. The Company cedes 100% of the business to the Parent pursuant to a reinsurance agreement.

**Note 26 - Intercompany Pooling Arrangements**

No significant change.

**Note 27 - Structured Settlements**

No significant change.

**Note 28 - Health Care Receivables**

No significant change.

**Note 29 - Participating Policies**

No significant change.

**Note 30 - Premium Deficiency Reserves**

No significant change.

**Note 31 - High Deductibles**

No significant change.

**Note 32 - Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant change.

**Note 33 - Asbestos/Environmental Reserves**

No significant change.

**Note 34 - Subscriber Savings Accounts**

No significant change.

**Note 35 - Multiple Peril Crop Insurance**

No significant change.

**Note 36 - Financial Guaranty Insurance**

B. Not applicable.



**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES - GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change: .....

3. Have there been any substantial changes in the organizational chart since the prior quarter end? If yes, complete the Schedule Y-Part 1 - Organizational chart. Yes [X] No [ ]

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [X] N/A [ ]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2008.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2008.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 4/9/2010.....

6.4 By what department or departments?  
State of Wisconsin Office of the Commissioner of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:  
 \_\_\_\_\_  
 \_\_\_\_\_

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [X] No [ ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
Ameriprise Financial, Inc.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Ameriprise Enterprise Investment Services, Inc.	Minneapolis, MN				YES
Ameriprise Bank, FSB	Minneapolis, MN	YES			
Ameriprise Certificate Company	Minneapolis, MN				YES
Ameriprise Financial Services, Inc.	Minneapolis, MN				YES
Ameriprise Financial Inc.	Minneapolis, MN	YES			
Columbia Wanger Asset Management, LLC	Chicago, IL				YES
RiverSource Distributors, Inc.	Minneapolis, MN				YES
Columbia Management Investment Advisers, LLC	Boston, MA				YES
Columbia Management Investment Services, Co.	Boston, MA				YES
J. & W. Seligman & Co. Incorporated	New York, NY				YES
Columbia Management Investment Distributors, Inc.	New York, NY				YES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

**GENERAL INTERROGATORIES**  
**PART 1 - COMMON INTERROGATORIES - GENERAL**

9.11 If the response to 9.1 is No, please explain:

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9.2 Has the code of ethics for senior managers been amended?

Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

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9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

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**PART 1 - FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$.....1,146,859

**PART 1 - INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

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12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....0

13. Amount of real estate and mortgages held in short-term investments:

\$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [ ] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$ .....0	\$ .....0
14.22 Preferred Stock.....	\$ .....0	\$ .....0
14.23 Common Stock.....	\$ .....0	\$ .....0
14.24 Short-Term Investments.....	\$ .....0	\$ .....0
14.25 Mortgage Loans on Real Estate.....	\$ .....0	\$ .....0
14.26 All Other.....	\$ .....0	\$ .....0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ .....0	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$ .....0	\$ .....0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [ ] No [ ]

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16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes [X] No [ ]

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
The Northern Trust Company	Chicago, IL

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter?

Yes [ ] No [X]

16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

**PART 1 - INVESTMENT**

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address
50189	Columbia Management Investment Advisors, LLC	

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

Yes  No

17.2 If no, list exceptions:

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## GENERAL INTERROGATORIES (continued)

### PART 2

#### PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
 If yes, attach an explanation.

---

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
 If yes, attach an explanation.

---

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

3.2 If yes, give full and complete information thereto:

---

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total	
						.0					.0
<b>Total</b>	XXX	XXX	0	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent 0.0 %

5.2 A&H cost containment percent 0.0 %

5.3 A&H expense percent excluding cost containment expenses 0.0 %

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. 0

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. 0

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3  Name of Reinsurer	4  Domiciliary Jurisdiction	5 Is Insurer Authorized? (YES or NO)
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**NONE**

