

**QUARTERLY STATEMENT**  
**OF THE**  
**IDS PROPERTY CASUALTY**  
**INSURANCE COMPANY**

Of

**De Pere**  
**in the state of WI**

**to the Insurance Department**  
**of the State of**

For the Period Ended  
September 30, 2017

**2017**



# QUARTERLY STATEMENT

As of September 30, 2017  
of the Condition and Affairs of the

## IDS PROPERTY CASUALTY INSURANCE COMPANY

NAIC Group Code..... 4, 4 (Current Period) (Prior Period)	NAIC Company Code..... 29068	Employer's ID Number..... 39-1173498
Organized under the Laws of WI Incorporated/Organized..... December 6, 1972	State of Domicile or Port of Entry WI Commenced Business..... January 24, 1973	Country of Domicile US
Statutory Home Office 3500 Packerland Drive..... De Pere ..... WI ..... US ..... 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>		
Main Administrative Office 3500 Packerland Drive..... De Pere ..... WI ..... US ..... 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>		920-330-5100 <i>(Area Code) (Telephone Number)</i>
Mail Address 3500 Packerland Drive..... De Pere ..... WI ..... US ..... 54115-9070 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>		
Primary Location of Books and Records 3500 Packerland Drive..... De Pere ..... WI ..... US ..... 54115-9070 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>		920-330-5100 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address www.ameriprise.com		
Statutory Statement Contact Kelly B Kinas <i>(Name)</i> Kelly.Kinas@ampf.com <i>(E-Mail Address)</i>		920-330-5619 <i>(Area Code) (Telephone Number) (Extension)</i> 920-330-5603 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Thomas Vincent Ealy	President	2. Thomas Richard Moore	Secretary
3. James Anthony Brefeld Jr	Treasurer		

  

### OTHER

Thomas Scott Botsford	Sr Vice President-Risk Management	Lisa Kay Jossart	Sr Vice President-Marketing
Christopher Francis Malone	Sr Vice President-CFO	Jason Robert Manns	Vice President-Claims
John Joseph Whalin #	Vice President-Product Management	Richard Paul Yocius #	Vice President-Chief Actuary

### DIRECTORS OR TRUSTEES

David Ernest Berger	Thomas Scott Botsford	Thomas Vincent Ealy	Christopher Francis Malone
Jon Scott Stenberg	Joseph Edward Sweeney	Richard Paul Yocius #	

State of..... Wisconsin  
County of..... Brown

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Thomas V Ealy 1. (Printed Name) President (Title)	_____ (Signature) Kelly B Kinas 2. (Printed Name) Asst Secretary (Title)	_____ (Signature) Christopher F Malone 3. (Printed Name) Asst Treasurer (Title)
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Subscribed and sworn to before me  
This 3rd day of November, 2017

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,597,467,112		1,597,467,112	1,586,858,015
2. Stocks:				
2.1 Preferred stocks.....			.0	
2.2 Common stocks.....	49,759,326	1,732,772	48,026,554	47,253,781
3. Mortgage loans on real estate:				
3.1 First liens.....	24,973,116		24,973,116	21,441,158
3.2 Other than first liens.....			.0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....12,097,903 encumbrances).....	847,571		847,571	(1,485,286)
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			.0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			.0	
5. Cash (\$.....(56,728,895)), cash equivalents (\$.....28,694,378) and short-term investments (\$.....0).....	(28,034,517)		(28,034,517)	25,246,987
6. Contract loans (including \$.....0 premium notes).....			.0	
7. Derivatives.....			.0	
8. Other invested assets.....			.0	
9. Receivables for securities.....			.0	
10. Securities lending reinvested collateral assets.....			.0	
11. Aggregate write-ins for invested assets.....	.0	.0	.0	.0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	1,645,012,608	1,732,772	1,643,279,836	1,679,314,654
13. Title plants less \$.....0 charged off (for Title insurers only).....			.0	
14. Investment income due and accrued.....	16,546,632		16,546,632	15,452,770
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	786,398	.6	786,392	739,071
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	96,137,848		96,137,848	89,234,743
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			.0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	14,675,384		14,675,384	3,336,956
16.2 Funds held by or deposited with reinsured companies.....			.0	
16.3 Other amounts receivable under reinsurance contracts.....	213,963		213,963	560,642
17. Amounts receivable relating to uninsured plans.....			.0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			.0	
18.2 Net deferred tax asset.....	36,982,087	5,109,716	31,872,371	30,247,477
19. Guaranty funds receivable or on deposit.....			.0	
20. Electronic data processing equipment and software.....	8,014,725	5,426,630	2,588,095	3,554,852
21. Furniture and equipment, including health care delivery assets (\$.....0).....	3,518,820	3,488,702	30,118	11,643
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			.0	
23. Receivables from parent, subsidiaries and affiliates.....	3,505,787		3,505,787	3,858,448
24. Health care (\$.....0) and other amounts receivable.....			.0	
25. Aggregate write-ins for other than invested assets.....	929,144	929,144	.0	6,062
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	1,826,323,398	16,686,970	1,809,636,428	1,826,317,319
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			.0	
28. Total (Lines 26 and 27).....	1,826,323,398	16,686,970	1,809,636,428	1,826,317,319

### DETAILS OF WRITE-INS

1101.....			.0	
1102.....			.0	
1103.....			.0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	.0	.0	.0	.0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	.0	.0	.0	.0
2501. Misc Receivable.....			.0	6,062
2502. Deferred sales expenses.....			.0	
2503. Prepaid expenses.....	929,144	929,144	.0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	.0	.0	.0	.0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	929,144	929,144	.0	6,062

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$...235,848,000).....	486,543,500	510,173,211
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....	102,805,960	103,885,420
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....	23,151,218	20,949,317
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	4,199,758	4,050,050
7.1 Current federal and foreign income taxes (including \$...(86,988) on realized capital gains (losses)).....	7,432,979	8,086,908
7.2 Net deferred tax liability.....		
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$...61,430,370 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	329,140,250	358,169,745
10. Advance premium.....	10,288,786	8,193,945
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	21,869,346	
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....	1,114,297	210,137
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	9,401,318	9,817,464
20. Derivatives.....		
21. Payable for securities.....	7,682,847	1,019,709
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	1,180,141	1,440,473
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	1,004,810,400	1,025,996,380
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	1,004,810,400	1,025,996,380
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	5,000,000	5,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	631,498,361	630,839,908
35. Unassigned funds (surplus).....	168,327,667	164,481,032
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	804,826,028	800,320,940
38. Totals (Page 2, Line 28, Col. 3).....	1,809,636,428	1,826,317,319

**DETAILS OF WRITE-INS**

2501. Unclaimed Property.....	2,310,761	1,640,222
2502. Payable to states.....	(1,130,620)	(199,749)
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,180,141	1,440,473
2901. Incremental DTA.....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

**IDS PROPERTY CASUALTY INSURANCE COMPANY  
STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....832,563,518).....	803,722,835	786,417,588	1,057,161,536
1.2 Assumed..... (written \$.....26,240,496).....	25,870,569	27,181,470	36,303,881
1.3 Ceded..... (written \$.....149,339,312).....	91,150,088	14,557,005	19,584,481
1.4 Net..... (written \$.....709,464,702).....	738,443,316	799,042,053	1,073,880,935
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....537,328,569):			
2.1 Direct.....	687,928,856	636,230,002	830,815,949
2.2 Assumed.....	14,881,117	23,441,088	30,381,006
2.3 Ceded.....	126,441,762	4,273,360	5,702,828
2.4 Net.....	576,368,211	655,397,730	855,494,126
3. Loss adjustment expenses incurred.....	88,804,599	93,339,815	124,108,321
4. Other underwriting expenses incurred.....	124,954,534	141,099,394	187,765,500
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	790,127,344	889,836,939	1,167,367,947
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(51,684,028)	(90,794,886)	(93,487,012)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	41,856,154	39,992,380	58,587,145
10. Net realized capital gains (losses) less capital gains tax of \$.....(86,988).....	(161,545)	176,442	283,103
11. Net investment gain (loss) (Lines 9 + 10).....	41,694,609	40,168,822	58,870,248
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....101,275 amount charged off \$.....1,766,859).....	(1,665,584)	(1,656,995)	(2,168,613)
13. Finance and service charges not included in premiums.....	3,406,733	3,657,703	4,795,057
14. Aggregate write-ins for miscellaneous income.....	212,823	47,499	47,514
15. Total other income (Lines 12 through 14).....	1,953,972	2,048,207	2,673,958
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	(8,035,447)	(48,577,857)	(31,942,806)
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	(8,035,447)	(48,577,857)	(31,942,806)
19. Federal and foreign income taxes incurred.....	(9,680,379)	(23,837,792)	(23,590,239)
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,644,932	(24,740,065)	(8,352,567)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	800,320,941	683,602,591	683,602,591
22. Net income (from Line 20).....	1,644,932	(24,740,065)	(8,352,567)
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0.....	2,045,562	1,956,012	127,852
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	1,462,380	1,976,413	251,660
27. Change in nonadmitted assets.....	(1,306,240)	(1,449,481)	6,454,834
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....	658,453	75,078,519	118,236,571
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	4,505,087	52,821,396	116,718,349
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	804,826,028	736,423,987	800,320,941

<b>DETAILS OF WRITE-INS</b>			
0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous Income.....	212,823	47,499	47,514
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	212,823	47,499	47,514
3701. Incremental DTA.....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

# IDS PROPERTY CASUALTY INSURANCE COMPANY

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	726,428,143	820,765,528	1,071,164,375
2. Net investment income.....	45,512,308	42,473,246	63,844,936
3. Miscellaneous income.....	1,953,972	2,048,207	2,673,958
4. Total (Lines 1 through 3).....	773,894,424	865,286,980	1,137,683,269
5. Benefit and loss related payments.....	610,989,672	615,658,598	818,658,946
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	212,486,984	230,714,885	302,006,883
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.00 tax on capital gains (losses).....	(9,113,438)	(49,801,605)	(52,224,673)
10. Total (Lines 5 through 9).....	814,363,218	796,571,878	1,068,441,156
11. Net cash from operations (Line 4 minus Line 10).....	(40,468,794)	68,715,103	69,242,114
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	181,419,073	112,316,309	176,801,605
12.2 Stocks.....			
12.3 Mortgage loans.....	718,043	540,865	725,276
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	669	311	(615)
12.7 Miscellaneous proceeds.....	6,663,138	3,190,000	1,019,709
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	188,800,922	116,047,485	178,545,976
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	196,988,638	268,819,266	324,823,769
13.2 Stocks.....			
13.3 Mortgage loans.....	4,250,000	250,000	3,520,000
13.4 Real estate.....	2,371,603	535,431	675,971
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	203,610,241	269,604,697	329,019,740
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(14,809,318)	(153,557,212)	(150,473,765)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....	658,453	75,078,519	118,236,571
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	1,338,156	(2,076,186)	(3,429,885)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	1,996,609	73,002,333	114,806,685
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(53,281,504)	(11,839,777)	33,575,034
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	25,246,988	(8,328,046)	(8,328,046)
19.2 End of period (Line 18 plus Line 19.1).....	(28,034,516)	(20,167,823)	25,246,988

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**NOTES TO FINANCIAL STATEMENTS****NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

## A. Accounting Practices

The accompanying financial statements of IDS Property Casualty Insurance Company (Company) have been prepared on the basis of accounting practices prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin.

