



# QUARTERLY STATEMENT

As of June 30, 2018

of the Condition and Affairs of the

## IDS PROPERTY CASUALTY INSURANCE COMPANY

NAIC Group Code..... 4, 4

NAIC Company Code..... 29068

Employer's ID Number.....  
39-1173498

(Current Period) (Prior Period)

Organized under the Laws of WI

State of Domicile or Port of Entry WI

Country of Domicile US

Incorporated/Organized..... December 6, 1972

Commenced Business..... January 24, 1973

Statutory Home Office 3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070  
*(Street and Number) (City or Town, State, Country and Zip Code)*

Main Administrative Office 3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070  
*(Street and Number) (City or Town, State, Country and Zip Code)*

920-330-5100  
*(Area Code) (Telephone Number)*

Mail Address 3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070  
*(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)*

Primary Location of Books and Records 3500 Packerland Drive .. De Pere .. WI .. US .. 54115-9070  
*(Street and Number) (City or Town, State, Country and Zip Code)*

920-330-5100  
*(Area Code) (Telephone Number)*

Internet Web Site Address www.ameriprise.com

Statutory Statement Contact Kelly B Kinas  
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920-330-5619  
*(Area Code) (Telephone Number) (Extension)*  
920-330-5603  
*(Fax Number)*

### OFFICERS

Name	Title	Name	Title
1. Thomas Vincent Ealy	President	2. Thomas Richard Moore	Secretary
3. James Anthony Brefeld Jr	Treasurer		

### OTHER

Thomas Scott Botsford	Sr Vice President	Lisa Kay Jossart	Sr Vice President
Christopher Francis Malone	Sr Vice President	Jason Robert Manns	Vice President
John Joseph Whalin	Vice President	Richard Paul Yocius	Vice President

### DIRECTORS OR TRUSTEES

Thomas Scott Botsford	Thomas Vincent Ealy	Christopher Francis Malone	Joseph Edward Sweeney
Richard Paul Yocius	James Anthony Brefeld Jr	Brian Joseph McGrane	

State of..... Wisconsin  
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Thomas V Ealy 1. (Printed Name) President (Title)	_____ (Signature) Kelly B Kinas 2. (Printed Name) Asst Secretary (Title)	_____ (Signature) Christopher F Malone 3. (Printed Name) Asst Treasurer (Title)
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Subscribed and sworn to before me  
This 30th day of July, 2018

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,583,343,751		1,583,343,751	1,573,511,367
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	52,147,823	3,608,402	48,539,421	48,289,495
3. Mortgage loans on real estate:				
3.1 First liens.....	29,199,427		29,199,427	29,888,908
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....11,543,961 encumbrances).....	922,316		922,316	899,888
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....(47,299,422)), cash equivalents (\$.....32,782,292) and short-term investments (\$.....0).....	(14,517,129)		(14,517,129)	(18,758,354)
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	1,651,096,188	3,608,402	1,647,487,786	1,633,831,304
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	15,663,775		15,663,775	15,463,218
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	649,030	(662)	649,692	1,475,888
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	87,271,118		87,271,118	89,167,149
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	12,417,719		12,417,719	15,017,114
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....	181,166		181,166	270,000
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	4,440,550		4,440,550	8,848,666
18.2 Net deferred tax asset.....	21,353,589	2,267,560	19,086,029	19,714,382
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	7,844,116	5,750,335	2,093,781	2,743,201
21. Furniture and equipment, including health care delivery assets (\$.....0).....	3,289,817	3,267,012	22,805	27,681
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	3,473,527		3,473,527	3,372,163
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	1,510,398	1,506,038	4,360	4,503
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	1,809,190,993	16,398,685	1,792,792,308	1,789,935,270
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	1,809,190,993	16,398,685	1,792,792,308	1,789,935,270

## DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Prepaid expenses.....	1,506,038	1,506,038	0	
2502. Misc Receivable.....	4,360		4,360	4,503
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,510,398	1,506,038	4,360	4,503

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$ .....223,264,000).....	522,845,406	498,143,740
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....	107,914,461	113,090,688
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....	35,089,668	27,652,292
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	3,543,982	6,466,810
7.1 Current federal and foreign income taxes (including \$ ....(87,519) on realized capital gains (losses)).....		
7.2 Net deferred tax liability.....		
8. Borrowed money \$ .....0 and interest thereon \$ .....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ....36,337,144 and including warranty reserves of \$ .....0 and accrued accident and health experience rating refunds including \$ .....0 for medical loss ratio rebate per the Public Health Service Act).....	317,824,811	322,181,380
10. Advance premium.....	9,341,726	7,442,458
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	10,199,223	22,113,359
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....	230,279	167,299
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$ .....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	8,971,390	9,924,947
20. Derivatives.....		
21. Payable for securities.....		
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$ .....0 and interest thereon \$ .....0.....		
25. Aggregate write-ins for liabilities.....	1,436,082	2,114,584
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	1,017,397,028	1,009,297,556
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	1,017,397,028	1,009,297,556
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	5,000,000	5,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	630,839,908	630,839,908
35. Unassigned funds (surplus).....	139,555,372	144,797,806
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$ .....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$ .....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	775,395,280	780,637,714
38. Totals (Page 2, Line 28, Col. 3).....	1,792,792,308	1,789,935,270

## DETAILS OF WRITE-INS

2501. Unclaimed Property.....	2,829,039	2,649,703
2502. Payable to states.....	(1,392,957)	(535,119)
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,436,082	2,114,584
2901. Incremental DTA.....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$....530,701,390).....	549,914,934	529,873,055	1,081,879,930
1.2 Assumed..... (written \$....17,187,502).....	17,292,487	17,179,218	34,582,061
1.3 Ceded..... (written \$....40,996,902).....	55,958,863	60,687,486	123,117,757
1.4 Net..... (written \$....506,891,989).....	511,248,558	486,364,787	993,344,234
DEDUCTIONS:			
2. Losses incurred (current accident year \$....283,613,252):			
2.1 Direct.....	426,468,360	452,213,992	921,667,801
2.2 Assumed.....	12,328,497	10,201,710	28,102,471
2.3 Ceded.....	34,567,944	73,317,839	163,054,976
2.4 Net.....	404,228,913	389,097,863	786,715,296
3. Loss adjustment expenses incurred.....	57,387,754	59,043,392	129,524,970
4. Other underwriting expenses incurred.....	85,940,987	81,821,153	169,720,362
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	547,557,654	529,962,408	1,085,960,628
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(36,309,096)	(43,597,621)	(92,616,394)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	27,415,162	27,630,628	57,898,003
10. Net realized capital gains (losses) less capital gains tax of \$....(87,519).....	(329,237)	(172,621)	(191,336)
11. Net investment gain (loss) (Lines 9 + 10).....	27,085,925	27,458,007	57,706,667
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$....62,376 amount charged off \$....1,243,184).....	(1,180,808)	(1,019,596)	(2,248,897)
13. Finance and service charges not included in premiums.....	2,221,832	2,328,294	4,537,038
14. Aggregate write-ins for miscellaneous income.....	187,545	187,621	306,573
15. Total other income (Lines 12 through 14).....	1,228,569	1,496,319	2,594,714
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	(7,994,602)	(14,643,295)	(32,315,013)
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	(7,994,602)	(14,643,295)	(32,315,013)
19. Federal and foreign income taxes incurred.....	(5,658,697)	(11,030,132)	(22,603,533)
20. Net income (Line 18 minus Line 19) (to Line 22).....	(2,335,905)	(3,613,163)	(9,711,480)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	780,637,714	800,320,941	800,320,941
22. Net income (from Line 20).....	(2,335,905)	(3,613,163)	(9,711,480)
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0.....	1,864,780	1,347,250	2,569,279
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	(310,703)	117,326	(13,855,415)
27. Change in nonadmitted assets.....	(2,332,345)	(2,330,566)	1,314,390
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....		545,760	
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	(2,128,261)	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	(5,242,434)	(3,933,395)	(19,683,226)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	775,395,280	796,387,546	780,637,714

### DETAILS OF WRITE-INS

0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous Income.....	187,545	187,621	306,573
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	187,545	187,621	306,573
3701. Prior Year Premium Adjustment.....	(2,128,261)		
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	(2,128,261)	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	499,601,254	462,825,158	978,047,842
2. Net investment income.....	31,019,546	30,961,693	65,850,669
3. Miscellaneous income.....	1,228,569	1,496,319	2,594,714
4. Total (Lines 1 through 3).....	531,849,370	495,283,171	1,046,493,225
5. Benefit and loss related payments.....	376,839,018	409,541,369	810,134,284
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	141,369,033	137,672,440	282,278,889
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses).....	(10,154,332)	511,959	(5,770,988)
10. Total (Lines 5 through 9).....	508,053,718	547,725,768	1,086,642,185
11. Net cash from operations (Line 4 minus Line 10).....	23,795,651	(52,442,597)	(40,148,960)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	88,995,263	144,322,105	208,379,704
12.2 Stocks.....			
12.3 Mortgage loans.....	689,480	453,599	1,002,251
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	4	669	669
12.7 Miscellaneous proceeds.....		4,230,291	
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	89,684,747	149,006,664	209,382,624
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	102,698,625	142,042,741	201,759,802
13.2 Stocks.....			
13.3 Mortgage loans.....		4,250,000	9,450,000
13.4 Real estate.....	373,152	437,249	2,558,015
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....		4,104,417	1,019,709
13.7 Total investments acquired (Lines 13.1 to 13.6).....	103,071,777	150,834,407	214,787,526
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(13,387,030)	(1,827,743)	(5,404,902)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....		545,760	
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(6,167,397)	474,013	1,548,522
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(6,167,397)	1,019,773	1,548,522
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	4,241,224	(53,250,568)	(44,005,341)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	(18,758,353)	25,246,988	25,246,988
19.2 End of period (Line 18 plus Line 19.1).....	(14,517,128)	(28,003,580)	(18,758,353)

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern**

## A. Accounting Practices

The accompanying financial statements of IDS Property Casualty Insurance Company (Company) have been prepared on the basis of accounting practices prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin.