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	L						
2. Alaska.....AK	L						
3. Arizona.....AZ	L						
4. Arkansas.....AR	L						
5. California.....CA	N						
6. Colorado.....CO	L						
7. Connecticut.....CT	N						
8. Delaware.....DE	L						
9. District of Columbia.....DC	L						
10. Florida.....FL	N						
11. Georgia.....GA	L	2,174,992	1,845,126	1,247,909	1,031,003	1,322,116	1,203,634
12. Hawaii.....HI	N						
13. Idaho.....ID	N						
14. Illinois.....IL	L						
15. Indiana.....IN	L						
16. Iowa.....IA	L						
17. Kansas.....KS	L						
18. Kentucky.....KY	L						
19. Louisiana.....LA	N						
20. Maine.....ME	L						
21. Maryland.....MD	L						
22. Massachusetts.....MA	L						
23. Michigan.....MI	L						
24. Minnesota.....MN	N						
25. Mississippi.....MS	L						
26. Missouri.....MO	L						
27. Montana.....MT	L						
28. Nebraska.....NE	L						
29. Nevada.....NV	L						
30. New Hampshire.....NH	N						
31. New Jersey.....NJ	N						
32. New Mexico.....NM	L						
33. New York.....NY	L	10,408,766	6,366,945	6,782,199	3,524,123	6,883,485	3,755,897
34. North Carolina.....NC	N						
35. North Dakota.....ND	L						
36. Ohio.....OH	L						
37. Oklahoma.....OK	L						
38. Oregon.....OR	L						
39. Pennsylvania.....PA	L						
40. Rhode Island.....RI	L						
41. South Carolina.....SC	L						
42. South Dakota.....SD	L						
43. Tennessee.....TN	N						
44. Texas.....TX	L						
45. Utah.....UT	L						
46. Vermont.....VT	L						
47. Virginia.....VA	L						
48. Washington.....WA	N						
49. West Virginia.....WV	L						
50. Wisconsin.....WI	L						
51. Wyoming.....WY	L						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. US Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CN	N						
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Totals.....	(a) 39	12,583,758	8,212,070	8,030,107	4,555,125	8,205,601	4,959,531

**DETAILS OF WRITE-INS**

5801. ....	XXX						
5802. ....	XXX						
5803. ....	XXX						
5898. Summary of remaining write-ins for Line 58 from overflow page.....	XXX	0	0	0	0	0	0
5899. Totals (Lines 5801 thru 5803 + Line 5898) (Line 58 above).....	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF  
INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>FEIN</u>	<u>STATE</u>	<u>NAIC</u>
Ameriprise Financial, Inc.....	13-3180631		
Ameriprise Advisor Capital, LLC.....	27-0544454		
Ameriprise Bank, FSB.....	06-1791061		
Ameriprise Capital Trust I.....	45-6157641		
Ameriprise Capital Trust II.....	45-6157643		
Ameriprise Capital Trust III.....	45-6157644		
Ameriprise Capital Trust IV.....	45-6157645		
Ameriprise Captive Insurance Company.....	20-5761939	VT	12852
Ameriprise Certificate Company.....	41-6009975		
Investors Syndicate Development Corporation.....	41-0951695		
Ameriprise Holdings, Inc.....	26-3878824		
201 Eleventh Street South, LLC.....	45-5464028		
Ameriprise India Insurance Brokers Services Private Limited (19%).....			
Ameriprise India Private Limited.....			
Ameriprise India Insurance Brokers Services Private Limited (7%).....			
Ameriprise Trust Company.....	41-6219335		
AMPF Holding Corporation.....	38-2722519		
American Enterprise Investment Services Inc.....	41-1667086		
Ameriprise Financial Services, Inc.....	41-0973005		
AMPF Property Corporation.....	38-3050688		
AMPF Realty Corporation.....	38-3050690		
IDS Property Casualty Insurance Company.....	39-1173498	WI	29068
Ameriprise Auto & Home Insurance Agency, Inc.....	82-0541142	WI	
Ameriprise Insurance Company.....	65-1261374	WI	12504
RiverSource Distributors, Inc.....	42-1690915		
RiverSource Life Insurance Company.....	41-0823832	MN	65005
RiverSource Life Insurance Co. of New York.....	41-0987741	NY	80594
RiverSource NY REO, LLC.....	27-0380139		
RiverSource REO 1, LLC.....	26-4164569		
RiverSource Tax Advantaged Investments, Inc.....	13-6178563		
AEXP Affordable Housing Portfolio LLC.....	41-1977631		
CREA Corporate Tax Credit Fund XXVIII, LLC.....			
Columbia Management Investment Advisers, LLC.....	41-1533211		
Advisory Capital Strategies Group Inc.....	41-1624224		
Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund).....			
Columbia Institutional High Yield Fixed Income Private Fund LLC.....	45-5077345		
Columbia U.S. Contrarian Core Equity Private Fund, LLC.....			
Columbia Commodity Strategy Fund (mutual funds).....	45-2433414		
Columbia Concentrated Large Cap Value Strategy Fund (ETF FUNDS).....	26-3994533		
Columbia Core Bond Strategy Fund (ETF FUNDS).....	27-1082858		
Columbia Intermediate Municipal Bond Strategy Fund (ETF FUNDS).....	27-1082673		
Columbia Large Cap Growth Equity Strategy Fund (ETF FUNDS).....	27-0473870		
Columbia Marsico Global Fund (mutual funds).....	04-3400204		
Columbia Short Duration High Yield Private (Master) Fund.....	98-1046237		
Columbia VP - Select Large Cap Growth (mutual funds).....	26-2959622		
Columbia Wanger Asset Management, LLC.....	04-3519872		
GA Legacy, LLC.....	26-2639148		
J. & W. Seligman & Co. Incorporated.....	13-3043476		
Columbia Management Investment Distributors, Inc.....	13-3043478		
Columbia Research Partners LLC.....	27-2466899		
Columbia Research Market Neutral, LP (Private Invest Fund).....	26-2795674		
Seligman Focus Partners LLC.....	20-8274688		
Seligman Spectrum Focus Fund, LLC.....	20-8274688		
Seligman Global Technology Partners LLC.....			
Seligman Health Partners LLC.....	20-2357120		
Seligman Health Plus Partners LLC.....	20-5704686		
Seligman Health Spectrum Plus, LLC.....	20-5704686		
Seligman Partners LLC.....	13-4200160		
Seligman Tech Spectrum Fund, LLC.....	13-4200160		
RiverSource CDO Seed Investments, LLC.....	87-0812264		
WAM Acquisition GP, Inc.....	04-3519867		
Columbia Management Investment Services Corp.....	41-1861053		
Threadneedle Asset Management Holdings Sarl.....			
TAM Investment Ltd.....			
Threadneedle Asset Management (Australia) Pty Ltd.....			
Threadneedle International Investments GmbH (35%).....			
Threadneedle Investments Singapore (Pte.) Ltd.....			
Threadneedle Investments Taiwan, Ltd.....			
Threadneedle Management Luxembourg S.A.....			
Threadneedle Portfolio Services Hong Kong Ltd.....			