The Office of the Commissioner of Insurance of the State of Wisconsin requires insurance companies domiciled in the State of Wisconsin to prepare their statutory financial statements in accordance with the National Association of Insurance Commissioners' NAIC *Accounting Practices and Procedures Manual* subject to any deviations prescribed or permitted by the Office of the Commissioner of Insurance of the State of Wisconsin.

No Permitted statutory accounting practices were used in the preparation of these financial statements.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Wisconsin is shown below:

	SSAP #	F/S Page	F/S Line #	Current Period	2016
<b>NET INCOME</b>					
(1) IDS PROPERTY CASUALTY INSURANCE COMPANY state basis (Page 4, Line 20, Columns 1 & 2)	XXX	XXX	XXX	\$ 1,644,932	\$ (8,352,567)
(2) State Prescribed Practice that is an increase/(decrease) from NAIC SAP					
(3) State Permitted Practice that is an increase/(decrease) from NAIC SAP					
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,644,932	\$ (8,352,567)
<b>SURPLUS</b>					
(5) IDS PROPERTY CASUALTY INSURANCE COMPANY state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 804,826,028	\$ 800,320,940
(6) State Prescribed Practice that is an increase/(decrease) from NAIC SAP					
(7) State Permitted Practice that is an increase/(decrease) from NAIC SAP					
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 804,826,028	\$ 800,320,940

## B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements of the Company in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual requires the use of management's estimates and assumptions that affect the amounts reported in these financial statements and notes. Actual results could differ from those estimates.

## C. Accounting Policy

No significant change.

**NOTE 2 – ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS**

Prepayment penalties and acceleration fees on callable bonds

In 2016, the NAIC adopted changes to SSAP 26 - Bonds, Excluding Loan-Backed and Structured Securities and SSAP 43R - Loan-Backed and Structured Securities to update the accounting and reporting treatment of prepayment penalties for callable bonds.

The difference between total proceeds received less par value is to be reported in net investment income and the difference between book adjusted carrying value and par at time of disposal shall be reported as realized capital gains or losses. In addition, companies are required to disclose the prepayment penalties and acceleration of fees for callable securities (refer to footnote 5N).

The change was effective January 1, 2017. As a result, for the nine months ended 2017, the Company reported \$0.5 million of prepayment penalties in net investment income which previously would have been reported as realized capital gains or losses.

**NOTE 3 – BUSINESS COMBINATIONS AND GOODWILL**

No significant change.

**NOTE 4 – DISCONTINUED OPERATIONS**

The Company had no discontinued operations during the calendar year.

**NOTE 5 – INVESTMENTS**

- A. Mortgage Loans - No significant change
- B. Debt Restructuring - No significant change
- C. Reverse Mortgages - No significant change

**NOTES TO FINANCIAL STATEMENTS****D. Loan-Backed and Structured Securities**

- (1) Prepayment assumptions for loan-backed and structured securities are based on financial information provided by a licensed data provider. These assumptions are consistent with the current interest rate and economic environment. The retrospective method is used to value all types of these securities except in situations where rate changes result in recalculation of the effective yield. The recalculated yield is used to amortize the investment as of the rate change date.
- (2) The Company has no loan-backed or structured securities for which an other-than-temporary impairments ("OTTI") was recognized due to intent to sell or inability or lack of intent to retain for a period of time sufficient to recover the amortized cost basis.
- (3) The Company has no loan-backed or structured securities for which an OTTI was recognized due to the fact that the present value of cash flows expected to be collected is less than amortized cost basis of the securities.
- (4) The following table provides information about investments in loan-backed and structured securities for which the carrying value (amortized cost) exceeds fair value and the length of time that individual securities have continuously had amortized cost in excess of fair value, as of September 30, 2017:

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ (693,534)
	2. 12 Months or Longer	(37,350)
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 88,583,394
	2. 12 Months or Longer	1,247,650

- (5) For all loan-backed and structured securities for which carrying value exceeds fair value, the Company has the intent and ability to retain the investment in the security for a period of time sufficient to recover the carrying value.

E. Repurchase Agreements and/or Securities Lending Transactions - No significant change

F. Investments in Real Estate - No significant change

G. Low Income Housing Tax Credits - No significant change

H. Restricted Assets - No significant change

I. Working Capital Finance Investments - Not applicable

J. Offsetting and Netting of Assets and Liabilities - Not applicable

K. Structured Notes - Not applicable

L. 5\* Securities - Not applicable

M. Short Sales - Not applicable

N. Prepayment Penalty and Acceleration Fees

	General Account	Protected Cell
(1) Number of CUSIPs	1	
(2) Aggregate Amount of Investment Income	\$ 509,178	\$ -

**NOTE 6 – JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES**

No significant changes.

**NOTE 7 – INVESTMENT INCOME**

No significant changes.

**NOTE 8 – DERIVATIVE INSTRUMENTS**

No significant changes.

**NOTE 9 – INCOME TAXES**

No significant changes.

**NOTE 10 - INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTES**

No significant changes.

**NOTE 11 – DEBT**

No significant changes.



**NOTES TO FINANCIAL STATEMENTS****NOTE 12 – RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS**

No significant changes.

**NOTE 13 – CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS**

No significant changes.

**NOTE 14 – LIABILITIES, CONTINGENCIES AND ASSESSMENTS**

No significant changes.

**NOTE 15 – LEASES**

No significant changes.

**NOTE 16 – INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK**

No significant changes.

**NOTE 17 – SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES**

No significant changes.

**NOTE 18 – GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE PORTION OF PARTIALLY INSURED PLANS**

Not applicable.

**NOTE 19 – DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS**

Not applicable.

**NOTE 20 – FAIR VALUE MEASUREMENTS**

## A. Fair Value Measurements at September 30, 2017

- (1) The Company does not have any assets or liabilities reported at fair value as of September 30, 2017.
- (2) The Company does not have any level 3 of the Fair Value Measurements.
- (3) The Company recognizes transfers between levels of the value hierarchy as of the beginning of the quarter in which each transfer occurred.
- (4) The Company categorizes its fair value measurements according to a three-level hierarchy. The hierarchy prioritizes the inputs used by the Company's valuation techniques. A level is assigned to each fair value measurement based on the lowest level input that is significant to the fair value measurement in its entirety. The three levels of the fair value hierarchy are defined as follows:

Level 1	Unadjusted quoted prices for identical assets or liabilities in active markets that are accessible at the measurement date.
Level 2	Prices or valuations based on observable inputs other than quoted prices in active markets for identical assets and liabilities.
Level 3	Prices or valuations that require inputs that are both significant to the fair value measurement and unobservable.

The Company uses valuation techniques consistent with the market and income approaches to measure the fair value of its assets and liabilities. The Company's market approach uses prices and other relevant information generated by market transactions involving identical or comparable assets or liabilities. The Company's income approach uses valuation techniques to convert future projected cash flows to a single discounted present value amount. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs.

- (5) The Company does not have any derivative assets or liabilities as of September 30, 2017.

## B. Not Applicable.

## C. The following table provides the carrying value and the estimated fair value of financial instruments that are not reported at fair value as of September 30, 2017:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	\$ 1,650,140,323	\$ 1,597,467,112	\$ 4,968,473	\$ 1,635,171,850	\$ 10,000,000	\$
Cash equivalents	\$ 28,694,378	\$ 28,694,378		\$ 28,694,378		
Mortgage loans on real estate	\$ 24,526,580	\$ 24,973,116			\$ 24,973,116	

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**NOTES TO FINANCIAL STATEMENTS**

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**Bonds**

When available, fair value is based on quoted prices in active markets. If quoted prices are not available, fair values are obtained from third party pricing services, non-binding broker quotes or other model-based valuation techniques. Level 1 securities include U.S. Treasury securities. Level 2 securities include municipal and corporate bonds, U.S. government agency residential mortgage backed securities, commercial mortgage backed securities and asset backed securities. The fair value of these Level 2 securities is based on a market approach with prices obtained from third party pricing services. Observable inputs used to value these securities can include, but are not limited to, reported trades, benchmark yields, issuer spreads and non-binding broker quotes. Level 3 securities include asset backed securities. The fair value of these Level 3 securities is typically based on a single non-binding broker quote. The underlying inputs used for some of the non-binding broker quotes are not readily available to the Company.

**Cash Equivalents**

Cash equivalents include highly liquid investments with original maturities of three months or less. Cash equivalents are classified as Level 2 and measured at amortized cost, which is a reasonable estimate of fair value because of the short time between the purchase of the instrument and its expected realization.