The Office of the Commissioner of Insurance of the State of Wisconsin requires insurance companies domiciled in the State of Wisconsin to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners' NAIC *Accounting Practices and Procedures Manual* subject to any deviations prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin.

No Permitted statutory accounting practices were used in the preparation of these financial statements.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Wisconsin is shown below:

	SSAP #	F/S Page	F/S Line #	2018	2017
<b>NET INCOME</b>					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ (2,335,905)	\$ (9,711,480)
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ (2,335,905)	\$ (9,711,480)
<b>SURPLUS</b>					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 775,395,280	\$ 780,637,714
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 775,395,280	\$ 780,637,714

## B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements of the Company in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual requires the use of management's estimates and assumptions that affect the amounts reported in these financial statements and notes. Actual results could differ from those estimates.

## C. Accounting Policy

No significant change

## D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

**Note 2 – Accounting Changes and Corrections of Errors**

The Company determined a multi-product discount was not applied consistently to insureds in California and Alabama. The correction was made in Q1 of 2018. For years 2017 and prior, a \$2.1M surplus adjustment was made. For terms effective in 2018, an adjustment of \$328K was made to premium.

**Note 3 – Business Combinations and Goodwill**

No significant changes

**Note 4 – Discontinued Operations**

The Company had no discontinued operations during the calendar year.

**Note 5 – Investments**

A. Mortgage Loans - No significant change

B. Debt Restructuring - No significant change

C. Reverse Mortgages - No significant change

D. Loan-Backed Securities

(1) Prepayment assumptions for loan-backed and structured securities are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value all types of these securities except in a situation where rate changes result in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.

**NOTES TO FINANCIAL STATEMENTS**

- (2) The Company has no loan-backed or structured securities for which an other-than-temporary impairments ("OTTI") was recognized due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost basis.
- (3) The Company has no loan-backed or structured securities for which an OTTI was recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.
- (4) The following table provides information about investments in loan-backed and structured securities for which the carrying value (amortized cost) exceeds fair value and the length of time that individual securities have continuously had amortized cost in excess of fair value, as of June 30, 2018:

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ (1,840,640)
	2. 12 Months or Longer	\$ (1,780,833)
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 106,880,624
	2. 12 Months or Longer	\$ 37,645,093

- (5) For all loan-backed and structured securities for which carrying value exceeds fair value, the Company has the intent and ability to retain the investment in the security for a period of time sufficient to recover the carrying value.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - No significant change
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - No significant change
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - No significant change
- H. Repurchase Agreements Transactions Accounted for as a Sale - No significant change
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - Not applicable
- J. Real Estate - See footnote 15
- K. Low-Income Housing Tax credits (LIHTC) - No significant change
- L. Restricted Assets - No significant change
- M. Working Capital Finance Investments - Not applicable
- N. Offsetting and Netting of Assets and Liabilities - Not applicable
- O. Structured Notes - Not applicable
- P. 5\* Securities - No significant change
- Q. Short Sales - Not applicable
- R. Prepayment Penalty and Acceleration Fees - No significant change

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

No significant changes

**Note 8 – Derivative Instruments**

No significant changes

**Note 9 – Income Taxes**

No significant changes

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

**Note 11 – Debt**

- A. No significant changes
- B. FHLB (Federal Home Loan Bank) Agreements - The Company has no funding agreements with the Federal Home Loan Bank.

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

- A. Defined Benefit Plan - The Company does not sponsor any defined benefit plans.
- B - I. No significant changes

**Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

**NOTES TO FINANCIAL STATEMENTS**

No significant changes

**Note 15 – Leases**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

A. Transfers of Receivables Reported as Sales - Not applicable

B. Transfer and Servicing of Financial Assets - Not applicable

C. Wash Sales - Not applicable

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

Not applicable

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable

**Note 20 – Fair Value Measurements**

A. Fair Value Measurements at June 30, 2018

- (1) The Company does not have any assets or liabilities reported at fair value as of June 30, 2018.
- (2) The Company does not have any level 3 of the Fair Value Measurements.
- (3) The Company recognizes transfers between levels of the value hierarchy as of the beginning of the quarter in which each transfer occurred.
- (4) The Company categorizes its fair value measurements according to a three-level hierarchy. The hierarchy prioritizes the inputs used by the Company's valuation techniques. A level is assigned to each fair value measurement based on the lowest level input that is significant to the fair value measurement in its entirety. The three levels of the fair value hierarchy are defined as follows:

Level 1	Unadjusted quoted prices for identical assets or liabilities in active markets that are accessible at the measurement date.
Level 2	Prices or valuations based on observable inputs other than quoted prices in active markets for identical assets and liabilities.
Level 3	Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable.

The Company uses valuation techniques consistent with the market and income approaches to measure the fair value of its assets and liabilities. The Company's market approach uses prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. The Company's income approach uses valuation techniques to convert future projected cash flows to a single discounted present value amount. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs.

- (5) The Company does not have any derivative assets or liabilities as of June 30, 2018.

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Not applicable

C. Fair Value Level

The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of June 30, 2018:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value (NAV) Included in Level 2
Bonds	\$ 1,598,906,651	\$ 1,583,343,751	\$ 4,698,939	\$ 1,594,207,712	\$	\$	\$
Cash equivalents	\$ 32,782,292	\$ 32,782,292	\$	\$ 32,782,292	\$	\$	\$
Mortgage loans	\$ 28,261,293	\$ 29,199,427	\$	\$	\$ 28,261,293	\$	\$

**Bonds**

When available, fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third party pricing services, non-binding broker quotes or other model-based valuation techniques. Level 1 securities include U.S. Treasury securities. Level 2 securities include municipal and corporate bonds, U.S. government agency residential mortgage backed securities, non-agency commercial mortgage backed securities and asset backed securities. The fair value of these Level 2 securities is based on a market approach with prices obtained from third party pricing services. Observable inputs used to value these securities can include, but are not limited to, reported trades, benchmark yields, issuer spreads and non-binding broker quotes.

**Cash Equivalents**

Cash equivalents include highly liquid investments with original or remaining maturities at the time of purchase of three months or less. Cash equivalents are classified as Level 2 and measured at amortized cost, which is a reasonable estimate of fair value because of the short time between the purchase of the instrument and its expected realization.

**Mortgage loans on real estate**

The fair value of commercial mortgage loans, except those with significant credit deterioration is determined by discounting contractual cash flows using discount rates that reflect current pricing for loans with similar remaining maturities, liquidity and characteristics including loan-to-value ratio, occupancy rate, refinance risk, debt-service coverage, location, and property condition. For commercial mortgage loans with significant credit deterioration, fair value is determined using the



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## NOTES TO FINANCIAL STATEMENTS

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same adjustments as above with an additional adjustment for the Company's estimate of the amount recoverable on the loan. Given the significant unobservable inputs to this valuation, these measurements are classified as Level 3.

D. Not Practicable to Estimate Fair Value - Not applicable

### **Note 21 – Other Items**

No significant changes

### **Note 22 – Events Subsequent**

Subsequent events have been considered through June 30, 2018 for these statutory financial statements which are to be issued on August 8, 2018. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

### **Note 23 – Reinsurance**

No significant changes

### **Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

A-E. The Company is not involved in any retrospectively rated contracts or contracts subject to redetermination.

F. Risk Sharing Provisions of the Affordable Care Act - Not applicable

### **Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

For accident years 2017 and prior, the Company had favorable development of \$1.1M.