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF  
INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

---

– Threadneedle Asset Management UK Ltd.....	
– TAM UK Holdings Ltd.....	
– Threadneedle Asset Management Holdings Ltd.....	
– Cofund Holdings Ltd.....	
– Global Opportunities Bond Fund (Threadneedle (Lux) SICAV).....	
– Threadneedle Asset Management (Nominees) Ltd.....	
– Sackville TIPP Property (GP) Ltd.....	
– Threadneedle Asset Management Finance Ltd.....	
– European Property Fund sub-fund of TIPP SICAV.....	
– TMA Umbrella Fund SIF.....	
– TMS Investment Ltd.....	
– Threadneedle Holdings Limited.....	
– Threadneedle Asset Management Ltd.....	98-0691981
– Threadneedle Investment Services Ltd.....	98-0691982
– Threadneedle International Ltd.....	
– Threadneedle Investments Ltd.....	
– Threadneedle Investments (Channel Islands) Ltd.....	
– Threadneedle Management Services Ltd.....	
– Threadneedle Securities Ltd.....	
– Threadneedle Navigator ISA Manager Ltd.....	
– Threadneedle Pensions Ltd.....	
– Sackville (TPEN) (75%).....	
– Threadneedle Portfolio Services Ltd.....	
– Threadneedle Portfolio Services AG.....	
– Threadneedle International Investments GmbH (65%).....	
– Threadneedle Property Investments Ltd.....	
– Sackville LCW (GP) Ltd.....	
– Sackville Property (GP) Ltd.....	
– Sackville SPF IV (GP) No. 1 Ltd.....	
– Sackville SPF IV (GP) No. 2 Ltd.....	
– Sackville SPF IV (GP) No. 3 Ltd.....	
– Sackville SPF IV Property (GP) Ltd.....	
– Threadneedle Strategic Property Fund IV (TN Prop Fund).....	
– Sackville Tandem Property (GP) Ltd.....	
– Sackville TPEN Property (GP) Ltd.....	
– Sackville TPEN Property Nominee Ltd.....	
– Sackville (TPEN) (25%).....	
– Threadneedle Pensions Property Partnership 1 (TN Prop Fund).....	
– Threadneedle Pensions Property Partnership 2 (TN Prop Fund).....	
– Sackville TSP Property (GP) Ltd.....	
– Threadneedle Strategic Property (Eagle Star) Fund (TN Prop Fund).....	
– Threadneedle Strategic Property (Nearhearth) Fund (TN Prop Fund).....	
– Threadneedle Strategic Property (Parcelgate) Fund (TN Prop Fund).....	
– Threadneedle Tandem Property Fund (TN Prop Fund).....	
– Threadneedle UK Opportunities Property II Fund (TN Prop Fund).....	
– Sackville UKPEC 1 Leeds (GP) Ltd.....	
– Sackville UKPEC2 Galahad (GP) Ltd.....	
– Threadneedle Unit Trust Manager Ltd.....	
– US Contrarian Core Equities Fund (Threadneedle (Lux) SICAV).....	



# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
<b>Members</b>														
	Ameriprise Financial, Inc.....		13-3180631	2433312	820027	NYSE	Ameriprise Financial, Inc.....	DE	UIP					
	Ameriprise Financial, Inc.....		27-0544454	4283810			Ameriprise Advisor Capital, LLC.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		06-1791061	3470239			Ameriprise Bank, FSB.....	US	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		45-6157641	4285346			Ameriprise Capital Trust I.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		45-6157643	4289755			Ameriprise Capital Trust II.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		45-6157644	4289764			Ameriprise Capital Trust III.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		45-6157645	4289773			Ameriprise Capital Trust IV.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
0004	Ameriprise Financial, Inc.....	12852	20-5761939	4289791			Ameriprise Captive Insurance Company.....	VT	IA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-6009975	4054449	52428		Ameriprise Certificate Company.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-0951695	4285298			Investors Syndicate Development Corporation.....	NV	NIA	Ameriprise Certificate Company.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		26-3878824	4283856			Ameriprise Holdings, Inc.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		45-5464028				201 Eleventh Street South, LLC.....	MN	NIA	Ameriprise Holdings, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Ameriprise India Insurance Brokers Services Private Limited	IN	NIA	Ameriprise Financial, Inc.....	Ownership.....	19.000	Ameriprise Financial, Inc.....	1
	Ameriprise Financial, Inc.....			4289830			Ameriprise India Private Limited.....	IN	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Ameriprise India Insurance Brokers Services Private Limited	IN	NIA	Ameriprise India Private Limited.....	Ownership.....	7.000	Ameriprise Financial, Inc.....	1
	Ameriprise Financial, Inc.....		41-6219335	994257			Ameriprise Trust Company.....	MN	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		38-2722519	4289933			AMPF Holding Corporation.....	MI	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-1667086	3255445			American Enterprise Investment Services Inc.....	MN	NIA	AMPF Holding Corporation.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-0973005	4289942			Ameriprise Financial Services, Inc.....	DE	NIA	AMPF Holding Corporation.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		38-3050688	4289951			AMPF Property Corporation.....	MI	NIA	AMPF Holding Corporation.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		38-3050690	4289960			AMPF Realty Corporation.....	MI	NIA	AMPF Holding Corporation.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
0004	Ameriprise Financial, Inc.....	29068	39-1173498	4289885			IDS Property Casualty Insurance Company.....	WI	UDP	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		82-0541142	4289894			Ameriprise Auto & Home Insurance Agency, Inc.....	WI	NIA	IDS Property Casualty Insurance Company.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
0004	Ameriprise Financial, Inc.....	12504	65-1261374	4289906			Ameriprise Insurance Company.....	WI		IDS Property Casualty Insurance Company.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		42-1690915	4291815			RiverSource Distributors, Inc.....	DE	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
0004	Ameriprise Financial, Inc.....	65005	41-0823832	2696715	727892		RiverSource Life Insurance Company.....	MN	IA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
0004	Ameriprise Financial, Inc.....	80594	41-0987741	2718046			RiverSource Life Insurance Co. of New York.....	NY	IA	RiverSource Life Insurance Company.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		27-0380139	4289858			RiverSource NY REO, LLC.....	NY	NIA	RiverSource Life Insurance Co. of New York.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		26-4164569	4289867			RiverSource REO 1, LLC.....	MN	NIA	RiverSource Life Insurance Company.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		13-6178563	4289876			RiverSource Tax Advantaged Investments, Inc.....	DE	NIA	RiverSource Life Insurance Company.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-1977631	3945102			AEXP Affordable Housing Portfolio LLC.....	DE	NIA	RiverSource Tax Advantaged Investments, Inc.....	Ownership.....	66.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						CREA Corporate Tax Credit Fund XXVIII, LLC.....	DE	NIA	RiverSource Tax Advantaged Investments, Inc.....	Ownership.....	99.900	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-1533211	4246169			Columbia Management Investment Advisers, LLC.....	MN	NIA	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	