**Mortgage loans on real estate**

The fair value of commercial mortgage loans, except those with significant credit deterioration is determined by discounting contractual cash flows using discount rates that reflect current pricing for loans with similar remaining maturities, liquidity and characteristics including loan-to-value ratio, occupancy rate, refinance risk, debt-service coverage, location, and property condition. For commercial mortgage loans with significant credit deterioration, fair value is determined using the same adjustments as above with an additional adjustment for the Company's estimate of the amount recoverable on the loan. Given the significant unobservable inputs to this valuation, these measurements are classified as Level 3.

D. Not applicable.

**NOTE 21 – OTHER ITEMS**

No significant changes.

**NOTE 22 – EVENTS SUBSEQUENT**

The Company is currently evaluating its exposure to the October 2017 Northern California wildfires. As of November 8, 2017, IDS estimates incurred losses, net of reinsurance, to be approximately \$28 million. These losses will be reported during the fourth quarter of 2017.

**NOTE 23 – REINSURANCE**

As of January 1, 2017 the Company expanded its current reinsurance program to include a block of existing home policies. Additionally, the new reinsurance program changed certain limits and retentions associated with the existing catastrophic, multiline and umbrella coverages.

**NOTE 24 – RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION**

No significant changes.

**NOTE 25 – CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES**

Reserves as of September 30, 2017 have decreased approximately \$25 million from the prior year end.

Total incurred losses which include loss adjustment expenses are approximately \$665 million in 2017 compared to \$749 million in 2016. The decrease is primarily reflecting the impact of new reinsurance arrangements and improved underlying non-CAT loss ratio in the auto and home business.

**NOTE 26 – INTERCOMPANY POOLING ARRANGEMENTS**

No significant changes.

**NOTE 27 – STRUCTURED SETTLEMENTS**

No significant changes.

**NOTE 28 – HEALTH CARE RECEIVABLES**

No significant changes.

**NOTE 29 – PARTICIPATING POLICIES**

No significant changes.

**NOTE 30 – PREMIUM DEFICIENCY RESERVES**

No significant changes.

**NOTE 31 – HIGH DEDUCTIBLES**

No significant changes.

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**NOTES TO FINANCIAL STATEMENTS**

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**NOTE 32 – DISCOUNTING OF LIABILITIES FOR UNPAID LOSSES OR UNPAID LOSS ADJUSTMENT EXPENSES**

No significant changes.

**NOTE 33 – ASBESTOS/ENVIRONMENTAL RESERVES**

No significant changes.

**NOTE 34 – SUBSCRIBER SAVINGS ACCOUNTS**

No significant changes.

**NOTE 35 – MULTIPLE PERIL CROP INSURANCE**

No significant changes.

**NOTE 36 – FINANCIAL GUARANTY INSURANCE**

Not Applicable.

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2 NAIC Company Code	3 State of Domicile
Name of Entity		

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [X] N/A [ ]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 02/20/2015
- 6.4 By what department or departments?  
State of Wisconsin Office of the Commissioner of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Ameriprise Enterprise Investment Services, Inc.	Minneapolis, MN				YES
Ameriprise Certificate Company	Minneapolis, MN				YES
Ameriprise Financial Services, Inc.	Minneapolis, MN				YES
Ameriprise Financial Inc.	Minneapolis, MN				YES
Columbia Wanger Asset Management, LLC.	Chicago, IL				YES
RiverSource Distributors, Inc.	Minneapolis, MN				YES
Columbia Management Investment Advisers, LLC.	Boston, MA				YES
Columbia Management Investment Services, Co.	Boston, MA				YES
J. & W. Weligman & Co. Incorporated	New York, NY				YES
Columbia Management Investment Distributors, Inc.	New York, NY				YES
WAM Acquisition GP, Inc.	Chicago, IL				YES
Ameriprise National Trust Bank	Minneapolis, MN		YES		

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 1,050,472

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	47,713,765	49,759,326
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 47,713,765	\$ 49,759,326
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0

16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan	New York, NY

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Columbia Management Investment Advisors, LLC	A

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [ ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [ ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
108257	Columbia Management Investment Advisors, LLC	6YVO3H2OUHJXER5SGR23	SEC	DS

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [ X ] No [ ]

18.2 If no, list exceptions:

**GENERAL INTERROGATORIES (continued)****PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
If yes, attach an explanation.
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]
- 3.2 If yes, give full and complete information thereto:

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

- 5.1 Operating Percentages:
- 5.1 A&H loss percent 0.000%
- 5.2 A&H cost containment percent 0.000%
- 5.3 A&H expense percent excluding cost containment expenses 0.000%
- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]
- 6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

# IDS PROPERTY CASUALTY INSURANCE COMPANY SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
<b>U.S. Insurers</b>						
10103.....	36-2661954.....	AMERICAN AGRICULTURAL INS. CO.....	IN.....	Authorized.....	.....4.....	.....
20370.....	51-0434766.....	AXIS REINS CO.....	NY.....	Authorized.....	.....2.....	.....
10227.....	13-4924125.....	MUNICH REINS AMERICA INC.....	DE.....	Authorized.....	.....2.....	.....
<b>All Other Insurers</b>						
.....	AA-1120337.....	ASPEN INSURANCE UK LIMITED.....	GBR.....	Unauthorized.....	.....3.....	.....
.....	AA-3190770.....	CHUBB TEMPEST REINS LTD.....	BMU.....	Unauthorized.....	.....1.....	.....
.....	AA-5340310.....	GENERAL INSURANCE CORP OF INDIA.....	IND.....	Unauthorized.....	.....4.....	.....
.....	AA-5420050.....	KOREAN REINS CO.....	KOR.....	Unauthorized.....	.....3.....	.....
.....	AA-1128003.....	LLOYDS SYNDICATE #2003.....	GBR.....	Authorized.....	.....3.....	.....
.....	AA-1120181.....	LLOYDS SYNDICATE #5886.....	GBR.....	Authorized.....	.....3.....	.....
.....	AA-1120152.....	NEPHILA CAPITAL SYNDICATE 2357.....	GBR.....	Authorized.....	.....3.....	.....
.....	AA-5340660.....	NEW INDIA ASSURANCE CO LTD.....	IND.....	Unauthorized.....	.....4.....	.....



**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid		
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date	
1. Alabama.....AL.....L.....	AL	L	5,450,402	5,989,766	4,117,962	4,121,376	3,701,774	2,930,008
2. Alaska.....AK.....L.....	AK	L		(7,451)		351		
3. Arizona.....AZ.....L.....	AZ	L	30,421,482	28,481,203	18,794,702	19,081,857	13,774,538	18,862,952
4. Arkansas.....AR.....L.....	AR	L	3,497,418	3,630,063	3,705,241	4,487,291	1,312,070	1,657,140
5. California.....CA.....L.....	CA	L	283,934,854	255,950,579	196,311,830	172,041,427	164,672,125	161,968,261
6. Colorado.....CO.....L.....	CO	L	24,814,283	22,916,882	30,244,189	24,509,790	12,985,928	11,366,543
7. Connecticut.....CT.....L.....	CT	L	12,641,513	13,495,302	8,735,690	8,325,114	11,013,550	13,311,599
8. Delaware.....DE.....L.....	DE	L	2,376,681	2,480,999	1,636,807	1,847,828	2,000,489	2,887,026
9. District of Columbia.....DC.....L.....	DC	L	670,564	759,244	493,540	531,009	442,785	409,954
10. Florida.....FL.....L.....	FL	L	18,870,920	18,486,493	14,695,758	16,081,797	21,503,968	19,473,130
11. Georgia.....GA.....L.....	GA	L	17,046,701	16,215,033	16,850,587	15,233,078	13,372,892	7,945,045
12. Hawaii.....HI.....L.....	HI	L	2,490,824	2,574,408	1,114,315	1,421,449	1,819,615	1,624,437
13. Idaho.....ID.....L.....	ID	L	1,269,293	1,209,035	810,234	529,069	496,486	244,126
14. Illinois.....IL.....L.....	IL	L	14,019,726	13,846,179	12,100,126	9,408,890	9,445,594	6,188,845
15. Indiana.....IN.....L.....	IN	L	10,203,319	9,812,209	9,083,199	8,636,924	6,391,830	4,332,419
16. Iowa.....IA.....L.....	IA	L	2,605,571	2,777,825	2,587,028	1,218,845	1,781,658	504,596
17. Kansas.....KS.....L.....	KS	L	2,188,039	2,098,100	2,383,114	1,061,625	490,998	583,307
18. Kentucky.....KY.....L.....	KY	L	2,898,240	2,564,258	1,692,664	1,613,852	1,613,169	1,985,280
19. Louisiana.....LA.....L.....	LA	L		(73,358)		8,818		
20. Maine.....ME.....L.....	ME	L		(32,912)	560	4,729		
21. Maryland.....MD.....L.....	MD	L	12,769,319	12,210,257	8,981,599	7,935,404	7,350,650	6,910,777
22. Massachusetts.....MA.....L.....	MA	L	13,915,202	14,215,208	7,997,837	10,258,422	7,075,831	5,834,954
23. Michigan.....MI.....L.....	MI	L	50,046,851	56,026,857	41,005,095	38,672,469	60,503,087	53,657,490
24. Minnesota.....MN.....L.....	MN	L	22,085,401	21,388,379	30,395,759	16,334,894	14,018,552	8,640,641
25. Mississippi.....MS.....L.....	MS	L	1,842,704	2,082,659	1,323,682	2,315,335	499,316	635,172
26. Missouri.....MO.....L.....	MO	L	10,490,758	10,157,031	8,805,952	7,808,369	3,053,706	5,163,962
27. Montana.....MT.....L.....	MT	L	2,796,248	2,481,650	1,742,552	3,488,731	1,112,529	936,340
28. Nebraska.....NE.....L.....	NE	L	1,824,669	1,742,829	2,707,997	2,486,015	1,049,674	997,952
29. Nevada.....NV.....L.....	NV	L	11,487,300	11,520,950	9,747,981	8,914,983	8,750,989	9,042,406
30. New Hampshire.....NH.....L.....	NH	L	1,639,399	1,569,206	947,181	881,303	1,170,485	756,006
31. New Jersey.....NJ.....L.....	NJ	L	28,633,950	28,987,109	22,570,517	25,016,893	31,804,300	37,188,386
32. New Mexico.....NM.....L.....	NM	L	2,340,374	2,375,932	2,168,965	1,356,671	1,539,775	1,889,967
33. New York.....NY.....L.....	NY	L	13,252,865	12,635,736	8,343,462	8,097,365	16,975,929	16,691,550
34. North Carolina.....NC.....L.....	NC	L	13,519,108	13,815,757	9,500,707	8,496,440	7,756,211	7,025,346
35. North Dakota.....ND.....L.....	ND	L		(13,276)	450	71		
36. Ohio.....OH.....L.....	OH	L	16,502,026	17,058,460	12,966,412	9,822,340	6,939,547	9,086,515
37. Oklahoma.....OK.....L.....	OK	L	3,848,142	3,615,208	2,721,631	2,283,239	1,564,436	820,654
38. Oregon.....OR.....L.....	OR	L	23,132,374	22,061,829	15,610,196	12,843,002	12,231,152	14,237,997
39. Pennsylvania.....PA.....L.....	PA	L	5,624,336	5,600,348	3,152,301	3,864,640	5,862,967	4,676,648
40. Rhode Island.....RI.....L.....	RI	L		(30,709)	525	5,461		
41. South Carolina.....SC.....L.....	SC	L	5,587,223	5,508,239	5,558,050	4,165,108	2,274,498	2,955,830
42. South Dakota.....SD.....L.....	SD	L	766,146	718,728	553,720	432,049	436,844	72,319
43. Tennessee.....TN.....L.....	TN	L	5,318,835	5,705,792	3,911,858	3,195,324	2,828,208	2,609,022
44. Texas.....TX.....L.....	TX	L	59,064,420	52,838,824	61,970,231	61,368,474	34,392,773	19,112,838
45. Utah.....UT.....L.....	UT	L	5,155,066	4,742,242	2,956,823	3,410,140	3,410,856	2,900,534
46. Vermont.....VT.....L.....	VT	L	2,765,956	2,611,222	1,908,013	1,389,345	1,419,901	873,710
47. Virginia.....VA.....L.....	VA	L	12,716,719	14,044,322	11,624,599	11,629,336	8,175,859	7,499,170
48. Washington.....WA.....L.....	WA	L	57,307,439	57,483,400	39,532,939	41,901,926	45,016,344	46,757,797
49. West Virginia.....WV.....L.....	WV	L		(29,880)		198,653		
50. Wisconsin.....WI.....L.....	WI	L	12,730,857	11,786,290	9,123,672	7,436,084	6,876,099	7,416,594
51. Wyoming.....WY.....L.....	WY	L		(11,017)		854		
52. American Samoa.....AS.....N.....	AS	N						
53. Guam.....GU.....N.....	GU	N						
54. Puerto Rico.....PR.....N.....	PR	N						
55. US Virgin Islands.....VI.....N.....	VI	N						
56. Northern Mariana Islands.....MP.....N.....	MP	N						
57. Canada.....CAN.....N.....	CAN	N						
58. Aggregate Other Alien.....OT.....XXX.....	OT	XXX	0	0	0	0	0	0
59. Totals.....(a).....51.....	(a)	51	832,563,517	798,073,439	653,188,252	596,174,459	560,909,987	530,665,245