B. Information about Significant Changes in Methodologies and Assumptions - No significant changes

### **Note 26 – Intercompany Pooling Arrangements**

No significant changes

### **Note 27 – Structured Settlements**

No significant changes

### **Note 28 – Health Care Receivables**

No significant changes

### **Note 29 – Participating policies**

No significant changes

### **Note 30 – Premium Deficiency Reserves**

No significant changes

### **Note 31 – High Deductibles**

No significant changes

### **Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant changes

### **Note 33 – Asbestos/Environmental Reserves**

No significant changes

### **Note 34 – Subscriber Savings Accounts**

No significant changes

### **Note 35 – Multiple Peril Crop Insurance**

No significant changes

### **Note 36 – Financial Guaranty Insurance**

A-B. The Company has no financial guaranty insurance premiums, earnings or obligations.

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES****GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ X ] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 820027
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ X ] N/A [ ]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/20/2015
- 6.4 By what department or departments?  
State of Wisconsin Office of the Commissioner of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ X ] No [ ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Ameriprise Enterprise Investment Services, Inc.	Minneapolis, MN				YES
Ameriprise Certificate Company	Minneapolis, MN				YES
Ameriprise Financial Services, Inc.	Minneapolis, MN				YES
Ameriprise Financial, Inc.	Minneapolis, MN				YES
Columbia Wanger Asset Management, LLC.	Chicago, IL				YES
RiverSource Distributors, Inc.	Minneapolis, MN				YES
Columbia Management Investment Advisers, LLC.	Boston, MA				YES
Columbia Management Investment Services, Co.	Boston, MA				YES
J. & W. Seligman & Co. Incorporated	New York, NY				YES
Columbia Management Investment Distributors, Inc.	New York, NY				YES
WAM Acquisition GP, Inc.	Chicago, IL				YES
Ameriprise National Trust Bank	Minneapolis, MN		YES		

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 1,010,949

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	50,283,044	52,147,823
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 50,283,044	\$ 52,147,823
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan	New York, NY

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Columbia Managemnet Investment Advisors, LLC	A

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [ ] No [ ]

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
1082557	Columbia Management Investment Advisors, LLC	6YVO3H2OUHJXER5SGR2	SEC	DS

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes  No

18.2 If no, list exceptions:

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5\*GI securities?

Yes  No

**GENERAL INTERROGATORIES (continued)****PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
If yes, attach an explanation.
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]
- 3.2 If yes, give full and complete information thereto:

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]
- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

- 5.1 Operating Percentages:
- 5.1 A&H loss percent 0.000%
- 5.2 A&H cost containment percent 0.000%
- 5.3 A&H expense percent excluding cost containment expenses 0.000%
- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]
- 6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0
7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]
- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

**IDS PROPERTY CASUALTY INSURANCE COMPANY**  
**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

**All Other Insurers**

AA-1120175	FIDELIS UNDERWRITING LIMITED	GBR	Unauthorized	4	01/01/2018
AA-3190875	HISCOX INSURANCE CO (BERMUDA) LTD	BMU	Unauthorized	3	01/01/2018
AA-3190871	LANCASHIRE INS. CO LTD	BMU	Unauthorized	3	01/01/2018
AA-1120097	NEON UW (BERMUDA) LTD SYNIDATE NEO 2468	GBR	Authorized	3	01/01/2018
AA-3191298	QATAR REINS COMPANY LTD	BMU	Unauthorized	3	01/01/2018
AA-1126033	LLOYDS SYNDICATE #0033	GBR	Authorized	3	01/01/2018
AA-1126623	LLOYDS SYNDICATE #0623	GBR	Authorized	3	01/01/2018
AA-1120171	LLOYDS SYNDICATE #1856	GBR	Authorized	3	01/01/2018
AA-1120097	LLOYDS SYNDICATE #2468	GBR	Authorized	3	01/01/2018
AA-1128623	LLOYDS SYNDICATE #2623	GBR	Authorized	3	01/01/2018
AA-1128791	LLOYDS SYNDICATE #2791	GBR	Authorized	3	01/01/2018

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL.....L.....		2,262,248	3,614,955	2,970,538	2,755,528	1,776,520	4,023,319
2. Alaska.....AK.....L.....							
3. Arizona.....AZ.....L.....		18,399,061	19,824,010	12,687,111	13,092,697	12,825,752	11,564,888
4. Arkansas.....AR.....L.....		2,373,654	2,162,766	1,712,393	2,428,009	1,162,690	1,619,368
5. California.....CA.....L.....		204,153,775	180,886,417	143,877,547	131,517,320	205,447,763	164,469,177
6. Colorado.....CO.....L.....		14,661,794	16,135,005	11,261,420	21,777,032	24,823,040	14,822,350
7. Connecticut.....CT.....L.....		6,894,765	8,221,140	7,566,539	5,329,450	10,782,672	11,918,092
8. Delaware.....DE.....L.....		1,499,909	1,564,194	1,001,052	1,272,750	2,122,674	1,699,722
9. District of Columbia.....DC.....L.....		391,545	440,283	343,581	273,782	163,125	588,573
10. Florida.....FL.....L.....		12,866,399	12,283,887	11,046,751	9,402,337	17,544,432	18,151,871
11. Georgia.....GA.....L.....		7,144,701	11,002,949	11,191,641	11,083,089	9,178,904	10,600,848
12. Hawaii.....HI.....L.....		1,563,756	1,649,705	1,155,837	815,765	1,154,579	1,893,053
13. Idaho.....ID.....L.....		920,922	809,382	450,105	507,214	711,490	374,796
14. Illinois.....IL.....L.....		8,781,629	9,106,282	6,348,065	6,901,170	9,213,514	10,910,264
15. Indiana.....IN.....L.....		5,217,998	6,664,022	4,357,684	5,541,439	4,594,916	6,401,098
16. Iowa.....IA.....L.....		1,189,783	1,725,010	1,074,593	986,038	1,472,550	3,224,691
17. Kansas.....KS.....L.....		1,040,104	1,446,439	702,795	1,612,464	977,796	911,328
18. Kentucky.....KY.....L.....		1,886,385	1,855,352	1,285,209	1,071,167	4,082,953	1,267,874
19. Louisiana.....LA.....L.....							
20. Maine.....ME.....L.....					560		
21. Maryland.....MD.....L.....		8,397,186	8,228,858	7,697,031	5,700,547	6,903,185	6,152,730
22. Massachusetts.....MA.....L.....		7,459,668	8,663,950	7,091,455	5,676,976	7,383,272	5,996,977
23. Michigan.....MI.....L.....		29,467,892	33,094,835	23,058,170	28,323,101	60,628,640	60,780,594
24. Minnesota.....MN.....L.....		12,464,396	14,293,283	12,135,990	16,011,113	10,567,977	14,146,608
25. Mississippi.....MS.....L.....		460,544	1,304,193	703,877	1,020,358	959,033	498,019
26. Missouri.....MO.....L.....		4,750,217	6,931,431	3,853,284	5,745,921	5,122,284	4,502,535
27. Montana.....MT.....L.....		2,081,558	1,790,074	2,011,570	1,096,168	1,428,941	960,443
28. Nebraska.....NE.....L.....		1,067,195	1,192,868	1,146,294	791,861	355,029	1,508,752
29. Nevada.....NV.....L.....		8,018,680	7,502,484	6,290,136	6,094,989	6,837,706	8,206,614
30. New Hampshire.....NH.....L.....		556,690	1,046,388	773,890	705,609	583,998	558,195
31. New Jersey.....NJ.....L.....		17,061,964	18,539,528	16,508,694	15,277,081	26,941,530	32,737,548
32. New Mexico.....NM.....L.....		1,453,756	1,516,067	1,291,864	1,667,774	3,012,506	1,277,598
33. New York.....NY.....L.....		8,033,772	8,628,219	5,000,035	6,295,307	12,548,796	15,027,568
34. North Carolina.....NC.....L.....		7,350,251	8,823,906	6,174,191	6,461,972	6,296,061	6,787,335
35. North Dakota.....ND.....L.....					450		
36. Ohio.....OH.....L.....		7,298,610	10,869,514	5,143,772	9,072,864	6,542,785	6,945,215
37. Oklahoma.....OK.....L.....		1,878,956	2,554,653	1,329,642	2,013,582	1,242,468	1,517,105
38. Oregon.....OR.....L.....		14,916,946	14,922,909	8,316,266	10,253,795	13,534,356	15,098,438
39. Pennsylvania.....PA.....L.....		3,449,382	3,627,868	2,190,631	2,092,937	3,755,305	4,876,037
40. Rhode Island.....RI.....L.....					525		
41. South Carolina.....SC.....L.....		3,713,487	3,556,942	2,500,457	3,867,714	2,515,617	3,660,021
42. South Dakota.....SD.....L.....		572,060	499,041	288,701	184,159	142,735	306,266
43. Tennessee.....TN.....L.....		2,231,006	3,495,025	1,875,090	2,327,086	1,833,859	3,902,843
44. Texas.....TX.....L.....		35,871,288	37,484,187	27,048,164	38,266,631	28,919,307	26,770,158
45. Utah.....UT.....L.....		3,656,752	3,273,856	2,518,490	1,896,992	3,352,259	2,730,551
46. Vermont.....VT.....L.....		1,835,606	1,827,292	1,027,155	1,323,734	1,127,223	946,669
47. Virginia.....VA.....L.....		7,605,049	8,343,776	7,222,439	8,270,872	8,433,800	7,831,235
48. Washington.....WA.....L.....		40,165,181	36,877,867	26,949,627	27,103,799	39,945,905	41,897,401
49. West Virginia.....WV.....L.....							
50. Wisconsin.....WI.....L.....		7,634,871	8,261,240	5,034,378	5,949,871	8,849,432	8,457,013
51. Wyoming.....WY.....L.....							
52. American Samoa.....AS.....N.....							
53. Guam.....GU.....N.....							
54. Puerto Rico.....PR.....N.....							
55. US Virgin Islands.....VI.....N.....							
56. Northern Mariana Islands.....MP.....N.....							
57. Canada.....CAN.....N.....							
58. Aggregate Other Alien.....OT.....XXX.....		0	0	0	0	0	0
59. Totals.....XXX.....		530,701,391	536,542,052	404,214,154	429,861,599	577,799,379	548,521,780