Q12

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.....		41-1624224	4289979.....			Advisory Capital Strategies Group, Inc.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund)	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Other.....		Ameriprise Financial, Inc.....	2.....
	Ameriprise Financial, Inc.....		45-5077345				Columbia Institutional High Yield Fixed Income Private Fund LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Other.....		Ameriprise Financial, Inc.....	2.....
	Ameriprise Financial, Inc.....						Columbia U.S. Contrarian Core Equity Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Other.....		Ameriprise Financial, Inc.....	2.....
	Ameriprise Financial, Inc.....		45-2433414				Columbia Commodity Strategy Fund (mutual funds)	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	29.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		26-3994533			NYSE Arca.....	Columbia Concentrated Large Cap Value Strategy Fund (ETF FUNDS)	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	50.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		27-1082858			NYSE Arca.....	Columbia Core Bond Strategy Fund (ETF FUNDS)	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	51.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		27-1082673			NYSE Arca.....	Columbia Intermediate Municipal Bond Strategy Fund (ETF FUNDS)	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	30.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		27-0473870			NYSE Arca.....	Columbia Large Cap Growth Equity Strategy Fund (ETF FUNDS)	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	68.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		04-3400204				Columbia Marsico Global Fund (mutual funds).....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	42.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-1046237				Columbia Short Duration High Yield Private (Master) Fund	KY.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		26-2959622	3824793.....			Columbia VP - Select Large Cap Growth (mutual funds)	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	50.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		04-3519872	4289997.....			Columbia Wanger Asset Management, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		26-2639148	4346854.....			GA Legacy, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		13-3043476	2654434.....			J. & W. Seligman & Co. Incorporated.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		13-3043478	4292821.....			Columbia Management Investment Distributors, Inc.	NY.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		27-2466899	4292858.....			Columbia Research Partners LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		26-2795674	3811654.....			Columbia Research Market Neutral, LP (Private Invest Fund)	DE.....	NIA.....	Columbia Research Partners LLC.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....		20-8274688	4293190.....			Seligman Focus Partners LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		20-8274688				Seligman Spectrum Focus Fund, LLC.....	DE.....	NIA.....	Seligman Focus Partners LLC.....	Other.....		Ameriprise Financial, Inc.....	4.....

Q12.1

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.....			4293499.....			Seligman Global Technology Partners LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		20-2357120	4293163.....			Seligman Health Partners LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		20-5704686	4293181.....			Seligman Health Plus Partners LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		20-5704686				Seligman Health Spectrum Plus, LLC.....	DE.....	NIA.....	Seligman Health Plus Partners LLC.....	Other.....		Ameriprise Financial, Inc.....	4.....
	Ameriprise Financial, Inc.....		13-4200160	4293145.....			Seligman Partners LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		13-4200160				Seligman Tech Spectrum Fund, LLC.....	DE.....	NIA.....	Seligman Partners LLC.....	Other.....		Ameriprise Financial, Inc.....	4.....
	Ameriprise Financial, Inc.....		87-0812264	4290126.....			RiverSource CDO Seed Investments, LLC.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		04-3519867	3079230.....			WAM Acquisition GP, Inc.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		41-1861053	4291776.....			Columbia Management Investment Services Corp.	MN.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4293538.....			Threadneedle Asset Management Holdings Sarl...	LU.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	6.....
	Ameriprise Financial, Inc.....			4293574.....			TAM Investment Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Asset Management (Australia) Pty Ltd	AU.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4293855.....			Threadneedle International Investments GmbH....	CH.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	35.000	Ameriprise Financial, Inc.....	7.....
	Ameriprise Financial, Inc.....			4293873.....			Threadneedle Investments Singapore (Pte.) Ltd....	SG.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Investments Taiwan, Ltd.....	TW.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4293882.....			Threadneedle Management Luxembourg S.A.....	LU.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4293903.....			Threadneedle Portfolio Services Hong Kong Ltd....	HK.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294339.....			Threadneedle Asset Management UK Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294348.....			TAM UK Holdings Ltd.....	GB.....	NIA.....	Threadneedle Asset Management UK Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	6.....
	Ameriprise Financial, Inc.....			4294357.....			Threadneedle Asset Management Holdings Ltd.....	GB.....	NIA.....	TAM UK Holdings Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294375.....			Cofund Holdings Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	20.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Global Opportunities Bond Fund (Threadneedle (Lux) SICAV)	LU.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	30.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294478.....			Threadneedle Asset Management (Nominees) Ltd.	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294526.....			Sackville TIPP Property (GP) Ltd.....	GB.....	NIA.....	Threadneedle Asset Management (Nominees) Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294441.....			Threadneedle Asset Management Finance Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						European Property Fund sub-fund of TIPP SICAV..	LU.....	NIA.....	Threadneedle Asset Management Finance Ltd..	Ownership.....	50.100	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						TMA Umbrella Fund SIF.....	LU.....	NIA.....	Threadneedle Asset Management Finance Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294450.....			TMS Investment Ltd.....	JE.....	NIA.....	Threadneedle Asset Management Finance Ltd..	Ownership.....	44.000	Ameriprise Financial, Inc.....	6.....
	Ameriprise Financial, Inc.....			4294535.....			Threadneedle Holdings Limited.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0691981	4294469.....			Threadneedle Asset Management Ltd.....	GB.....	NIA.....	Threadneedle Holdings Limited.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....		98-0691982	4294571.....			Threadneedle Investment Services Ltd.....	GB.....	NIA.....	Threadneedle Holdings Limited.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	