**DETAILS OF WRITE-INS**

58001.....XXX.....	XXX							
58002.....XXX.....	XXX							
58003.....XXX.....	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page.....XXX.....	XXX	0	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....XXX.....	XXX	0	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer; (E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state (other than their state of domicile see DSLI); (D) - DSLI - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write Surplus Lines in the state of domicile; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of D and L responses except for Canada and Other Alien.

**INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc.....	13-3180631		
Ameriprise Advisor Capital, LLC.....	27-0544454		
Ameriprise Capital Trust I.....	45-6157641		
Ameriprise Capital Trust II.....	45-6157643		
Ameriprise Capital Trust III.....	45-6157644		
Ameriprise Capital Trust IV.....	45-6157645		
Ameriprise Captive Insurance Company.....	20-5761939	VT	12852
Ameriprise Certificate Company.....	41-6009975		
Investors Syndicate Development Corporation.....	41-0951695		
Ameriprise Holdings, Inc.....	26-3878824		
201 Eleventh Street South, LLC.....	45-5464028		
Ameriprise India Insurance Brokers Services Private Limited (19%).....			
Ameriprise India Private Limited.....			
Ameriprise India Insurance Brokers Services Private Limited (81%).....			
Ameriprise National Trust Bank.....	06-1791061		
Ameriprise Trust Company.....	41-6219335		
AMPF Holding Corporation.....	38-2722519		
American Enterprise Investment Services Inc.....	41-1667086		
Ameriprise Advisory Management, LLC.....			
Ameriprise Financial Services, Inc.....	41-0973005		
AMPF Property Corporation.....	38-3050688		
AMPF Realty Corporation.....	38-3050690		
Investment Professionals, Inc.....	74-2629876		
IDS Property Casualty Insurance Company.....	39-1173498	WI	29068
Ameriprise Auto & Home Insurance Agency, Inc.....	82-0541142		
Ameriprise Insurance Company.....	65-1261374	WI	12504
RiverSource Distributors, Inc.....	42-1690915		
RiverSource Life Insurance Company.....	41-0823832	MN	65005
RiverSource Life Insurance Co. of New York.....	41-0987741	NY	80594
RiverSource NY REO, LLC.....	27-0380139		
RiverSource REO 1, LLC.....	26-4164569		
RiverSource Tax Advantaged Investments, Inc.....	13-6178563		
AEXP Affordable Housing Portfolio, LLC.....	41-1977631		
CREA Corporate Tax Credit Fund XXVIII, LLC.....			
Columbia Adaptive Risk Allocation (Master) Fund.....	98-1156583		
Columbia Management Investment Advisers, LLC.....	41-1533211		
Advisory Capital Strategies Group, Inc.....	41-1624224		
Columbia Emerging Markets Bond Private Fund LLC.....	46-4842432		
Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund).....			
Columbia Institutional High Yield Fixed Income Private Fund, LLC.....	45-5077345		
Columbia U.S. Contrarian Core Equity Private Fund, LLC.....			
Emerging Global Advisors, LLC.....			
Centurion CDO IV Limited.....			
Centurion CDO VI, Ltd.....	98-0424253		
Centurion CDO 7 Limited.....	98-0416968		
Centurion CDO 8, Ltd.....	98-0435521		
Centurion CDO IX Limited.....	98-0446511		
Centurion CDO 10 Limited.....	98-0465083		
Cent CLO 11 Limited.....	98-0463886		
Cent CLO 12 Limited.....	98-0507952		
Cent CLO 14 Limited.....	98-0509628		
Cent CLO 15 Limited.....	98-0528007		
Cent CLO 17 Limited.....	98-1087757		
Cent CLO 18 Limited.....	98-1105047		
Cent CLO 19 Limited.....	98-1132125		
Cent CLO 20 Limited.....	98-1141714		
Cent CLO 21 Limited.....	98-1174078		
Cent CLO 22 Limited.....	98-1191437		
Cent CLO 23 Limited.....	98-1210182		
Cent CLO 24 Limited.....			
Columbia Adaptive Risk Allocation Fund, LLC.....	46-4892631		
Columbia Diversified Real Return Fund.....	46-4469208		
Columbia Sustainable Global Equity Income ETF.....	81-2187181		
Columbia U.S. Social Bond Fund.....	47-2947408		
Columbia Variable Portfolio - Managed Risk Fund.....	82-2062570		
Columbia Variable Portfolio - Managed Risk U.S. Fund.....	82-2078758		
Columbia Wanger Asset Management, LLC.....	04-3519872		
GA Legacy, LLC.....	26-2639148		
J. & W. Seligman & Co. Incorporated.....	13-3043476		
Columbia Management Investment Distributors, Inc.....	13-3043478		
Seligman Partners, LLC.....	13-4200160		
Seligman Tech Spectrum Fund, LLC.....	13-4200160		
RiverSource CDO Seed Investments, LLC.....	87-0812264		
Columbia Management Investment Services Corp.....	41-1861053		

**INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

	<u>ID Number</u>	<u>STATE</u>	<u>NAIC #</u>
Ameriprise Financial, Inc. (continued).....	13-3180631		
├─ Ameriprise International Holdings GmbH.....			
│├─ Ameriprise Asset Management Holdings GmbH.....			
││├─ Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.....			
│││├─ Ameriprise Asset Management Holdings Hong Kong Limited.....			
││││├─ Threadneedle Portfolio Services Hong Kong Ltd.....			
││││├─ Threadneedle Asset Management Malaysia Sdn. Bhd.....			
││││├─ Threadneedle Investments Singapore (Pte.) Ltd.....			
│││├─ Threadneedle Investments Taiwan Ltd.....			
│├─ Ameriprise Holdings Singapore (Pte.) Ltd.....			
│├─ Threadneedle Asset Management Holdings Sarl.....			
││├─ CTM Holdings Limited.....			
│││├─ Columbia Threadneedle Investments (ME) Ltd.....			
││├─ TAM Investment Ltd.....			
││├─ Threadneedle International Investments GmbH.....			
││├─ Threadneedle Management Luxembourg S.A.....			
│││├─ Threadneedle Asian Focus.....			
│││├─ Threadneedle European Social Bond Fund.....			
│││├─ Threadneedle Gatehouse Shariah Global Equity.....			
│││├─ Threadneedle US Disciplined Core Equities.....			
││├─ Threadneedle Holdings Ltd.....			
│││├─ TAM UK Holdings Ltd.....			
││││├─ Threadneedle Asset Management Holdings Ltd.....			
│││││├─ Columbia Threadneedle Foundation.....			
│││││├─ TC Financing Limited.....			
││││││├─ Threadneedle Asset Management Ltd.....	98-0691981		
││││││├─ Threadneedle Investment Services Ltd.....	98-0691982		
│││││├─ Threadneedle Asset Management (Nominees) Ltd.....			
││││││├─ Sackville TIPP Property (GP) Ltd.....			
│││││├─ Threadneedle Asset Management Finance Ltd.....			
││││││├─ Rio Bravo Columbia Threadneedle European Multimarket Investment Fund.....			
││││││├─ TMS Investment Ltd.....			
│││││├─ Threadneedle International Ltd.....			
│││││├─ Threadneedle Investments Ltd.....			
│││││├─ Threadneedle Investments (Channel Islands) Ltd.....			
│││││├─ Threadneedle Management Services Ltd.....			
││││││├─ Threadneedle Securities Ltd.....			
│││││├─ Threadneedle Navigator ISA Manager Ltd.....			
│││││├─ Threadneedle Pensions Ltd.....			
││││││├─ Sackville (TPEN) (75%).....			
│││││├─ Threadneedle Portfolio Services Ltd.....			
││││││├─ Threadneedle Portfolio Services AG.....			
│││││├─ Threadneedle Property Investments Ltd.....			
││││││├─ Sackville LCW (GP) Ltd.....			
││││││├─ Sackville Property (GP) Ltd.....			
││││││├─ Sackville SPF IV (GP) No. 1 Ltd.....			
││││││├─ Sackville SPF IV (GP) No. 2 Ltd.....			
││││││├─ Sackville SPF IV (GP) No. 3 Ltd.....			
││││││├─ Sackville SPF IV Property (GP) Ltd.....			
││││││├─ Sackville Tandem Property (GP) Ltd.....			
││││││├─ Sackville TPEN Property (GP) Ltd.....			
│││││││├─ Sackville TPEN Property Nominee Ltd.....			
││││││││├─ Sackville (TPEN) (25%).....			
││││││├─ Sackville TSP Property (GP) Ltd.....			
││││││├─ Sackville UK Property Select II (GP) Ltd.....			
││││││├─ Sackville UK Property Select II (GP) No. 1 Ltd.....			
││││││├─ Sackville UK Property Select II (GP) No. 2 Ltd.....			
││││││├─ Sackville UK Property Select II (GP) No. 3 Ltd.....			
│││││├─ Threadneedle Unit Trust Manager Ltd.....			
└─ Threadneedle EMEA Holdings 1, LLC.....	47-3044011		

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
0004	Ameriprise Financial, Inc.		13-3180631	2433312	820027	NYSE	Ameriprise Financial, Inc.	DE	UDP					N	
	Ameriprise Financial, Inc.		27-0544454	4283810			Ameriprise Advisor Capital, LLC	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157641	4285346	1548343		Ameriprise Capital Trust I	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157643	4289755	1548344		Ameriprise Capital Trust II	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157644	4289764	1548345		Ameriprise Capital Trust III	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-6157645	4289773	1548346		Ameriprise Capital Trust IV	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.	12852	20-5761939	4289791			Ameriprise Captive Insurance Company	VT	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-6009975	4054449	52428		Ameriprise Certificate Company	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-0951695	4285298			Investors Syndicate Development Corporation	NV	NIA	Ameriprise Certificate Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		26-3878824	4283856			Ameriprise Holdings, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-5464028				201 Eleventh Street South, LLC	MN	NIA	Ameriprise Holdings, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise India Insurance Brokers Services Private Limited	IND	NIA	Ameriprise Financial, Inc.	Ownership	19.000	Ameriprise Financial, Inc.	N	1
	Ameriprise Financial, Inc.			4289830			Ameriprise India Private Limited	IND	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	2
	Ameriprise Financial, Inc.						Ameriprise India Insurance Brokers Services Private Limited	IND	NIA	Ameriprise India Private Limited	Ownership	81.000	Ameriprise Financial, Inc.	N	1
	Ameriprise Financial, Inc.		06-1791061	3470239			Ameriprise National Trust Bank	USA	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-6219335	994257			Ameriprise Trust Company	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		38-2722519	4289933			AMPF Holding Corporation	MI	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-1667086	3255445	862988		American Enterprise Investment Services Inc.	MN	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Advisory Management, LLC	DE	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-0973005	4289942			Ameriprise Financial Services, Inc.	DE	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		38-3050688	4289951			AMPF Property Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		38-3050690	4289960			AMPF Realty Corporation	MI	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		74-2629876		886261		Investment Professionals, Inc.	TX	NIA	AMPF Holding Corporation	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	29068	39-1173498	4289885			IDS Property Casualty Insurance Company	WI	RE	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		82-0541142	4289894			Ameriprise Auto & Home Insurance Agency, Inc.	WI	NIA	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	Y	
0004	Ameriprise Financial, Inc.	12504	65-1261374	4289906			Ameriprise Insurance Company	WI	DS	IDS Property Casualty Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		42-1690915	4291815	1347882		RiverSource Distributors, Inc.	DE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	65005	41-0823832	2696715	727892		RiverSource Life Insurance Company	MN	IA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
0004	Ameriprise Financial, Inc.	80594	41-0987741	2718046			RiverSource Life Insurance Co. of New York	NY	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		27-0380139	4289858			RiverSource NY REO, LLC	NY	NIA	RiverSource Life Insurance Co. of New York	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		26-4164569	4289867			RiverSource REO 1, LLC	MN	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		13-6178563	4289876			RiverSource Tax Advantaged Investments, Inc.	DE	NIA	RiverSource Life Insurance Company	Ownership	100.000	Ameriprise Financial, Inc.	Y	
	Ameriprise Financial, Inc.		41-1977631	3945102			AEXP Affordable Housing Portfolio, LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	66.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						CREA Corporate Tax Credit Fund XXVIII, LLC	DE	NIA	RiverSource Tax Advantaged Investments, Inc.	Ownership	99.900	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1156583				Columbia Adaptive Risk Allocation (Master) Fund	CYM	NIA	Ameriprise Financial, Inc.	Ownership	75.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-1533211	4246169	1233991		Columbia Management Investment Advisers, LLC	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-1624224	4289979			Advisory Capital Strategies Group, Inc.	MN	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	N	

Q12

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.		46-4842432				Columbia Emerging Markets Bond Private Fund LLC	DE	NIA	Advisory Capital Strategies Group, Inc.	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Columbia Focused Large Cap Growth Private Fund, LLC (Private Invest Fund)	DE	NIA	Advisory Capital Strategies Group, Inc.	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		45-5077345		1549003		Columbia Institutional High Yield Fixed Income Private Fund, LLC	DE	NIA	Advisory Capital Strategies Group, Inc.	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Columbia U.S. Contrarian Core Equity Private Fund, LLC	DE	NIA	Advisory Capital Strategies Group, Inc.	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Emerging Global Advisors, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Centurion CDO IV Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0424253				Centurion CDO VI, Ltd	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0416968				Centurion CDO 7 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0435521				Centurion CDO 8, Ltd	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0446511				Centurion CDO IX Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0465083				Centurion CDO 10 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0463886				Cent CLO 11 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0507952				Cent CLO 12 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0509628				Cent CLO 14 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-0528007				Cent CLO 15 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1087757				Cent CLO 17 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1105047				Cent CLO 18 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1132125				Cent CLO 19 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1141714				Cent CLO 20 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1174078				Cent CLO 21 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1191437				Cent CLO 22 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		98-1210182				Cent CLO 23 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Cent CLO 24 Limited	CYM	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	

Q12.1

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.		46-4892631				Columbia Adaptive Risk Allocation Fund, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		46-4469208				Columbia Diversified Real Return Fund	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	89.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		81-2187181				Columbia Sustainable Global Equity Income ETF	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	72.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		47-2947408				Columbia U.S. Social Bond Fund	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	68.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		82-2062570				Columbia Variable Portfolio - Managed Risk Fund	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	85.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		82-2078758				Columbia Variable Portfolio - Managed Risk U.S. Fund	MA	NIA	Columbia Management Investment Advisers, LLC	Ownership	90.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		04-3519872	4289997			Columbia Wanger Asset Management, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		26-2639148	4346854			GA Legacy, LLC	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		13-3043476	2654434			J. & W. Seligman & Co. Incorporated	DE	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		13-3043478	4292821			Columbia Management Investment Distributors, Inc.	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		13-4200160	4293145			Seligman Partners, LLC	DE	NIA	J. & W. Seligman & Co. Incorporated	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		13-4200160				Seligman Tech Spectrum Fund, LLC	DE	NIA	Seligman Partners LLC	Influence		Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		87-0812264	4290126			RiverSource CDO Seed Investments, LLC	MN	NIA	Columbia Management Investment Advisers, LLC	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.		41-1861053	4291776			Columbia Management Investment Services Corp.	MN	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise International Holdings GmbH	CHE	NIA	Ameriprise Financial, Inc.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Asset Management Holdings GmbH	CHE	NIA	Ameriprise International Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	SGP	NIA	Ameriprise Asset Management Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Asset Management Holdings Hong Kong Limited	HKG	NIA	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4293903			Threadneedle Portfolio Services Hong Kong Ltd	HKG	NIA	Ameriprise Asset Management Holdings Hong Kong Limited	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle Asset Management Malaysia Sdn. Bhd	MYS	NIA	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4293873			Threadneedle Investments Singapore (Pte.) Ltd	SGP	NIA	Ameriprise Asset Management Holdings Singapore (Pte.) Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle Investments Taiwan Ltd.	TWN	NIA	Ameriprise Asset Management Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Ameriprise Holdings Singapore (Pte.) Ltd.	SGP	NIA	Ameriprise International Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4293538			Threadneedle Asset Management Holdings Sarl	LUX	NIA	Ameriprise International Holdings GmbH	Ownership	100.000	Ameriprise Financial, Inc.	N	3
	Ameriprise Financial, Inc.						CTM Holdings Limited	MLT	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	N	