**DETAILS OF WRITE-INS**

58001.....XXX.....							
58002.....XXX.....							
58003.....XXX.....							
58998. Summary of remaining write-ins for Line 58 from overflow page.....XXX.....		0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....XXX.....		0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	51	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	6

**INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc.....	13-3180631		
— Ameriprise Advisor Capital, LLC.....	27-0544454		
— Ameriprise Capital Trust I.....	45-6157641		
— Ameriprise Capital Trust II.....	45-6157643		
— Ameriprise Capital Trust III.....	45-6157644		
— Ameriprise Capital Trust IV.....	45-6157645		
— Ameriprise Captive Insurance Company.....	20-5761939	VT	12852
— Ameriprise Certificate Company.....	41-6009975		
— Investors Syndicate Development Corporation.....	41-0951695		
— Ameriprise Holdings, Inc.....	26-3878824		
— 201 Eleventh Street South, LLC.....	45-5464028		
— Ameriprise India Insurance Brokers Services Private Limited (19%).....			
— Ameriprise India Private Limited.....			
— Ameriprise India Insurance Brokers Services Private Limited (81%).....			
— Ameriprise National Trust Bank.....	06-1791061		
— Ameriprise Trust Company.....	41-6219335		
— AMPF Holding Corporation.....	38-2722519		
— American Enterprise Investment Services Inc.....	41-1667086		
— Ameriprise Advisory Management, LLC.....			
— Ameriprise Financial Services, Inc.....	41-0973005		
— AMPF Property Corporation.....	38-3050688		
— AMPF Realty Corporation.....	38-3050690		
— Investment Professionals, Inc.....	74-2629876		
— IDS Property Casualty Insurance Company.....	39-1173498	WI	29068
— Ameriprise Auto & Home Insurance Agency, Inc.....	82-0541142		
— Ameriprise Insurance Company.....	65-1261374	WI	12504
— RiverSource Distributors, Inc.....	42-1690915		
— RiverSource Life Insurance Company.....	41-0823832	MN	65005
— RiverSource Life Insurance Co. of New York.....	41-0987741	NY	80594
— RiverSource NY REO, LLC.....	27-0380139		
— RiverSource REO 1, LLC.....	26-4164569		
— RiverSource Tax Advantaged Investments, Inc.....	13-6178563		
— AEXP Affordable Housing Portfolio, LLC.....	41-1977631		
— CREA Corporate Tax Credit Fund XXVIII, LLC.....			
— Columbia Management Investment Advisers, LLC.....	41-1533211		
— Advisory Capital Strategies Group, Inc.....	41-1624224		
— Columbia Emerging Markets Bond Private Fund LLC.....	46-4842432		
— Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund).....			
— Columbia Institutional High Yield Fixed Income Private Fund, LLC.....	45-5077345		
— Columbia U.S. Contrarian Core Equity Private Fund, LLC.....			
— Emerging Global Advisors, LLC.....			
— Centurion CDO IV Limited.....			
— Centurion CDO VI, Ltd.....	98-0424253		
— Centurion CDO 7 Limited.....	98-0416968		
— Centurion CDO 8, Ltd.....	98-0435521		
— Centurion CDO IX Limited.....	98-0446511		
— Centurion CDO 10 Limited.....	98-0465083		
— Cent CLO 11 Limited.....	98-0463886		
— Cent CLO 12 Limited.....	98-0507952		
— Cent CLO 14 Limited.....	98-0509628		
— Cent CLO 15 Limited.....	98-0528007		
— Cent CLO 18 Limited.....	98-1105047		
— Cent CLO 19 Limited.....	98-1132125		
— Cent CLO 20 Limited.....	98-1141714		
— Cent CLO 21 Limited.....	98-1174078		
— Cent CLO 22 Limited.....	98-1191437		
— Cent CLO 23 Limited.....	98-1210182		
— Cent CLO 24 Limited.....			
— Columbia Adaptive Retirement 2020.....	82-2427165		
— Columbia Adaptive Retirement 2025.....	82-4135960		
— Columbia Adaptive Retirement 2030.....	82-2442360		
— Columbia Adaptive Retirement 2035.....	82-4150305		
— Columbia Adaptive Retirement 2040.....	82-2464783		
— Columbia Adaptive Retirement 2045.....	82-4172289		
— Columbia Adaptive Retirement 2050.....	82-2481153		
— Columbia Adaptive Retirement 2055.....	82-4188116		
— Columbia Adaptive Retirement 2060.....	82-2498236		
— Columbia Adaptive Risk Allocation Fund, LLC.....	46-4892631		
— Columbia Diversified Real Return Fund.....	46-4469208		
— Columbia Sustainable Global Equity Income ETF.....	81-2187181		
— Columbia Wanger Asset Management, LLC.....	04-3519872		
— GA Legacy, LLC.....	26-2639148		
— J. & W. Seligman & Co. Incorporated.....	13-3043476		
— Columbia Management Investment Distributors, Inc.....	13-3043478		
— Seligman Partners, LLC.....	13-4200160		
— Seligman Tech Spectrum Fund, LLC.....	13-4200160		
— Lionstone Partners, LLC.....	76-0694729		
— Cash Flow Asset Management GP, LLC.....	81-4006192		
— Cash Flow Asset Management, L.P.....	20-0065394		
— Lionstone Advisory Services, LLC.....	27-1065302		
— Lionstone CFRE II Real Estate Advisory, LLC.....	47-1271089		
— Lionstone Development Services, LLC.....	46-4179110		
— LPL 1111 Broadway GP, LLC.....	81-4664116		
— LPL 1111 Broadway, L.P.....	36-4855005		
— RiverSource CDO Seed Investments, LLC.....	87-0812264		
— Columbia Management Investment Services Corp.....	41-1861053		



**INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc. (continued).....	13-3180631		
— Ameriprise International Holdings GmbH.....			
— Ameriprise Asset Management Holdings GmbH.....			
— Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.....			
— Ameriprise Asset Management Holdings Hong Kong Limited.....			
— Threadneedle Portfolio Services Hong Kong Ltd.....			
— Threadneedle Asset Management Malaysia Sdn. Bhd.....			
— Threadneedle Investments Singapore (Pte.) Ltd.....			
— Threadneedle Investments Taiwan Ltd.....			
— Ameriprise Holdings Singapore (Pte.) Ltd.....			
— Threadneedle Asset Management Holdings Sarl.....			
— CTM Holdings Limited.....			
— Columbia Threadneedle Investments (ME) Ltd.....			
— TAM Investment Ltd.....			
— Threadneedle International Investments GmbH.....			
— Threadneedle Management Luxembourg S.A.....			
— Threadneedle Asian Focus.....			
— Threadneedle Gatehouse Shariah Global Equity.....			
— Threadneedle US Disciplined Core Equities.....			
— Threadneedle Investment Grade Corporate Bond Fund.....			
— Threadneedle Holdings Ltd.....			
— TAM UK Holdings Ltd.....			
— Threadneedle Asset Management Holdings Ltd.....			
— Columbia Threadneedle Foundation.....			
— TC Financing Limited.....			
— Threadneedle Asset Management Ltd.....	98-0691981		
— Threadneedle Investment Services Ltd.....	98-0691982		
— Threadneedle Asset Management (Nominees) Ltd.....			
— Sackville TIPP Property (GP) Ltd.....			
— Threadneedle Asset Management Finance Ltd.....			
— TMS Investment Ltd.....			
— Threadneedle International Ltd.....			
— Threadneedle Investments Ltd.....			
— Threadneedle Investments (Channel Islands) Ltd.....			
— Threadneedle Management Services Ltd.....			
— Threadneedle Securities Ltd.....			
— Threadneedle Navigator ISA Manager Ltd.....			
— Threadneedle Pensions Ltd.....			
— Sackville (TPEN) (75%).....			
— Threadneedle Portfolio Services Ltd.....			
— Threadneedle Portfolio Services AG.....			
— Threadneedle Property Investments Ltd.....			
— Sackville LCW (GP) Ltd.....			
— Sackville Property (GP) Ltd.....			
— Sackville SPF IV (GP) No. 1 Ltd.....			
— Sackville SPF IV (GP) No. 2 Ltd.....			
— Sackville SPF IV (GP) No. 3 Ltd.....			
— Sackville SPF IV Property (GP) Ltd.....			
— Sackville Tandem Property (GP) Ltd.....			
— Sackville TPEN Property (GP) Ltd.....			
— Sackville TPEN Property Nominee Ltd.....			
— Sackville (TPEN) (25%).....			
— Sackville TSP Property (GP) Ltd.....			
— Sackville UK Property Select II (GP) Ltd.....			
— Sackville UK Property Select II (GP) No. 1 Ltd.....			
— Sackville UK Property Select II (GP) No. 2 Ltd.....			
— Sackville UK Property Select II (GP) No. 3 Ltd.....			
— Threadneedle Unit Trust Manager Ltd.....			
— Threadneedle EMEA Holdings 1, LLC.....	47-3044011		