Q12.2

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
	Ameriprise Financial, Inc.....			4294553.....			Threadneedle International Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294393.....			Threadneedle Investments Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294599.....			Threadneedle Investments (Channel Islands) Ltd..	JE.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Management Services Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294768.....			Threadneedle Securities Ltd.....	GB.....	NIA.....	Threadneedle Management Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294786.....			Threadneedle Navigator ISA Manager Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294795.....			Threadneedle Pensions Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294843.....			Sackville (TPEN).....	GB.....	NIA.....	Threadneedle Pensions Ltd.....	Ownership.....	75.000	Ameriprise Financial, Inc.....	5.....
	Ameriprise Financial, Inc.....			4294982.....			Threadneedle Portfolio Services Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Portfolio Services AG.....	CH.....	NIA.....	Threadneedle Portfolio Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4293855.....			Threadneedle International Investments GmbH.....	CH.....	NIA.....	Threadneedle Portfolio Services AG.....	Ownership.....	65.000	Ameriprise Financial, Inc.....	7.....
	Ameriprise Financial, Inc.....			4294991.....			Threadneedle Property Investments Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295028.....			Sackville LCW (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	33.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295082.....			Sackville Property (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295130.....			Sackville SPF IV (GP) No. 1 Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295167.....			Sackville SPF IV (GP) No. 2 Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295206.....			Sackville SPF IV (GP) No. 3 Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4295112.....			Sackville SPF IV Property (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Strategic Property Fund IV (TN Prop Fund)	GB.....	NIA.....	Sackville SPF IV Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....			4295251.....			Sackville Tandem Property (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property Nominee Ltd.....	GB.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....			4294843.....			Sackville (TPEN).....	GB.....	NIA.....	Sackville TPEN Property Nominee Ltd.....	Ownership.....	25.000	Ameriprise Financial, Inc.....	5.....
	Ameriprise Financial, Inc.....						Threadneedle Pensions Property Partnership 1 (TN Prop Fund)	GB.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Threadneedle Pensions Property Partnership 2 (TN Prop Fund)	GB.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Sackville TSP Property (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Strategic Property (Eagle Star) Fund (TN Prop Fund)	GB.....	NIA.....	Sackville TSP Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Threadneedle Strategic Property (Nearhearth) Fund (TN Prop Fund)	GB.....	NIA.....	Sackville TSP Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Threadneedle Strategic Property (Parcelgate) Fund (TN Prop Fund)	GB.....	NIA.....	Sackville TSP Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Threadneedle Tandem Property Fund (TN Prop Fund)	GB.....	NIA.....	Sackville TSP Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....

Q12.3

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
	Ameriprise Financial, Inc.....						Threadneedle UK Opportunities Property II Fund (TN Prop Fund)	GB.....	NIA.....	Sackville TSP Property (GP) Ltd.....	Other.....		Ameriprise Financial, Inc.....	3.....
	Ameriprise Financial, Inc.....						Sackville UKPEC 1 Leeds (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Sackville UKPEC2 Galahad (GP) Ltd.....	GB.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						Threadneedle Unit Trust Manager Ltd.....	GB.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	...100.000	Ameriprise Financial, Inc.....	
	Ameriprise Financial, Inc.....						US Contrarian Core Equities Fund (Threadneedle (Lux) SICAV)	LU.....	NIA.....	Threadneedle Asset Management Holdings Ltd..	Ownership.....	...100.000	Ameriprise Financial, Inc.....	

**Asterisk Explanation**

1	Owned 19% / 7% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively.
2	Managing Member and Ownership
3	General Partner and Ownership (<1%)
4	Board Membership, Managing Member and Ownership
5	Owned 75% / 25% by Threadneedle Pensions Ltd and Sackville TPEN Property Nominee Ltd, respectively.
6	Ownership percentage indicates voting shares only.
7	Owned 65% / 35% by Threadneedle Portfolio Services AG and Threadneedle Asset Management Holdings Sarl, respectively.