Q12.2

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.						Columbia Threadneedle Investments (ME) Ltd.	ARE	NIA	CTM Holdings Limited	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4293574			TAM Investment Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4293855			Threadneedle International Investments GmbH.	CHE	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4293882			Threadneedle Management Luxembourg S.A.	LUX	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle Asian Focus	LUX	NIA	Threadneedle Management Luxembourg S.A.	Ownership	87.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle European Social Bond Fund	LUX	NIA	Threadneedle Management Luxembourg S.A.	Ownership	56.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle Gatehouse Shariah Global Equity	LUX	NIA	Threadneedle Management Luxembourg S.A.	Ownership	50.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle US Disciplined Core Equities	LUX	NIA	Threadneedle Management Luxembourg S.A.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294339			Threadneedle Holdings Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Sarl	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294348			TAM UK Holdings Ltd.	GBR	NIA	Threadneedle Holdings Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294357	1268525		Threadneedle Asset Management Holdings Ltd.	GBR	NIA	TAM UK Holdings Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	N	3
	Ameriprise Financial, Inc.						Columbia Threadneedle Foundation	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294535			TC Financing Limited	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.	98-0691981		4294469			Threadneedle Asset Management Ltd.	GBR	NIA	TC Financing Limited	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.	98-0691982		4294571			Threadneedle Investment Services Ltd.	GBR	NIA	TC Financing Limited	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294478			Threadneedle Asset Management (Nominees) Ltd	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294526			Sackville TIPP Property (GP) Ltd.	GBR	NIA	Threadneedle Asset Management (Nominees) Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294441			Threadneedle Asset Management Finance Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Rio Bravo Columbia Threadneedle European Multimarket Investment Fund	BRA	NIA	Threadneedle Asset Management Finance Ltd	Ownership	54.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294450			TMS Investment Ltd	JEY	NIA	Threadneedle Asset Management Finance Ltd	Ownership	44.000	Ameriprise Financial, Inc.	N	3
	Ameriprise Financial, Inc.			4294553			Threadneedle International Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294393			Threadneedle Investments Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294599			Threadneedle Investments (Channel Islands) Ltd	JEY	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.						Threadneedle Management Services Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294768			Threadneedle Securities Ltd.	GBR	NIA	Threadneedle Management Services Ltd.	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294786			Threadneedle Navigator ISA Manager Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	
	Ameriprise Financial, Inc.			4294795			Threadneedle Pensions Ltd.	GBR	NIA	Threadneedle Asset Management Holdings Ltd	Ownership	100.000	Ameriprise Financial, Inc.	N	

Q12.3

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Ameriprise Financial, Inc.....			4294843			Sackville (TPEN).....	GBR.....	NIA.....	Threadneedle Pensions Ltd.....	Ownership.....	75.000	Ameriprise Financial, Inc.....	N.....	4.....
	Ameriprise Financial, Inc.....			4294982			Threadneedle Portfolio Services Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Portfolio Services AG.....	CHE.....	NIA.....	Threadneedle Portfolio Services Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294991			Threadneedle Property Investments Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295028			Sackville LCW (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	33.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295082			Sackville Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295130			Sackville SPF IV (GP) No. 1 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295167			Sackville SPF IV (GP) No. 2 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295206			Sackville SPF IV (GP) No. 3 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295112			Sackville SPF IV Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4295251			Sackville Tandem Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville TPEN Property Nominee Ltd.....	GBR.....	NIA.....	Sackville TPEN Property (GP) Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....			4294843			Sackville (TPEN).....	GBR.....	NIA.....	Sackville TPEN Property Nominee Ltd.....	Ownership.....	25.000	Ameriprise Financial, Inc.....	N.....	4.....
	Ameriprise Financial, Inc.....						Sackville TSP Property (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 1 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 2 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Sackville UK Property Select II (GP) No. 3 Ltd.....	GBR.....	NIA.....	Threadneedle Property Investments Ltd.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....						Threadneedle Unit Trust Manager Ltd.....	GBR.....	NIA.....	Threadneedle Asset Management Holdings Ltd	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	
	Ameriprise Financial, Inc.....	47-3044011..					Threadneedle EMEA Holdings 1, LLC.....	MN.....	NIA.....	Ameriprise International Holdings GmbH.....	Ownership.....	100.000	Ameriprise Financial, Inc.....	N.....	

Q12.4

Aster	Explanation
1	Owned 19% / 81% by Ameriprise Financial Inc. and Ameriprise India Private Limited, respectively.
2	One share owned by Columbia Management Investment Advisers, LLC.
3	Ownership percentage indicates voting shares only.
4	Owned 75% / 25% by Threadneedle Pensions Ltd and Sackville TPEN Property Nominee Ltd, respectively.



**PART 1 - LOSS EXPERIENCE**

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.000	
2. Allied lines.....			0.000	
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....	259,656,949	273,066,765	105.164	82.289
5. Commercial multiple peril.....			0.000	
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....	18	138,879	771,550.000	48.653
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....			0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....			0.000	
17.1 Other liability-occurrence.....	6,161,992	5,216,000	84.648	9.120
17.2 Other liability-claims made.....			0.000	
17.3 Excess workers' compensation.....			0.000	
18.1 Products liability-occurrence.....			0.000	
18.2 Products liability-claims made.....			0.000	
19.1, 19.2 Private passenger auto liability.....	314,662,857	236,504,283	75.161	87.033
19.3, 19.4 Commercial auto liability.....			0.000	
21. Auto physical damage.....	223,241,018	173,002,929	77.496	73.868
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....			0.000	
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....			0.000	
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	803,722,834	687,928,856	85.593	80.902
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

**PART 2 - DIRECT PREMIUMS WRITTEN**

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....	97,825,894	269,762,441	267,864,112
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			(8,949,315)
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....	2,285,213	6,527,320	6,191,288
17.2 Other liability-claims made.....			
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....	114,015,851	324,730,641	300,868,289
19.3 19.4 Commercial auto liability.....			
21. Auto physical damage.....	81,894,509	231,543,116	232,099,066
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	296,021,467	832,563,518	798,073,440
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2017 Loss and LAE Payments on Claims Reported as of Prior Year-End	2017 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2017 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2014 + Prior.....	76,861	54,229	131,090	47,901	5,586	53,487	45,798	1,165	29,957	76,920	16,838	(17,521)	(683)
2. 2015.....	70,183	72,450	142,633	49,620	3,349	52,969	49,924	2,527	39,309	91,760	29,361	(27,265)	2,096
3. Subtotals 2015 + Prior.....	147,044	126,679	273,723	97,521	8,935	106,456	95,722	3,691	69,266	168,679	46,199	(44,787)	1,412
4. 2016.....	115,155	225,182	340,337	134,234	28,563	162,797	61,476	13,266	110,080	184,822	80,555	(73,273)	7,282
5. Subtotals 2016 + Prior.....	262,199	351,861	614,060	231,755	37,498	269,253	157,198	16,957	179,346	353,501	126,754	(118,060)	8,694
6. 2017.....	XXX	XXX	XXX	XXX	420,629	420,629	XXX	90,503	145,345	235,848	XXX	XXX	XXX
7. Totals.....	262,199	351,861	614,060	231,755	458,127	689,882	157,198	107,460	324,691	589,349	126,754	(118,060)	8,694
8. Prior Year-End's Surplus As Regards Policyholders	800,321												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....48.343 %	2. ....(33.553)%	3. ....1.416 %
													Col. 13, Line 7 Line 8
													4. ....1.086 %

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



Statement for September 30, 2017 of the **IDS PROPERTY CASUALTY INSURANCE COMPANY**  
**Overflow Page for Write-Ins**

**Additional Write-ins for Assets:**

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid Tax.....			.....0	.....0
2597. Summary of remaining write-ins for Line 25.....	.....0	.....0	.....0	.....0

**IDS PROPERTY CASUALTY INSURANCE COMPANY**  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	(1,485,287)	(1,661,135)
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....	198,644	119,545
3. Current year change in encumbrances.....	2,172,959	556,426
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	38,746	500,123
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8).....	847,571	(1,485,287)
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	847,571	(1,485,287)

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	21,441,158	18,646,435
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	4,250,000	3,270,000
2.2 Additional investment made after acquisition.....		250,000
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	718,043	725,276
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	24,973,116	21,441,158
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	24,973,116	21,441,158
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	24,973,116	21,441,158

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,634,571,780	1,490,977,282
2. Cost of bonds and stocks acquired.....	200,030,102	342,168,207
3. Accrual of discount.....	495,584	641,205
4. Unrealized valuation increase (decrease).....	2,045,562	127,852
5. Total gain (loss) on disposals.....	(249,203)	436,160
6. Deduct consideration for bonds and stocks disposed of.....	184,460,536	194,146,047
7. Deduct amortization of premium.....	5,206,855	5,632,879
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,647,226,436	1,634,571,780
11. Deduct total nonadmitted amounts.....	1,732,772	459,984
12. Statement value at end of current period (Line 10 minus Line 11).....	1,645,493,664	1,634,111,796

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	1,237,590,485	41,924,534	37,079,930	2,140,298	1,257,792,062	1,237,590,485	1,244,575,387	1,295,530,972
2. NAIC 2 (a).....	372,539,285	1,111,682,895	1,098,980,000	(3,656,075)	360,194,614	372,539,285	381,586,105	371,212,540
3. NAIC 3 (a).....							0	
4. NAIC 4 (a).....							0	
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....							0	
7. Total Bonds.....	1,610,129,770	1,153,607,429	1,136,059,930	(1,515,777)	1,617,986,676	1,610,129,770	1,626,161,492	1,666,743,512
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,610,129,770	1,153,607,429	1,136,059,930	(1,515,777)	1,617,986,676	1,610,129,770	1,626,161,492	1,666,743,512

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....28,694,378; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1  
NONE**

**Sch. DA - Verification  
NONE**

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

## SCHEDULE E- VERIFICATION

## Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	79,885,495	29,497,645
2. Cost of cash equivalents acquired.....	3,185,492,358	3,250,681,806
3. Accrual of discount.....	287,467	331,417
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	669	(615)
6. Deduct consideration received on disposals.....	3,236,971,611	3,200,624,758
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	28,694,378	79,885,495
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	28,694,378	79,885,495



### SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
Home Office Building.....	De Pere.....	WI...	08/27/1999....	VARIOUS.....				48,325
0199999. Totals.....					0	0	0	48,325
0399999. Totals.....					0	0	0	48,325