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
	Ameriprise Financial, Inc.....		13-3180631..	....243312	.....820027	NYSE.....	Ameriprise Financial, Inc.....	DE.....	UDP.....					...N.....	
	Ameriprise Financial, Inc.....		27-0544454..	....4283810			Ameriprise Advisor Capital, LLC.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		45-6157641..	....4285346			Ameriprise Capital Trust I.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		45-6157643..	....4289755			Ameriprise Capital Trust II.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		45-6157644..	....4289764			Ameriprise Capital Trust III.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		45-6157645..	....4289773			Ameriprise Capital Trust IV.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
0004	Ameriprise Financial, Inc.....	12852..	20-5761939..	....4289791			Ameriprise Captive Insurance Company.....	VT.....	IA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		41-6009975..	....4054449			Ameriprise Certificate Company.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		41-0951695..	....4285298			Investors Syndicate Development Corporation.....	NV.....	NIA.....	Ameriprise Certificate Company.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		26-3878824..	....4283856			Ameriprise Holdings, Inc.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		45-5464028..				201 Eleventh Street South, LLC.....	MN.....	NIA.....	Ameriprise Holdings, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Ameriprise India Insurance Brokers Services Private Limited	IND.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...19.000	Ameriprise Financial, Inc.....	...N.....	1.....
	Ameriprise Financial, Inc.....			....4289830			Ameriprise India Private Limited.....	IND.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	2.....
	Ameriprise Financial, Inc.....						Ameriprise India Insurance Brokers Services Private Limited	IND.....	NIA.....	Ameriprise India Private Limited.....	Ownership.....	...81.000	Ameriprise Financial, Inc.....	...N.....	1.....
	Ameriprise Financial, Inc.....		06-1791061..	....3470239			Ameriprise National Trust Bank.....	USA.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		41-6219335..	....994257			Ameriprise Trust Company.....	MN.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		38-2722519..	....4289933			AMPF Holding Corporation.....	MI.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		41-1667086..	....3255445			American Enterprise Investment Services Inc.....	MN.....	NIA.....	AMPF Holding Corporation.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Ameriprise Advisory Management, LLC.....	DE.....	NIA.....	AMPF Holding Corporation.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		41-0973005..	....4289942			Ameriprise Financial Services, Inc.....	DE.....	NIA.....	AMPF Holding Corporation.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		38-3050688..	....4289951			AMPF Property Corporation.....	MI.....	NIA.....	AMPF Holding Corporation.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		38-3050690..	....4289960			AMPF Realty Corporation.....	MI.....	NIA.....	AMPF Holding Corporation.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		74-2629876..				Investment Professionals, Inc.....	TX.....	NIA.....	AMPF Holding Corporation.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
0004	Ameriprise Financial, Inc.....	29068..	39-1173498..	....4289885			IDS Property Casualty Insurance Company.....	WI.....	RE.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		82-0541142..	....4289894			Ameriprise Auto & Home Insurance Agency, Inc.	WI.....	NIA.....	IDS Property Casualty Insurance Company.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...Y.....	
0004	Ameriprise Financial, Inc.....	12504..	65-1261374..	....4289906			Ameriprise Insurance Company.....	WI.....	DS.....	IDS Property Casualty Insurance Company.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		42-1690915..	....4291815			RiverSource Distributors, Inc.....	DE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
0004	Ameriprise Financial, Inc.....	65005..	41-0823832..	....2696715			RiverSource Life Insurance Company.....	MN.....	IA.....	Ameriprise Financial, Inc.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
0004	Ameriprise Financial, Inc.....	80594..	41-0987741..	....2718046			RiverSource Life Insurance Co. of New York.....	NY.....	NIA.....	RiverSource Life Insurance Company.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		27-0380139..	....4289858			RiverSource NY REO, LLC.....	NY.....	NIA.....	RiverSource Life Insurance Co. of New York.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		26-4164569..	....4289867			RiverSource REO 1, LLC.....	MN.....	NIA.....	RiverSource Life Insurance Company.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		13-6178563..	....4289876			RiverSource Tax Advantaged Investments, Inc.	DE.....	NIA.....	RiverSource Life Insurance Company.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...Y.....	

Q12

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Q12.1	Ameriprise Financial, Inc.....		41-1977631..	3945102			AEXP Affordable Housing Portfolio, LLC.....	DE.....	NIA.....	RiverSource Tax Advantaged Investments, Inc.	Ownership.....	66.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						CREA Corporate Tax Credit Fund XXVIII, LLC.	DE.....	NIA.....	RiverSource Tax Advantaged Investments, Inc.	Ownership.....	99.900	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		41-1533211..	4246169			Columbia Management Investment Advisers, LLC	MN.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		41-1624224..	4289979			Advisory Capital Strategies Group, Inc.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		46-4842432..				Columbia Emerging Markets Bond Private Fund LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund)	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		45-5077345..				Columbia Institutional High Yield Fixed Income Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Columbia U.S. Contrarian Core Equity Private Fund, LLC	DE.....	NIA.....	Advisory Capital Strategies Group, Inc.....	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Emerging Global Advisors, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Centurion CDO IV Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0424253..				Centurion CDO VI, Ltd.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0416968..				Centurion CDO 7 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0435521..				Centurion CDO 8, Ltd.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0446511..				Centurion CDO IX Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0465083..				Centurion CDO 10 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0463886..				Cent CLO 11 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0507952..				Cent CLO 12 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0509628..				Cent CLO 14 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		98-0528007..				Cent CLO 15 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	N.....	

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....		98-1105047..				Cent CLO 18 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		98-1132125..				Cent CLO 19 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		98-1141714..				Cent CLO 20 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		98-1174078..				Cent CLO 21 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		98-1191437..				Cent CLO 22 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		98-1210182..				Cent CLO 23 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....						Cent CLO 24 Limited.....	CYM.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-2427165..				Columbia Adaptive Retirement 2020.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	...100.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-4135960..				Columbia Adaptive Retirement 2025.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	...100.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-2442360..				Columbia Adaptive Retirement 2030.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	....89.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-4150305..				Columbia Adaptive Retirement 2035.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	...100.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-2464783..				Columbia Adaptive Retirement 2040.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	....97.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-4172289..				Columbia Adaptive Retirement 2045.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	...100.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-2481153..				Columbia Adaptive Retirement 2050.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	...100.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-4188116..				Columbia Adaptive Retirement 2055.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	...100.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		82-2498236..				Columbia Adaptive Retirement 2060.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	....99.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		46-4892631..				Columbia Adaptive Risk Allocation Fund, LLC..	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Influence.....		Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		46-4469208..				Columbia Diversified Real Return Fund.....	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	....83.000	Ameriprise Financial, Inc.....	..N.....	
	Ameriprise Financial, Inc.....		81-2187181 .				Columbia Sustainable Global Equity Income ETF	MA.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	....59.000	Ameriprise Financial, Inc.....	..N.....	