Q12.4

**PART 1 - LOSS EXPERIENCE**

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.0	
2. Allied lines.....			0.0	
3. Farmowners multiple peril.....			0.0	
4. Homeowners multiple peril.....			0.0	
5. Commercial multiple peril.....			0.0	
6. Mortgage guaranty.....			0.0	
8. Ocean marine.....			0.0	
9. Inland marine.....			0.0	
10. Financial guaranty.....			0.0	
11.1. Medical professional liability - occurrence.....			0.0	
11.2. Medical professional liability - claims-made.....			0.0	
12. Earthquake.....			0.0	
13. Group accident and health.....			0.0	
14. Credit accident and health.....			0.0	
15. Other accident and health.....			0.0	
16. Workers' compensation.....			0.0	
17.1 Other liability-occurrence.....			0.0	
17.2 Other liability-claims made.....			0.0	
17.3 Excess workers' compensation.....			0.0	
18.1 Products liability-occurrence.....			0.0	
18.2 Products liability-claims made.....			0.0	
19.1, 19.2 Private passenger auto liability.....	7,665,458	5,924,799	77.3	85.6
19.3, 19.4 Commercial auto liability.....			0.0	
21. Auto physical damage.....	3,693,601	3,369,274	91.2	77.2
22. Aircraft (all perils).....			0.0	
23. Fidelity.....			0.0	
24. Surety.....			0.0	
26. Burglary and theft.....			0.0	
27. Boiler and machinery.....			0.0	
28. Credit.....			0.0	
29. International.....			0.0	
30. Warranty.....			0.0	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.0	
35. Totals.....	11,359,059	9,294,073	81.8	82.7

**DETAILS OF WRITE-INS**

3401.....			0.0	
3402.....			0.0	
3403.....			0.0	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.0	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.0	

**PART 2 - DIRECT PREMIUMS WRITTEN**

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1 Medical professional liability - occurrence.....			
11.2 Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....			
17.2 Other liability-claims made.....			
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....	4,365,560	8,569,262	5,412,857
19.3 19.4 Commercial auto liability.....			
21. Auto physical damage.....	2,005,881	4,014,496	2,799,214
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	6,371,441	12,583,758	8,212,071

**DETAILS OF WRITE-INS**

3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2012 Loss and LAE Payments on Claims Reported as of Prior Year-End	2012 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2012 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2009 + Prior.....			.0			.0				.0	.0	.0	.0
2. 2010.....			.0			.0				.0	.0	.0	.0
3. Subtotals 2010 + Prior.....	0	0	.0	0	0	.0	0	0	0	.0	0	0	0
4. 2011.....			.0			.0				.0	.0	.0	.0
5. Subtotals 2011 + Prior.....	0	0	.0	0	0	.0	0	0	0	.0	0	0	0
6. 2012.....	.XXX	.XXX	.XXX	.XXX		.0	.XXX			.0	.XXX	.XXX	.XXX
7. Totals.....	0	0	.0	0	0	.0	0	0	0	.0	0	0	0
8. Prior Year-End's Surplus As Regards Policyholders.....	41,228										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....0.0 %	2. ....0.0 %	3. ....0.0 %
													Col. 13, Line 7 Line 8
													4. ....0.0 %

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____
4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

**Explanation:**

- 1.
- 2.
- 3.
- 4.

**Bar Code:**





**NONE**

Statement for June 30, 2012 of the **Ameriprise Insurance Company**  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other than temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	39,210,988	39,800,111
2. Cost of bonds and stocks acquired.....	3,053,840	200,703
3. Accrual of discount.....	305	590
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	(4,118)	(771)
6. Deduct consideration for bonds and stocks disposed of.....	2,890,000	630,000
7. Deduct amortization of premium.....	73,811	159,645
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	39,297,205	39,210,988
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	39,297,205	39,210,988

## SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. Class 1 (a).....	26,711,328	61,719,217	60,586,174	1,979,204	26,711,328	29,823,575		26,918,278
2. Class 2 (a).....	12,789,450			(2,015,828)	12,789,450	10,773,622		12,292,713
3. Class 3 (a).....								
4. Class 4 (a).....								
5. Class 5 (a).....								
6. Class 6 (a).....								
7. Total Bonds.....	39,500,778	61,719,217	60,586,174	(36,624)	39,500,778	40,597,197	.0	39,210,991
<b>PREFERRED STOCK</b>								
8. Class 1.....								
9. Class 2.....								
10. Class 3.....								
11. Class 4.....								
12. Class 5.....								
13. Class 6.....								
14. Total Preferred Stock.....	.0	.0	.0	.0	.0	.0	.0	.0
15. Total Bonds and Preferred Stock.....	39,500,778	61,719,217	60,586,174	(36,624)	39,500,778	40,597,197	.0	39,210,991