QE01

### SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
<b>NONE</b>																			

### SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						

# NONE

### SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)						13 Total Foreign Exchange Change in Book Value
<b>Mortgages With Partial Repayments</b>																	
290081000.....	Los Angeles.....	CA.....	.....	01/15/3192....	.....	2,343,750	.....	.....	.....	.....	.....	.....	.....	11,759	.....	.....	.....
290081010.....	Valencia.....	CA.....	.....	01/17/3092....	.....	.....	.....	.....	.....	.....	.....	.....	.....	17,267	.....	.....	.....
290081007.....	Chamblee.....	GA.....	.....	01/15/7132....	.....	1,864,133	.....	.....	.....	.....	.....	.....	.....	26,735	.....	.....	.....
290001008.....	Louisville.....	KY.....	.....	08/16/3114....	.....	1,820,000	.....	.....	.....	.....	.....	.....	.....	23,019	.....	.....	.....
290081011.....	Las Vegas.....	NV.....	.....	01/17/5032....	.....	.....	.....	.....	.....	.....	.....	.....	.....	16,135	.....	.....	.....
290081009.....	Brooklyn.....	NY.....	.....	08/16/3123....	.....	1,450,000	.....	.....	.....	.....	.....	.....	.....	18,424	.....	.....	.....
290081004.....	Portland.....	OR.....	.....	01/15/5202....	.....	5,047,113	.....	.....	.....	.....	.....	.....	.....	36,345	.....	.....	.....
290081003.....	Austin.....	TX.....	.....	01/15/4012....	.....	1,372,757	.....	.....	.....	.....	.....	.....	.....	20,184	.....	.....	.....
290081006.....	Eules.....	TX.....	.....	01/15/6162....	.....	1,495,150	.....	.....	.....	.....	.....	.....	.....	37,946	.....	.....	.....
290081005.....	The Woodlands.....	TX.....	.....	01/15/6242....	.....	1,931,134	.....	.....	.....	.....	.....	.....	.....	12,799	.....	.....	.....
290081001.....	Chesapeake.....	VA.....	.....	01/15/2022....	.....	1,503,655	.....	.....	.....	.....	.....	.....	.....	22,169	.....	.....	.....
290081002.....	Virginia Beach.....	VA.....	.....	01/15/3022....	.....	2,613,467	.....	.....	.....	.....	.....	.....	.....	21,663	.....	.....	.....
0299999. Total - Mortgages With Partial Repayments.....						21,441,158	0	0	0	0	0	0	0	264,444	0	0	0
0599999. Total Mortgages.....						21,441,158	0	0	0	0	0	0	0	264,444	0	0	0

QE02

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									

**NONE**

QE03

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						

**NONE**

## SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Political Subdivisions of States</b>									
048339 VU 6	ATLANTIC CITY NJ GO BOND 5.00% 3/1/2026		09/21/2017	MORGAN STANLEY & CO		592,170	500,000		1FE
048339 VV 4	ATLANTIC CITY NJ GO BOND 5.00% 3/1/2027		09/21/2017	MORGAN STANLEY & CO		835,527	700,000		1FE
645020 4H 8	NEW HAVEN CONN GO BOND 5.25% 8/1/2026		08/03/2017	RAYMOND JAMES & ASSOC. INC.		2,238,743	1,890,000		1FE
645020 4J 4	NEW HAVEN CONN GO BOND 5.25% 8/1/2027		08/03/2017	RAYMOND JAMES & ASSOC. INC.		2,067,068	1,740,000		1FE
645020 4Y 1	NEW HAVEN CONN GO BOND 5.00% 8/1/2026		08/03/2017	RAYMOND JAMES & ASSOC. INC.		5,474,983	4,700,000		1FE
2499999	Total - Bonds - U.S. Political Subdivisions of States					11,208,491	9,530,000	0	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
167723 GP 4	CHICAGO TRANSIT AUTH REV BOND 5.00% 6/1/2026		07/21/2017	MORGAN STANLEY & CO		3,961,693	3,350,000		2FE
45204E YT 9	ILLINOIS ST REV BOND 5.00% 3/1/2027		08/31/2017	BANK OF AMERICA		412,381	350,000		1FE
45204E YU 6	ILLINOIS ST REV BOND 5.00% 3/1/2028		08/31/2017	BANK OF AMERICA		407,953	350,000		1FE
469400 CX 1	JACKSONVILLE FL HEALTH FACS REV BOND 5.00% 11/1/2027		09/20/2017	MORGAN (J.P.) SECURITIES		1,856,611	1,545,000		1FE
469400 CY 9	JACKSONVILLE FL HEALTH FACS REV BOND 5.00% 11/1/2028		09/20/2017	MORGAN (J.P.) SECURITIES		4,398,539	3,705,000		1FE
49151F VN 7	KENTUCKY ST PPTY & BLDGS REV BOND 5.00% 5/1/2028		08/16/2017	CITIGROUP GLOBAL MARKETS INC.		590,715	500,000		1FE
49151F WJ 5	KENTUCKY ST PPTY & BLDGS REV BOND 5.00% 5/1/2027		08/16/2017	CITIGROUP GLOBAL MARKETS INC.		1,375,837	1,150,000		1FE
596562 JX 7	MIDDLESEX CNTY N J REV BOND 4.00% 6/15/2028		08/11/2017	CITIGROUP GLOBAL MARKETS INC.		677,580	600,000		1FE
64578J BA 3	NEW JERSEY EDA-A-REF REV BOND 3.125% 7/1/2029		09/11/2017	MORGAN STANLEY & CO		2,009,620	2,025,000		2FE
709224 XB 0	PENNSYLVANIA ST TURNPIKE COMM REV BOND 5.00% 6/1/2027		07/14/2017	CITIGROUP GLOBAL MARKETS INC.		2,378,120	2,000,000		1FE
71783M AN 5	PHILADELPHIA PA GAS WKS REV BOND 5.00% 8/1/2028		08/09/2017	MORGAN STANLEY & CO		1,639,237	1,350,000		1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					19,708,286	16,925,000	0	XXX
<b>Bonds - Industrial and Miscellaneous</b>									
031162 CP 3	AMGEN SR UNSECURED 2.65% 5/11/2022		08/14/2017	CITIGROUP GLOBAL MARKETS INC.		7,050,050	7,000,000	49,467	2FE
375558 BL 6	GILEAD SCIENCES SR UNSECURED 2.5 % 9/1/2023		08/25/2017	MIZUHO BANK		6,979,070	7,000,000	87,014	1FE
74981H CC 2	RACEP 15-9A ABS - CLO 2.513% 10/15/2030	D	08/28/2017	CITIGROUP GLOBAL MARKETS INC.		10,000,000	10,000,000		1FE
3899999	Total - Bonds - Industrial and Miscellaneous					24,029,120	24,000,000	136,481	XXX
8399997	Total - Bonds - Part 3					54,945,897	50,455,000	136,481	XXX
8399999	Total - Bonds					54,945,897	50,455,000	136,481	XXX
9999999	Total - Bonds, Preferred and Common Stocks					54,945,897	XXX	136,481	XXX

(a) For all common stock bearing NAIC market indicator "U" provide the number of such issues:.....0.