Q12.2

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....		04-3519872..	4289997			Columbia Wanger Asset Management, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		26-2639148..	4346854			GA Legacy, LLC.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		13-3043476..	2654434			J. & W. Seligman & Co. Incorporated.....	DE.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		13-3043478..	4292821			Columbia Management Investment Distributors, Inc.	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		13-4200160..	4293145			Seligman Partners, LLC.....	DE.....	NIA.....	J. & W. Seligman & Co. Incorporated.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		13-4200160..				Seligman Tech Spectrum Fund, LLC.....	DE.....	NIA.....	Seligman Partners LLC.....	Influence.....		Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		76-0694729..				Lionstone Partners, LLC.....	TX.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		81-4006192..				Cash Flow Asset Management GP, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		20-0065394..				Cash Flow Asset Management, L.P.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	99.000	Ameriprise Financial, Inc.....	N.....	3
	Ameriprise Financial, Inc.....		27-1065302..				Lionstone Advisory Services, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		47-1271089..				Lionstone CFRE II Real Estate Advisory, LLC..	DE.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		46-4179110..				Lionstone Development Services, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		81-4664116..				LPL 1111 Broadway GP, LLC.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		36-4855005..				LPL 1111 Broadway, L.P.....	TX.....	NIA.....	Lionstone Partners, LLC.....	Ownership.....	99.900	Ameriprise Financial, Inc.....	N.....	4
	Ameriprise Financial, Inc.....		87-0812264..	4290126			RiverSource CDO Seed Investments, LLC.....	MN.....	NIA.....	Columbia Management Investment Advisers, LLC	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....		41-1861053..	4291776			Columbia Management Investment Services Corp.	MN.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Ameriprise International Holdings GmbH.....	CHE.....	NIA.....	Ameriprise Financial, Inc.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Ameriprise Asset Management Holdings GmbH	CHE.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	SGP.....	NIA.....	Ameriprise Asset Management Holdings GmbH	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Ameriprise Asset Management Holdings Hong Kong Limited	HKG.....	NIA.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4293903			Threadneedle Portfolio Services Hong Kong Ltd	HKG.....	NIA.....	Ameriprise Asset Management Holdings Hong Kong Limited	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Asset Management Malaysia Sdn. Bhd	MYS.....	NIA.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4293873			Threadneedle Investments Singapore (Pte.) Ltd	SGP.....	NIA.....	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	

Q12.3

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....						Threadneedle Investments Taiwan Ltd.....	TWN.....	NIA.....	Ameriprise Asset Management Holdings GmbH	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Ameriprise Holdings Singapore (Pte.) Ltd.....	SGP.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4293538			Threadneedle Asset Management Holdings Sarl	LUX.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	5.....
	Ameriprise Financial, Inc.....						CTM Holdings Limited.....	MLT.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Columbia Threadneedle Investments (ME) Ltd.....	ARE.....	NIA.....	CTM Holdings Limited.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4293574			TAM Investment Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4293855			Threadneedle International Investments GmbH	CHE.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4293882			Threadneedle Management Luxembourg S.A.....	LUX.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Threadneedle Asian Focus.....	LUX.....	NIA.....	Threadneedle Management Luxembourg S.A.....	Ownership.....	...91.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Threadneedle Gatehouse Shariah Global Equity	LUX.....	NIA.....	Threadneedle Management Luxembourg S.A.....	Ownership.....	...50.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Threadneedle US Disciplined Core Equities.....	LUX.....	NIA.....	Threadneedle Management Luxembourg S.A.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....						Threadneedle Investment Grade Corporate Bond Fund	LUX.....	NIA.....	Threadneedle Management Luxembourg S.A.....	Ownership.....	...83.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294339			Threadneedle Holdings Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Sarl	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294348			TAM UK Holdings Ltd.....	GBR.....	NIA.....	Threadneedle Holdings Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294357			Threadneedle Asset Management Holdings Ltd.....	GBR.....	NIA.....	TAM UK Holdings Ltd.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	5.....
	Ameriprise Financial, Inc.....						Columbia Threadneedle Foundation.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294535			TC Financing Limited.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		98-0691981..	4294469			Threadneedle Asset Management Ltd.....	GBR.....	NIA.....	TC Financing Limited.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....		98-0691982..	4294571			Threadneedle Investment Services Ltd.....	GBR.....	NIA.....	TC Financing Limited.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294478			Threadneedle Asset Management (Nominees) Ltd	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294526			Sackville TIPP Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management (Nominees) Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	
	Ameriprise Financial, Inc.....			4294441			Threadneedle Asset Management Finance Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	...100.000	Ameriprise Financial, Inc.....	...N.....	

Q12.4

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....			4294450			TMS Investment Ltd.....	JEY.....	NIA.....	Threadneedle Asset Management Finance Ltd	Ownership.....	44.000	Ameriprise Financial, Inc.....	N.....	5.....
	Ameriprise Financial, Inc.....			4294553			Threadneedle International Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294393			Threadneedle Investments Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294599			Threadneedle Investments (Channel Islands) Ltd	JEY.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Management Services Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294768			Threadneedle Securities Ltd.....	GBR.....	NIA.....	Threadneedle Management Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294786			Threadneedle Navigator ISA Manager Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294795			Threadneedle Pensions Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294843			Sackville (TPEN).....	GBR.....	NIA.....	Threadneedle Pensions Ltd.....	Ownership.....	75.000	Ameriprise Financial, Inc.....	N.....	6.....
	Ameriprise Financial, Inc.....			4294982			Threadneedle Portfolio Services Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Portfolio Services AG.....	CHE.....	NIA.....	Threadneedle Portfolio Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294991			Threadneedle Property Investments Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295028			Sackville LCW (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	33.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295082			Sackville Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295130			Sackville SPF IV (GP) No. 1 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295167			Sackville SPF IV (GP) No. 2 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295206			Sackville SPF IV (GP) No. 3 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295112			Sackville SPF IV Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295251			Sackville Tandem Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property Nominee Ltd.....	GBR.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294843			Sackville (TPEN).....	GBR.....	NIA.....	Sackville TPEN Property Nominee Ltd.....	Ownership.....	25.000	Ameriprise Financial, Inc.....	N.....	6.....
	Ameriprise Financial, Inc.....						Sackville TSP Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 1 Ltd..	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 2 Ltd..	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 3 Ltd..	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Unit Trust Manager Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	

Q12.5

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.....	Ameriprise Financial, Inc.....	.....	47-3044011..	.....	.....	.....	Threadneedle EMEA Holdings 1, LLC .....	MN.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	...100.000	Ameriprise Financial, Inc.....	.....N.....	.....

Asteri	Explanation
1	Owned 19% / 81% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively.
2	One share owned by Columbia Management Investment Advisers, LLC.
3	This entity has two shareholders: Lionstone Partners, LLC (99%) and Cash Flow Asset Management GP, LLC (1%).
4	This entity has two shareholders: Lionstone Partners, LLC (99.9%) and LPL 1111 Broadway GP, LLC (0.1%).
5	Ownership percentage indicates voting shares only.
6	Owned 75% / 25% by Threadneedle Pensions Ltd and Sackville TPEN Property Nominee Ltd, respectively.

Q12.6



# IDS PROPERTY CASUALTY INSURANCE COMPANY

## PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.000	
2. Allied lines.....			0.000	
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....	166,578,421	155,383,865	93.280	108.032
5. Commercial multiple peril.....			0.000	
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....		4,896	0.000	680,205.556
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....			0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....			0.000	
17.1 Other liability-occurrence.....	4,341,443	664,468	15.305	90.392
17.2 Other liability-claims made.....			0.000	
17.3 Excess workers' compensation.....			0.000	
18.1 Products liability-occurrence.....			0.000	
18.2 Products liability-claims made.....			0.000	
19.1, 19.2 Private passenger auto liability.....	220,217,692	158,627,244	72.032	73.726
19.3, 19.4 Commercial auto liability.....			0.000	
21. Auto physical damage.....	158,777,379	111,787,887	70.405	74.985
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....			0.000	
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....			0.000	
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	549,914,935	426,468,360	77.552	85.344
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

## PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....	73,691,045	138,236,362	171,936,547
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....	2,326,942	4,506,767	4,242,107
17.2 Other liability-claims made.....			
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....	109,465,176	225,335,536	210,714,790
19.3 19.4 Commercial auto liability.....			
21. Auto physical damage.....	78,864,548	162,622,725	149,648,607
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	264,347,711	530,701,390	536,542,051
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2018 Loss and LAE Payments on Claims Reported as of Prior Year-End	2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2018 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2015 + Prior.....	86,811	53,053	139,864	42,840	2,111	44,951	61,480	1,274	34,716	97,470	17,509	(14,952)	2,557
2. 2016.....	65,829	93,055	158,884	36,460	2,963	39,423	47,763	2,898	53,254	103,915	18,394	(33,940)	(15,546)
3. Subtotals 2016 + Prior.....	152,640	146,108	298,748	79,300	5,074	84,374	109,243	4,172	87,970	201,385	35,903	(48,892)	(12,989)
4. 2017.....	112,526	199,961	312,487	88,218	30,103	118,321	66,917	13,875	125,321	206,113	42,609	(30,662)	11,947
5. Subtotals 2017 + Prior.....	265,166	346,069	611,235	167,518	35,178	202,696	176,160	18,047	213,291	407,498	78,512	(79,553)	(1,041)
6. 2018.....	XXX	XXX	XXX	XXX	239,395	239,395	XXX	59,443	163,821	223,264	XXX	XXX	XXX
7. Totals.....	265,166	346,069	611,235	167,518	274,573	442,091	176,160	77,490	377,112	630,762	78,512	(79,553)	(1,041)
8. Prior Year-End's Surplus As Regards Policyholders	780,638												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....29.6 %	2. ....(23.0)%	3. ....(0.2)%
													Col. 13, Line 7 Line 8
													4. ....(0.1)%