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA-Pt 1  
NONE**

**Sch. DA-Verification  
NONE**

**Sch. DB-Pt A-Verification  
NONE**

**Sch. DB-Pt B-Verification  
NONE**

**Sch. DB-Pt C-Sn 1  
NONE**

**Sch. DB-Pt C-Sn 2  
NONE**

**Sch. DB-Verification  
NONE**

**SCHEDULE E- VERIFICATION**

Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of cash equivalents acquired.....	61,719,217	
3. Accrual of discount.....	772	
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	60,420,000	
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,299,989	0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,299,989	0

**Sch. A-Pt 2**  
**NONE**

**Sch. A-Pt 3**  
**NONE**

**Sch. B-Pt 2**  
**NONE**

**Sch. B-Pt 3**  
**NONE**

**Sch. BA-Pt 2**  
**NONE**

**Sch. BA-Pt 3**  
**NONE**

**Sch. D-Pt 3**  
**NONE**

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n Disposal Date	4 Name of Purchaser	5 Number of Shares of Stock	6 Consideration	7 Par Value	8 Actual Cost	9 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)
									11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							

**Bonds - U.S. States, Territories and Possessions**

877056 6H 8	WISCONSIN ST ER 3 5.000.....	05/01/2012	CALL 100.0000.....		.....165,000	.....165,000	.....170,311	.....166,423	.....(249)	.....(249)	.....(249)	.....(249)	.....166,174	.....(1,174)	.....(1,174)	.....4,125	11/01/2013	1FE.....	
1799999.	Total - Bonds - U.S. States, Territories & Possessions.....				.....165,000	.....165,000	.....170,311	.....166,423	.....0	.....(249)	.....0	.....(249)	.....166,174	.....0	.....(1,174)	.....(1,174)	.....4,125	....XXX...	..XXX...
8399997.	Total - Bonds - Part 4.....				.....165,000	.....165,000	.....170,311	.....166,423	.....0	.....(249)	.....0	.....(249)	.....166,174	.....0	.....(1,174)	.....(1,174)	.....4,125	....XXX...	..XXX...
8399999.	Total - Bonds.....				.....165,000	.....165,000	.....170,311	.....166,423	.....0	.....(249)	.....0	.....(249)	.....166,174	.....0	.....(1,174)	.....(1,174)	.....4,125	....XXX...	..XXX...
9999999.	Total - Bonds, Preferred and Common Stocks.....				.....165,000	.....XXX.....	.....170,311	.....166,423	.....0	.....(249)	.....0	.....(249)	.....166,174	.....0	.....(1,174)	.....(1,174)	.....4,125	....XXX...	..XXX...

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE05

**Sch. DB-Pt A-Sn 1  
NONE**

**Sch. DB-Pt B-Sn 1  
NONE**

**Sch. DB-Pt B-Sn 1B-Broker List  
NONE**

**Sch. DB-Pt D  
NONE**

**Sch. DL-Pt. 1  
NONE**

**Sch. DL-Pt. 2  
NONE**



**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	

**Open Depositories**

Wells Fargo Bank.....					.....548,734	.....549,527	.....160,471	XXX..
Northern Trust Bank.....		.....0.010			.....81,395	.....49,907	.....34,431	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....	.....0	.....0	.....630,129	.....599,434	.....194,902	XXX..
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....	.....0	.....0	.....630,129	.....599,434	.....194,902	XXX..
0599999. Total Cash.....	...XXX.....	...XXX.....	.....0	.....0	.....630,129	.....599,434	.....194,902	XXX..

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
<b>Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations</b>							
DEVON ENERGY CORP 4-2.....		06/29/2012		07/02/2012	1,299,989	.0	.22
3299999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations.....					1,299,989	.0	.22
3899999. Total - Industrial and Miscellaneous (Unaffiliated).....					1,299,989	.0	.22
<b>Total Bonds</b>							
7799999. Subtotals - Issuer Obligations.....					1,299,989	.0	.22
8399999. Subtotals - Bonds.....					1,299,989	.0	.22
8699999. Total - Cash Equivalents.....					1,299,989	.0	.22

QE12