QE04

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For rei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
13937X EA 2	CAPE CORAL UTL-REF REV BOND 3.00% 9/1/2027		09/01/2017	Call	100.0000	10,000	10,000	10,000					0		10,000			0	82	09/01/2027	1FE
167727 RA 6	CHICAGO IL WSTWTR REV BOND 5% 1/1/2036		07/21/2017	Call	100.0000	8,000,000	8,000,000	8,000,000	8,000,000				0		8,000,000			0	422,222	01/01/2036	1FE
3128MM TN 3	FHLMC 2.5% MBS 15yr Pool G18556 6/1/2030		09/01/2017	Paydown		305,132	305,132	308,898	308,615		(3,483)		(3,483)		305,132			0	5,049	06/01/2030	1
3128MM VG 5	FHLMC 2.5% MBS 15yr POOL FG G18614 10/1/2031		09/01/2017	Paydown		157,335	157,335	163,039	162,956		(5,620)		(5,620)		157,335			0	2,622	10/01/2031	1
3128MM VU 4	FHLMC 2.5% MBS 15yr POOL FG G18626 1/1/2032		09/01/2017	Paydown		266,978	266,978	267,270			(292)		(292)		266,978			0	3,891	01/01/2032	1
3132KE WH 4	FHLMC 2.5% MBS 15yr Pool V60648 12/1/2029		09/01/2017	Paydown		521,199	521,199	537,894	536,230		(15,031)		(15,031)		521,199			0	8,535	12/01/2029	1
3132KF AP 7	FHLMC 3% MBS 15yr Pool V60914 8/1/2030		09/01/2017	Paydown		387,784	387,784	403,356	402,374		(14,591)		(14,591)		387,784			0	7,519	08/01/2030	1
3138EN NV 7	FNMA 2.5% MBS 15yr Pool AL5803 10/1/2028		09/01/2017	Paydown		303,703	303,703	309,635	308,951		(5,248)		(5,248)		303,703			0	5,082	10/01/2028	1
3138WD 7G 0	FNMA 2.5% MBS 15yr Pool AS4494 2/1/2030		09/01/2017	Paydown		286,733	286,733	293,364	292,608		(5,875)		(5,875)		286,733			0	5,065	02/01/2030	1
3138WP JC 9	FNMA 2.5% MBS 15yr Pool AT2058 4/1/2028		09/01/2017	Paydown		409,149	409,149	414,902	414,120		(4,972)		(4,972)		409,149			0	6,769	04/01/2028	1
3138WP JE 5	FNMA 2.5% MBS 15yr Pool AT2060 4/1/2028		09/01/2017	Paydown		595,713	595,713	605,580	604,100		(8,387)		(8,387)		595,713			0	9,736	04/01/2028	1
3138XW EM 6	FNMA 2.5% MBS 15yr Pool AW6439 6/1/2029		09/01/2017	Paydown		360,541	360,541	364,569	364,120		(3,579)		(3,579)		360,541			0	6,008	06/01/2029	1
3138YD ZJ 1	FNMA 2.5% MBS 15yr Pool AY0744 3/1/2030		09/01/2017	Paydown		559,928	559,928	571,674	570,530		(10,601)		(10,601)		559,928			0	9,141	03/01/2030	1
373506 AU 8	GEORGIA ENVIR REV BOND 5.125% 3/15/2031		09/15/2017	Call	100.0000	195,000	195,000	195,000	195,000				0		195,000			0	6,641	03/15/2031	1FE
442404 CR 8	HOUSTON TX IDS REV BOND 4.625% 9/15/2030		07/19/2017	Call	100.0000	2,515,000	2,515,000	2,505,795	2,509,418		163		163		2,509,581		5,419	5,419	98,225	09/15/2030	1FE
45129Y J7 2	IDAHO HOUSING AND FINANCE ASSO REV BOND 2.55% 1/1/2026		07/01/2017	Call	100.0000	245,000	245,000	245,000	245,000				0		245,000			0	6,161	01/01/2026	1FE
45129Y J8 0	IDAHO HOUSING AND FINANCE ASSO REV BOND 2.6% 7/1/2026		07/01/2017	Call	100.0000	570,000	570,000	570,000	570,000				0		570,000			0	14,614	07/01/2026	1FE
472653 BF 0	JEFFERSON CNTY AL REV BOND 4.75% 1/1/2025		08/15/2017	Call	100.0000	1,000,000	1,000,000	1,006,520	1,000,000				0		1,000,000			0	53,306	01/01/2025	1FE
57563R JP 5	MASSACHUSETTS EDL FIN AUTH REV BOND 5.5% 7/1/2027		07/01/2017	Call	100.0000	745,000	745,000	736,023	738,243		241		241		738,484		6,516	6,516	40,975	07/01/2027	1FE
57563R JQ 3	MASSACHUSETTS EDL FIN AUTH REV BOND 5.625% 7/1/2028		07/01/2017	Call	100.0000	360,000	360,000	357,480	358,042		61		61		358,103		1,897	1,897	20,250	07/01/2028	1FE
60416Q GG 3	MINNESOTA HSG FIN AGY REV BOND 2.95% 2/1/2046		09/05/2017	Redemption	100.0000	104,693	104,693	104,693	104,693				0		104,693			0	2,113	02/01/2046	1FE
60416S HT 0	MINNESOTA HSG FIN AGY REV BOND 3.125% 1/1/2024		07/01/2017	Call	100.0000	20,000	20,000	20,000	20,000				0		20,000			0	625	01/01/2024	1FE
60416S HU 7	MINNESOTA HSG FIN AGY REV BOND 3.125% 7/1/2024		07/01/2017	Call	100.0000	20,000	20,000	20,000	20,000				0		20,000			0	625	07/01/2024	1FE
60416S HV 5	MINNESOTA HSG FIN AGY REV BOND 3.2% 1/1/2025		07/01/2017	Call	100.0000	10,000	10,000	10,000	10,000				0		10,000			0	320	01/01/2025	1FE
646080 KU 4	NEW JERSEY HGR ED AUTH REV BOND 5% 12/1/2026		07/03/2017	Call	100.0000	45,000	45,000	44,492	44,649		14		14		44,663		337	337	1,325	12/01/2026	1FE
646080 PX 3	NEW JERSEY HGR ED AUTH REV BOND 4% 12/1/2028		07/03/2017	Call	100.0000	160,000	160,000	158,539	158,795		40		40		158,835		1,165	1,165	3,769	12/01/2028	1FE
708796 B6 3	PENNSYLVANIA HSG AGY REV BOND 4.125% 10/1/2026		09/28/2017	Call	100.0000	2,380,000	2,380,000	2,380,000	2,380,000				0		2,380,000			0	97,357	10/01/2026	1FE
708796 L2 1	PENNSYLVANIA HSG AGY REV BOND 3.9% 10/1/2028		09/28/2017	Call	100.0000	790,000	790,000	790,000	790,000				0		790,000			0	30,553	10/01/2028	1FE
759835 AA 9	RENO NV HLTH REV BOND 5.25% 7/1/2031		07/04/2017	Call	0.0000								0					0	583	07/01/2031	1FE

QE05

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
759911 G8 7	REGL IL TRAN AUTH REV BOND 4.625% 7/1/2031		08/31/2017	Call 100.0000	.....	4,165,000	4,165,000	4,165,000	4,165,000	.....	.....	.....	.....0	.....	4,165,000	.....	.....	.....0	224,736	07/01/2031	1FE.....
762315 NQ 8	RHODE ISLAND STUDENT LN REV BOND 4.75% 12/1/2029		09/28/2017	Call 100.0000	.....	145,000	145,000	143,414	143,607	.....	52	.....	52	.....	143,659	.....	1,342	1,342	5,222	12/01/2029	1FE.....
796815 SU 3	SAN BERNARDINO CA COP 5% 8/1/2028		08/01/2017	Call 100.0000	.....	40,000	40,000	39,400	39,623	.....	14	.....	14	.....	39,637	.....	363	363	2,000	08/01/2028	1FE.....
917436 6W 2	UTAH HSG CORP REV BOND 3.85% 1/1/2031		07/01/2017	Call 100.0000	.....	80,000	80,000	80,000	80,000	.....	.....	.....	.....0	.....	80,000	.....	.....	.....0	3,080	01/01/2031	1FE.....
917436 W3 7	UTAH HSG CORP REV BOND 4.6% 7/1/2034		07/01/2017	Call 100.0000	.....	285,000	285,000	285,000	285,000	.....	.....	.....	.....0	.....	285,000	.....	.....	.....0	13,110	07/01/2034	1FE.....
97689Q DF 0	WISCONSIN HSG & ECONOMIC DEV A REV BOND 3.3% 9/1/2028		09/01/2017	Call 100.0000	.....	60,000	60,000	60,000	60,000	.....	.....	.....	.....0	.....	60,000	.....	.....	.....0	1,980	09/01/2028	1FE.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					26,098,888	26,098,888	26,166,537	25,881,674	.....0	(77,094)	.....0	(77,094)	.....0	26,081,850	.....0	17,039	17,039	1,119,291	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
281381 AA 1	EDUSA 2014-3 A ABS 1.837% 2/25/2036		09/25/2017	Paydown	.....	183,900	183,900	180,394	.....	.....	3,506	.....	3,506	.....	183,900	.....	.....	.....0	1,913	02/25/2036	1FE.....
46186U AA 0	IHSFR 2015-SFR1 A CMBS 2.0% 3/17/2032		09/18/2017	Paydown	.....	2,365	2,365	2,369	2,366	.....	(1)	.....	(1)	.....	2,365	.....	.....	.....0	44	03/17/2032	1FE.....
69339B AA 0	PHEAA 2013-1A A ABS - STUDENT LOANS 1.084% 12/25/2036		09/25/2017	Paydown	.....	235,926	235,926	230,507	231,043	.....	4,883	.....	4,883	.....	235,926	.....	.....	.....0	2,286	11/25/2036	1FE.....
83149E AE 7	SLMA 2006-5 A5 ABS - STUDENT LOANS 0.992% 1/25/207		07/25/2017	Paydown	.....	575,889	575,889	560,412	563,250	.....	12,639	.....	12,639	.....	575,889	.....	.....	.....0	4,956	01/25/2027	1FE.....
74981H AA 8	RACEP 2015-9A A1 ABS - CLO 2.138% 4/15/2027	D	09/21/2017	Call 100.0000	.....	10,000,000	10,000,000	10,000,000	10,000,000	.....	.....	.....	.....0	.....	10,000,000	.....	.....	.....0	243,442	04/15/2027	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous					10,998,080	10,998,080	10,973,682	10,796,659	.....0	21,027	.....0	21,027	.....0	10,998,080	.....0	.....0	.....0	252,641	XXX	XXX
8399997	Total - Bonds - Part 4					37,096,968	37,096,968	37,140,219	36,678,333	.....0	(56,067)	.....0	(56,067)	.....0	37,079,930	.....0	17,039	17,039	1,371,932	XXX	XXX
8399999	Total - Bonds					37,096,968	37,096,968	37,140,219	36,678,333	.....0	(56,067)	.....0	(56,067)	.....0	37,079,930	.....0	17,039	17,039	1,371,932	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					37,096,968	XXX	37,140,219	36,678,333	.....0	(56,067)	.....0	(56,067)	.....0	37,079,930	.....0	17,039	17,039	1,371,932	XXX	XXX

QE05.1

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: .....0.

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Wells Fargo Bank.....	Minneapolis, MN 55474.....				19,428,623	13,331,841	9,183,453	XXX
Wells Fargo Bank.....	Minneapolis, MN 55474.....				(71,054,412)	(67,926,168)	(66,009,770)	XXX
JP Morgan.....	New York, NY 10005.....				93,728	18,634	97,422	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	(51,532,061)	(54,575,694)	(56,728,895)	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	(51,532,061)	(54,575,694)	(56,728,895)	XXX
0599999. Total Cash.....	XXX	XXX	0	0	(51,532,061)	(54,575,694)	(56,728,895)	XXX



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
<b>Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations</b>							
CENTERPOINT ENERGY INC.....		09/29/2017.....		10/02/2017.....	14,399,460		1,080
MCKESSON CORP.....		09/26/2017.....		10/02/2017.....	4,999,811		944
PPL CAPITAL FUNDING INC.....		09/27/2017.....		10/04/2017.....	2,299,737		350
SOUTH CAROLINA ELECTRIC & GAS 3-a-3.....		09/19/2017.....		10/18/2017.....	6,995,370		3,265
3299999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations.....					28,694,378	0	5,639
3899999. Total - Industrial and Miscellaneous (Unaffiliated).....					28,694,378	0	5,639
<b>Total Bonds</b>							
7799999. Subtotals - Issuer Obligations.....					28,694,378	0	5,639
8399999. Subtotals - Bonds.....					28,694,378	0	5,639
8699999. Total - Cash Equivalents.....					28,694,378	0	5,639

QE13