Q14

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



**NONE**

# IDS PROPERTY CASUALTY INSURANCE COMPANY

## SCHEDULE A - VERIFICATION

### Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	899,886	(1,485,287)
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....	1,902	243,179
3. Current year change in encumbrances.....	371,250	2,314,836
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	350,724	172,842
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8).....	922,314	899,886
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	922,314	899,886

## SCHEDULE B - VERIFICATION

### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	29,888,908	21,441,158
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		9,450,000
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	689,480	1,002,251
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	29,199,427	29,888,908
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	29,199,427	29,888,908
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	29,199,427	29,888,908

## SCHEDULE BA - VERIFICATION

### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

## SCHEDULE D - VERIFICATION

### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,623,794,411	1,634,571,780
2. Cost of bonds and stocks acquired.....	121,770,172	204,801,265
3. Accrual of discount.....	318,826	644,754
4. Unrealized valuation increase (decrease).....	1,864,780	2,569,279
5. Total gain (loss) on disposals.....	(416,760)	(295,034)
6. Deduct consideration for bonds and stocks disposed of.....	108,476,738	211,421,167
7. Deduct amortization of premium.....	3,773,042	7,076,466
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	409,924	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10).....	1,635,491,574	1,623,794,411
12. Deduct total nonadmitted amounts.....	3,608,402	1,993,549
13. Statement value at end of current period (Line 11 minus Line 12).....	1,631,883,172	1,621,800,863

### SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	1,224,252,058	64,618,224	38,028,302	(11,636,807)	1,224,252,058	1,239,205,173		1,249,156,109
2. NAIC 2 (a).....	365,107,248	1,269,651,234	1,270,293,320	10,129,433	365,107,248	374,594,595		366,357,497
3. NAIC 3 (a).....						0		
4. NAIC 4 (a).....						0		
5. NAIC 5 (a).....	2,326,219			57	2,326,219	2,326,276		
6. NAIC 6 (a).....						0		
7. Total Bonds.....	1,591,685,525	1,334,269,458	1,308,321,622	(1,507,317)	1,591,685,525	1,616,126,044	0	1,615,513,606
<b>PREFERRED STOCK</b>								
8. NAIC 1.....						0		
9. NAIC 2.....						0		
10. NAIC 3.....						0		
11. NAIC 4.....						0		
12. NAIC 5.....						0		
13. NAIC 6.....						0		
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,591,685,525	1,334,269,458	1,308,321,622	(1,507,317)	1,591,685,525	1,616,126,044	0	1,615,513,606

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....32,782,292; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1  
NONE**

**Sch. DA - Verification  
NONE**

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

## SCHEDULE E - PART 2 - VERIFICATION

## Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	42,002,242	79,885,495
2. Cost of cash equivalents acquired.....	2,280,805,560	4,190,060,791
3. Accrual of discount.....	378,687	396,898
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	4	669
6. Deduct consideration received on disposals.....	2,290,404,200	4,228,341,611
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	32,782,293	42,002,242
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	32,782,293	42,002,242



### SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
Home Office Building.....	De Pere.....	WI...	08/27/1999....	VARIOUS.....				1,902
0199999. Totals.....					0	0	0	1,902
0399999. Totals.....					0	0	0	1,902

QE01

### SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State					9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
<b>NONE</b>																		

### SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	3 City	3 State						

# NONE

### SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	3 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)						13 Total Foreign Exchange Change in Book Value
<b>Mortgages With Partial Repayments</b>																	
290081000	Los Angeles	CA		01/29/2015		2,296,938								12,106			0
290081010	Valencia	CA		03/09/2017		1,403,870								17,848			0
290081007	Chamblee	GA		07/13/2015		1,757,687								27,496			0
290001008	Louisville	KY		12/13/2016		1,735,858								23,680			0
290081014	St. Louis Park	MN		12/14/2017		2,100,000								26,083			0
290081012	Durham	NC		10/13/2017		3,082,746								52,542			0
290081011	Las Vegas	NV		05/03/2017		2,767,563								16,639			0
290081009	Brooklyn	NY		12/22/2016		1,382,653								18,944			0
290081004	Portland	OR		05/20/2015		4,902,323								37,252			0
290081003	Austin	TX		04/01/2015		1,292,406								20,774			0
290081006	Eules	TX		06/16/2015		1,344,048								38,998			0
290081005	The Woodlands	TX		06/24/2015		1,880,185								13,178			0
290081001	Chesapeake	VA		02/02/2015		1,415,406								22,832			0
290081002	Virginia Beach	VA		03/02/2015		2,527,225								22,300			0
0299999	Total - Mortgages With Partial Repayments					29,888,908	0	0	0	0	0	0	0	350,671	0	0	0
0599999	Total Mortgages					29,888,908	0	0	0	0	0	0	0	350,671	0	0	0

QE02

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									

**NONE**

QE03

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						

**NONE**

### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
3128MF NA 2	FGOLD 15YR GIANT POOL FG G16485 3 % 05/01/2033		06/26/2018	WELLS FARGO BANK		14,767,972	14,874,300	33,467	1
3140H1 W2 2	FNMA 15YR POOL FN BJ0664 3 % 03/01/2033		06/08/2018	MORGAN STANLEY & CO		15,120,919	15,261,612	21,621	1
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					29,888,891	30,135,912	55,088	XXX
<b>Bonds - Industrial and Miscellaneous</b>									
25470D AV 1	DISCOVERY COMMUNICATIONS Sr Unsecured 2.75% 11/15/2019		04/05/2018	Tax Free Exchange		19,071,548	18,926,000	202,403	2FE
43730W AA 4	HPA 2018-1 A ABS 2.98506% 07/17/2037		05/24/2018	CITIGROUP GLOBAL MARKETS INC.		10,000,000	10,000,000		1FE
828807 CS 4	SIMON PROPERTY GROUP LP Sr Unsecured 3.375% 10/01/2024		05/07/2018	Various		9,734,683	10,000,000	35,625	1FE
91324P CP 5	UNITEDHEALTH GROUP INC Sr Unsecured 3.75% 07/15/2025		04/25/2018	GOLDMAN SACHS & CO		4,994,650	5,000,000	53,125	1FE
976657 AL 0	WEC ENERGY GROUP INC Sr Unsecured 3.55% 06/15/2025		04/25/2018	Mitsubishi UFJ Sec		4,916,800	5,000,000	65,083	2FE
14315L AA 2	CGMS 2014-3RA A1A CLO 3.41561% 07/27/2031	D	05/23/2018	CITIGROUP GLOBAL MARKETS INC.		10,000,000	10,000,000		1FE
3899999	Total - Bonds - Industrial and Miscellaneous					58,717,681	58,926,000	356,236	XXX
8399997	Total - Bonds - Part 3					88,606,572	89,061,912	411,324	XXX
8399999	Total - Bonds					88,606,572	89,061,912	411,324	XXX
9999999	Total - Bonds, Preferred and Common Stocks					88,606,572	XXX	411,324	XXX

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128MM TN 3	FHLMC 2.5% MBS 15yr Pool G18556 6/1/2030		06/01/2018	Paydown.....		277,357	277,357	280,781	280,351		(2,993)		(2,993)		277,357			.0	2,909	06/01/2030	1.....
3128MM VG 5	FHLMC 2.5% MBS 15yr POOL FG G18614 10/1/2031		06/01/2018	Paydown.....		144,610	144,610	149,852	149,508		(4,899)		(4,899)		144,610			.0	1,521	10/01/2031	1.....
3128MM VU 4	FHLMC 2.5% MBS 15yr POOL FG G18626 1/1/2032		06/01/2018	Paydown.....		292,884	292,884	293,205	293,174		(290)		(290)		292,884			.0	3,095	01/01/2032	1.....
3132KE WH 4	FHLMC 2.5% MBS 15yr Pool V60648 12/1/2029		06/01/2018	Paydown.....		515,667	515,667	532,185	529,891		(14,223)		(14,223)		515,667			.0	5,603	12/01/2029	1.....
3132KF AP 7	FHLMC 3% MBS 15yr Pool V60914 8/1/2030		06/01/2018	Paydown.....		291,926	291,926	303,648	302,433		(10,507)		(10,507)		291,926			.0	3,634	08/01/2030	1.....
3138EN NV 7	FNMA 2.5% MBS 15yr Pool AL5803 10/1/2028		06/01/2018	Paydown.....		289,101	289,101	294,747	293,789		(4,689)		(4,689)		289,101			.0	3,036	10/01/2028	1.....
3138WD 7G 0	FNMA 2.5% MBS 15yr Pool AS4494 2/1/2030		06/01/2018	Paydown.....		385,507	385,507	394,422	392,944		(7,437)		(7,437)		385,507			.0	3,744	02/01/2030	1.....
3138WP JC 9	FNMA 2.5% MBS 15yr Pool AT2058 4/1/2028		06/01/2018	Paydown.....		368,030	368,030	373,205	372,212		(4,183)		(4,183)		368,030			.0	3,923	04/01/2028	1.....
3138WP JE 5	FNMA 2.5% MBS 15yr Pool AT2060 4/1/2028		06/01/2018	Paydown.....		515,403	515,403	523,939	522,150		(6,748)		(6,748)		515,403			.0	5,417	04/01/2028	1.....
3138XW EM 6	FNMA 2.5% MBS 15yr Pool AW6439 6/1/2029		06/01/2018	Paydown.....		707,467	707,467	715,371	714,018		(6,551)		(6,551)		707,467			.0	6,724	06/01/2029	1.....
3138YD ZJ 1	FNMA 2.5% MBS 15yr Pool AY0744 3/1/2030		06/01/2018	Paydown.....		331,699	331,701	338,658	337,697		(6,002)		(6,002)		331,700			.0	3,389	03/01/2030	1.....
373506 AU 8	GEORGIA ENVIR REV BOND 5.125% 3/15/2031		06/15/2018	Call 100.0000.....		130,000	130,000	130,000	130,000				.0		130,000			.0	2,755	03/15/2031	1FE.....
462590 JH 4	IA STUDENT LN-A-1 BOND 5.3 % 12/01/2023		06/01/2018	Call 100.0000.....		570,000	570,000	570,000	570,000				.0		570,000			.0	15,105	12/01/2023	1FE.....
60416Q DK 7	MINNESOTA HSG FIN AGY REV BOND 4.7% 1/1/2031		06/01/2018	Call 100.0000.....		40,000	40,000	40,000	40,000				.0		40,000			.0	1,528	01/01/2031	1FE.....
60416Q GG 3	MINNESOTA HSG FIN AGY REV BOND 2.95% 2/1/2046		06/01/2018	Redemption 100.0000.....		60,480	60,480	60,480	60,480				.0		60,480			.0	722	02/01/2046	1FE.....
60416S HT 0	MINNESOTA HSG FIN AGY REV BOND 3.125% 1/1/2024		06/01/2018	Call 100.0000.....		25,000	25,000	25,000	25,000				.0		25,000			.0	690	01/01/2024	1FE.....
60416S HU 7	MINNESOTA HSG FIN AGY REV BOND 3.125% 7/1/2024		06/01/2018	Call 100.0000.....		25,000	25,000	25,000	25,000				.0		25,000			.0	690	07/01/2024	1FE.....
60416S HV 5	MINNESOTA HSG FIN AGY REV BOND 3.2% 1/1/2025		06/01/2018	Call 100.0000.....		5,000	5,000	5,000	5,000				.0		5,000			.0	147	01/01/2025	1FE.....
646129 6P 6	NEW JERSEY ST HSG & MTGE FIN AGY REV BOND 4.65 % 10/01/2029		04/01/2018	Call 100.0000.....		530,000	530,000	530,000	530,000				.0		530,000			.0	12,323	10/01/2029	1FE.....
76221R VJ 9	RHODE ISLAND ST HSG & MTGE FIN CORP REV BOND 3.65 % 10/01/2026		04/01/2018	Call 100.0000.....		245,000	245,000	245,000	245,000				.0		245,000			.0	4,471	10/01/2026	1FE.....
762315 NP 0	RI STD LN AUTH-AMT-A BOND 4.75 % 12/01/2028		06/07/2018	Call 100.0000.....		130,000	130,000	129,856	129,878		.4		.4		129,881		.119	.119	3,190	12/01/2028	1FE.....
795717 AV 9	SALT LK VLY UT FIRE REV BOND 5.25% 4/1/2030		04/01/2018	Call 100.0000.....		2,000,000	2,000,000	1,949,400	1,964,652		.510		.510		1,965,161		34,839	34,839	52,500	04/01/2030	1FE.....

QE05

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
92707V AM 6	VLG FL CDD #7 SPL ASSMNT REVENUE BOND 4 % 05/01/2025		05/01/2018	Call	100.0000	5,000	5,000	5,336	5,256		(10)		(10)		5,246		(246)	(246)	100	05/01/2025	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					7,885,131	7,885,133	7,915,085	7,918,433	0	(68,018)	0	(68,018)	0	7,850,420	0	34,712	34,712	137,216	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
035242 AE 6	ANHEUSER-BUSCH INBEV SR UNSECURED 2.15% 2/1/2019		04/23/2018	Call	100.0000	10,000,000	10,000,000	9,960,000	9,989,678		2,943		2,943		9,992,621		7,379	7,379	156,472	02/01/2019	1FE
281381 AA 1	EDUSA 2014-3 A ABS 2.6911% 2/25/2036		06/25/2018	Paydown		147,200	147,200	144,394	144,569		2,631		2,631		147,200			0	1,361	02/25/2036	1FE
46186U AA 0	IHSFR 2015-SFR1 A CMBS 2.0% 3/17/2032		05/17/2018	Paydown		9,428,073	9,428,073	9,445,750	9,430,082		(2,010)		(2,010)		9,428,073			0	123,201	03/17/2032	1FE
69331C AF 5	PG&E CORP SR UNSECURED 2.4% 3/1/2019		04/26/2018	Call	100.0168	7,861,324	7,860,000	7,921,786	7,875,622		(4,549)		(4,549)		7,871,072		(11,072)	(11,072)	124,464	03/01/2019	2FE
69339B AA 0	PHEAA 2013-1A A ABS - STUDENT LOANS 2.5911% 11/25/2036		06/25/2018	Paydown		194,944	194,944	190,466	191,161		3,783		3,783		194,944			0	1,781	11/25/2036	1FE
811065 AB 7	SCRIPPS NETWORKS INTERAC SR UNSECURED 2.75% 11/15/2019		04/05/2018	Tax Free Exchange		19,071,548	18,926,000	19,358,808	19,095,902		(24,355)		(24,355)		19,071,548			0	202,403	11/15/2019	2FE
83149E AE 7	SLMA 2006-5 A5 ABS - STUDENT LOANS 2.4695% 1/25/2027		04/25/2018	Paydown		415,043	415,043	403,889	407,730		7,313		7,313		415,043			0	3,492	01/25/2027	1FE
14308L AQ 6	CGMS 2014-3A A1AR ABS - CLO 2.46667% 7/27/2026	D	06/12/2018	Call	100.0000	10,000,000	10,000,000	10,000,000	10,000,000				0		10,000,000			0	181,979	07/27/2026	1FE
92857W AS 9	VODAFONE GROUP PLC SR UNSECURED 5.45% 6/10/2019	D	06/16/2018	Call	102.7240	15,408,600	15,000,000	17,097,050	15,657,200		(206,501)		(206,501)		15,450,700		(450,700)	(450,700)	830,975	06/10/2019	2FE
3899999	Total - Bonds - Industrial and Miscellaneous					72,526,732	71,971,260	74,522,143	72,791,944	0	(220,745)	0	(220,745)	0	72,571,201	0	(454,393)	(454,393)	1,626,128	XXX	XXX
8399997	Total - Bonds - Part 4					80,411,863	79,856,393	82,437,228	80,710,377	0	(288,763)	0	(288,763)	0	80,421,621	0	(419,681)	(419,681)	1,763,344	XXX	XXX
8399999	Total - Bonds					80,411,863	79,856,393	82,437,228	80,710,377	0	(288,763)	0	(288,763)	0	80,421,621	0	(419,681)	(419,681)	1,763,344	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					80,411,863	XXX	82,437,228	80,710,377	0	(288,763)	0	(288,763)	0	80,421,621	0	(419,681)	(419,681)	1,763,344	XXX	XXX

QE05.1

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Wells Fargo Bank..... Minneapolis, MN.....					20,020,961	14,552,686	8,672,608	XXX
Wells Fargo Bank..... Minneapolis, MN.....					(55,556,009)	(53,651,683)	(55,984,070)	XXX
JP Morgan..... New York, NY 10005.....					30,817	31,649	12,040	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	(35,504,231)	(39,067,349)	(47,299,422)	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	(35,504,231)	(39,067,349)	(47,299,422)	XXX
0599999. Total Cash.....	XXX	XXX	0	0	(35,504,231)	(39,067,349)	(47,299,422)	XXX



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Bonds - Industrial &amp; Miscellaneous (Unaffiliated) - Issuer Obligations</b>								
	EASTMAN CHEMICAL COMPANY.....		06/21/2018.....		07/18/2018.....	9,988,990		6,465
	ENTERPRISE PRODUCTS OPERATING.....		06/29/2018.....		07/02/2018.....	17,798,902		2,195
	NORTHROP GRUMMAN CORP.....		06/20/2018.....		07/18/2018.....	4,994,400		3,617
3299999	Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations.....					32,782,292	0	12,277
3899999	Total - Industrial & Miscellaneous (Unaffiliated).....					32,782,292	0	12,277
<b>Total Bonds</b>								
7799999	Subtotals - Issuer Obligations.....					32,782,292	0	12,277
8399999	Subtotals - Bonds.....					32,782,292	0	12,277
8899999	Total - Cash Equivalents.....					32,782,292	0	12,277

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